

## A new feature selection method for handling redundant information in text classification<sup>\*</sup>

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**Abstract:** Feature selection is an important approach to dimensionality reduction in the field of text classification. Because of the difficulty in handling the problem that the selected features always contain redundant information, we propose a new simple feature selection method, which can effectively filter the redundant features. First, to calculate the relationship between two words, the definitions of word frequency based relevance and correlative redundancy are introduced. Furthermore, an optimal feature selection (OFS) method is chosen to obtain a feature subset  $FS_1$ . Finally, to improve the execution speed, the redundant features in  $FS_1$  are filtered by combining a predetermined threshold, and the filtered features are memorized in the linked lists. Experiments are carried out on three datasets (WebKB, 20-Newsgroups, and Reuters-21578) where in support vector machines and naïve Bayes are used. The results show that the classification accuracy of the proposed method is generally higher than that of typical traditional methods (information gain, improved Gini index, and improved comprehensively measured feature selection) and the OFS methods. Moreover, the proposed method runs faster than typical mutual information-based methods (improved and normalized mutual information-based feature selections, and multilabel feature selection based on maximum dependency and minimum redundancy) while simultaneously ensuring classification accuracy. Statistical results validate the effectiveness of the proposed method in handling redundant information in text classification.

**Key words:** Feature selection; Dimensionality reduction; Text classification; Redundant features; Support vector machine; Naïve Bayes; Mutual information

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### 1 Introduction


Text classification assigns a predefined category to an unlabeled text document. It has become a very efficient method to manage the vast volumes of digital documents available on the Internet (Apte et al., 1999; Uğuz, 2011). In recent years, many classifiers

have been applied to text classification based on machine learning and statistical theory. Among these classifiers, decision trees (Apte et al., 1999),  $k$ -nearest neighbors (KNN) (Dallachiesa et al., 2014), neural networks (De Souza et al., 2009), naïve Bayes (NBs) (McCallum and Nigam, 2001), and support vector machines (SVMs) (Caruana et al., 2013) are the most successful and widely used methods (Chen et al., 2009).

In text classification tasks, documents are represented as vectors of features when using different classifiers. As the most popular document representation method, the vector space model (VSM) uses a bag of ‘words’ or ‘terms’ to construct a feature vector (Jing et al., 2010). However, because a document collection usually contains thousands of words, the

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dimension of the feature vector is usually high, causing a computational obstacle in the machine learning process. Moreover, most of the features in the original feature vectors are irrelevant or redundant, leading to a low accuracy when classifiers are used. Therefore, it is desirable to find suitable approaches to reduce the number of features and improve the qualities of the selected features.

There have been many methods for reducing dimensions of feature vectors. Among them, feature extraction and selection have been used widely. Feature extraction methods generate new features by transforming the original feature vectors into different feature spaces. Typical feature extraction methods include: latent semantic indexing (LSI) (Elghazel et al., 2016), partial least square (Tenenhaus et al., 2005), multidimensional scaling (Kruskal and Wish, 1978), latent Dirichlet allocation (LDA) (Zhang et al., 2016), and mixed graph of terms (MGT) (Napoletano et al., 2012). Feature selection methods select a feature subset from the original feature set by measuring the performance of different features or classifiers. Typical feature selection methods include information gain (IG) (Yang and Pedersen, 1997), chi-square (CHI) (Yang and Pedersen, 1997), improved Gini index (IMGI) (Shang et al., 2007), mutual information (MI) (Peng et al., 2005), comprehensively measured feature selection (CMFS) (Yang et al., 2012), orthogonal centroid feature selection (OCFS) (Yan et al., 2005), and *t*-test based feature selection (TTFS) (Wang et al., 2012). Compared with feature extraction methods, feature selection methods achieve a better interpretability and retain physical properties of the original features. Therefore, feature selection methods have been used widely in the fields of machine learning and pattern classification (Han and Ren, 2015). According to Liu and Yu (2005), wrappers and filters are two typical types of feature selection methods. Filters select features based on some agnostic criteria that measure the class discrimination abilities of different features, and they are not dedicated to any classification algorithm in their feature-selecting process. Wrappers rely on the performance of one classifier when a feature subset is used (Zhang and Zhang, 2012). Compared with filters, although wrappers can select the subsets with optimal features, they entail a high computational cost and are not suitable for text classification tasks (Han and Ren, 2015).

## 2 Related work

In this section, we first introduce several traditional feature selection methods, which calculate only the discrimination abilities of a word with respect to different categories. Furthermore, we introduce several MI-based feature selections that filter the redundant features based on the MI theory.

### 2.1 Typical traditional feature selections

#### 2.1.1 Darmstadt indexing approach

Darmstadt indexing approach (DIA) has been used widely in automatic indexing (Sebastiani, 2002). When a document contains a word  $t_i$ , DIA evaluates the probability that the document belongs to category  $c_k$  by measuring the conditional probability. DIA is defined as

$$\text{DIA}(t_i) = \sum_{c_k} p(c_k | t_i), \quad (1)$$

where  $p(c_k | t_i)$  represents the conditional probability that a document  $d$  belongs to  $c_k$  when  $t_i$  occurs in  $d$ .

#### 2.1.2 The IG approach

IG is a widely used measurement in the field of machine learning. This method defines the expected reduction in entropy caused by partitioning the texts according to a word (Yang and Pedersen, 1997; Zhang et al., 2011). IG is defined as

$$\text{IG}(t_i) = \sum_{t \in (t_i, t_i)} \sum_{c_k} p(t, c_k) \log_2 \left( \frac{p(t, c_k)}{p(t)p(c_k)} \right), \quad (2)$$

where  $p(t)$  denotes the probability that a document contains word  $t$ ,  $p(c_k)$  is the probability that category  $c_k$  occurs in the dataset, and  $p(t, c_k)$  denotes the probability that  $t$  occurs in  $c_k$ .

#### 2.1.3 Improved Gini index

Gini index is a nonpurity split method proposed by Breiman et al. (1984). To apply this method to tasks of multiclass text classification, Shang et al. (2007) improved the Gini index to the improved Gini index (IMGI):

$$\text{IMGI}(t_i) = \sum_{c_k} [p(t_i | c_k)]^2 [p(c_k | t_i)]^2, \quad (3)$$

where  $p(t_i|c_k)$  represents the conditional probability that word  $t_i$  occurs in category  $c_k$ .

#### 2.1.4 Improved comprehensively measured feature selection

As the traditional feature selection methods cannot achieve the best performance due to the adverse effect of an imbalanced dataset, Yang et al. (2014) improved CMFS and proposed a new method called ‘the improved comprehensively measured feature selection (CMFSX)’. CMFSX can weaken the adverse effect caused by the imbalance factor in the dataset. CMFSX is defined as

$$\begin{cases} \text{CMFSX}(t_i) = \max_{c_k} \{ \text{CMFS}(t_i, c_k) \}, \\ \text{CMFS}(t_i, c_k) = \frac{p(t_i | c_k) p(c_k | t_i)}{p(c_k)}. \end{cases} \quad (4)$$

## 2.2 Typical mutual information based feature selections

Traditional feature selections do not consider the relevance of different words when selecting the features. Napoletano et al. (2012) considered a hierarchical structure, named ‘a mixed graph of terms (MGT)’, which was constructed automatically from a set of documents through the probabilistic topic model. In this method, the relevant words are represented by pairs of related words, and a set of parameters are changed until the effectiveness is maximized. This method shows better performance than that using a list of weighted words. However, as all the relations of the words are calculated by LDA, the time complexity is high when calculating the relevance of different words. The MI-based feature selection methods are the most widely used methods for calculating the relevance of different words. MI measures the dependence information of a word  $t_i$  and a category  $c_k$  (Peng et al., 2005). The formula is given as

$$\begin{cases} \text{MI}(t_i) = \sum_{c_k} \text{MI}(t_i, c_k), \\ \text{MI}(t_i, c_k) = p(c_k, t_i) \log_2 \left( \frac{p(c_k, t_i)}{p(c_k) p(t_i)} \right), \end{cases} \quad (5)$$

where  $p(t_i)$  is the occurrence probability of word  $t_i$  and  $p(c_k, t_i)$  is the probability that a document in category  $c_k$  contains  $t_i$ .

The MI-based methods obtain the feature subsets by balancing the correlations between candidate features and all categories, and the correlations between candidate features and all selected features. Herein, we give the details of four typical MI-based feature selections.

#### 2.2.1 Mutual information feature selection

Battiti (1994) introduced the balance parameter and proposed an MI-based feature selection method based on maximal relevance. The objective function of the mutual information feature selection (MIFS) process is defined as follows:

$$\max \left\{ \sum_{c_k} I(t_i, c_k) - \beta \sum_{t_j \in S} I(t_i, t_j) \right\}, \quad (6)$$

where  $t_i$  is a candidate feature,  $S$  is the set of selected features,  $\beta$  is a balance parameter,  $I(t_i, c_k)$  denotes the MI between  $t_i$  and category  $c_k$ , and  $I(t_i, t_j)$  denotes the MI between  $t_i$  and a selected feature  $t_j$ .

#### 2.2.2 Improved mutual information feature selection

Hoque et al. (2014) introduced a greedy feature selection using MI. The method considered the MI of feature–feature and feature–class to determine an optimal set of features. The objective function of the improved MIFS (MIFS-ND) is defined as

$$\max \left\{ \sum_{c_k} I(t_i, c_k) - \frac{1}{|S|} \sum_{t_j \in S} I(t_i, t_j) \right\}, \quad (7)$$

where  $|S|$  is the number of features in  $S$ . Obviously, this method becomes the MIFS method when  $\beta$  in MIFS is equal to  $1/|S|$ .

#### 2.2.3 Multilabel feature selection based on maximum dependency and minimum redundancy

In contrast to the traditional single-label learning approach, Lin et al. (2015) considered the conditional redundancy between the candidate and selected features, and thereafter presented a maximum dependency and minimum redundancy (MDMR) based feature selection method. The objective function is defined as

$$\max \left\{ \sum_{c_k} I(t_i, c_k) - \frac{1}{|S|} \sum_{t_j \in S} \left( I(t_i, t_j) - \sum_{c_l} I(t_i, c_l | t_j) \right) \right\}, \quad (8)$$

where  $I(t_i, c_l|t_j)$  represents the relevance between candidate feature  $t_i$  and category  $c_l$  when given the selected feature  $t_j$ .

### 2.2.4 Normalized mutual information based feature selection

Estevez et al. (2009) improved the MIFS measurement and proposed a normalized MI-based feature selection (NMIFS) approach to solve the problem that the entropy of a feature varied greatly. The normalization restricts the output values to the range  $[0, 1]$ . The objective function is defined as

$$\begin{cases} \max \left\{ \sum_{c_k} I(t_i, c_k) - \frac{1}{|S|} \sum_{t_j \in S} NI(t_i, t_j) \right\}, \\ NI(t_i, t_j) = \frac{I(t_i, t_j)}{\min \{H(t_i), H(t_j)\}}, \end{cases} \quad (9)$$

where  $H(t_i)$  and  $H(t_j)$  are defined as

$$\begin{cases} H(t_i) = -p(t_i) \log_2 p(t_i), \\ H(t_j) = -p(t_j) \log_2 p(t_j). \end{cases} \quad (10)$$

## 3 Motivation

Suppose there are four categories  $C_1, C_2, C_3,$  and  $C_4$ , each of which includes 10 documents. Table 1

gives the distributions of six words ('thanks', 'look', 'forward', 'subject', 'buy', and 'http') in these categories. A value '1' in Table 1 means that the word occurs in the corresponding document of the category. Table 2 gives five selected features when three typical feature selection methods (CMFSX, MIFS-ND, and MDMR) are used. From Table 1, we know that because the distribution of 'look' in different categories is the same as that of 'forward'. 'Forward' is redundant with respect to 'look' and should be ignored when the latter one is selected. However, the CMFSX method still selects 'forward' because of the high output value of this word. Moreover, 'http', which follows feature 'buy', should be added into the feature subset as 'http' can bring extra information on category discrimination. However, 'http' is ignored by CMFSX when only five features are selected. Thus, CMFSX cannot represent the word 'http' when it occurs in a document, showing a lower accuracy than the MI-based methods, when the numbers of the selected features are equal.

Moreover, although 'forward' is filtered by MIFS-ND and MDMR, it cannot be represented by these MI-based methods when 'forward' occurs but 'look' does not in a document. In addition, denoting  $|C|$  as the number of categories in a dataset,  $N$  as the number of all words in all categories, and  $|S|$  as the number of selected features, Table 2 gives the time complexities of CMFSX, MIFS-ND, and MDMR.

**Table 1 Distributions of six words in four categories**

Word	Distributions of the words in categories			
	$C_1$	$C_2$	$C_3$	$C_4$
'Thanks'	1, 1, 1, 1, 1, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0
'Look'	0, 0, 0, 0, 0, 1, 1, 1, 1, 0	1, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0
'Forward'	0, 0, 0, 0, 0, 1, 1, 1, 1, 0	1, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0
'Subject'	1, 1, 1, 0, 0, 0, 0, 0, 0, 0	0, 1, 1, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0
'Buy'	0, 0, 0, 1, 1, 0, 0, 0, 0, 0	0, 0, 0, 1, 1, 0, 0, 0, 0, 0	1, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0, 0, 0, 0, 0, 0, 0, 0, 0
'http'	0, 0, 0, 0, 0, 0, 0, 0, 0, 1	0, 0, 0, 0, 0, 1, 0, 0, 0, 0	0, 1, 0, 0, 0, 0, 0, 0, 0, 0	1, 0, 0, 0, 0, 0, 0, 0, 0, 0

**Table 2 Selected features and time complexities of typical feature selections**

Method	Selected feature	Time complexity	MI-based method
CMFSX	'Thanks', 'look', 'forward', 'subject', 'buy'	$O(N( C  + \log_2 N))$	No
MIFS-ND	'Thanks', 'look', 'subject', 'buy', 'http'	$O( S (N -  S )( C  +  S ))$	Yes
MDMR	'Thanks', 'look', 'subject', 'buy', 'http'	$O( S (N -  S )( C  +  S (1 +  C )))$	Yes

CMFSX: improved comprehensively measured feature selection; MIFS-ND: improved mutual information feature selection; MDMR: maximum dependency and minimum redundancy

Obviously, because the MI-based methods (MIFS-ND and MDMR) need to compute the relevance between the candidate and selected features, their time complexities are generally higher than that of the CMFSX method.

From these arguments, we conclude that the feature selections which have been proposed in recent years still have some drawbacks: (1) Traditional feature selection methods cannot filter the redundant features, showing a lower accuracy than the MI-based methods when the numbers of the selected features are equal; (2) The words filtered by the MI-based methods cannot be represented when they occur in a document, possibly missing some helpful category discrimination information; (3) Most MI-based feature selection methods calculate the correlations not only between the candidate features and all categories, but also between the candidate and selected features; thus, the time complexities of these methods are high when the numbers of all words and the selected features are both large. On this basis, a new simple feature selection method, which can efficiently improve the speed of filtering of the redundant features while ensuring simultaneously the classification accuracy, is proposed in this study.

#### 4 The proposed method

The key point of filtering the redundant features is to measure the relevance of the words. MI is a widely used method that can calculate the statistical relationship between two variables (Battiti, 1994; Peng et al., 2005; Lin et al., 2015). According to Eq. (5), the MI between words  $t_i$  and  $t_j$  is computed as

$$MI(t_i, t_j) = p(t_i, t_j) \log_2 \left( \frac{p(t_i, t_j)}{p(t_i)p(t_j)} \right). \quad (11)$$

However, according to Estevez et al. (2009), the output of this method varies greatly and is not restricted to range [0, 1]. Moreover, this method focuses on the occurrence probability of the document that contains both  $t_i$  and  $t_j$ , ignoring the relevance between the frequencies of words  $t_i$  and  $t_j$  in each category. On this basis, we define a simple measurement called 'word frequency based relevance' to measure the relationship between any two words.

**Definition 1** (Word frequency based relevance) Assuming that TS is a sample set that contains  $N_d$  samples, the word frequency based relevance between  $t_i$  and  $t_j$  ( $i \neq j$ ) in TS is defined as follows:

$$FR(t_i, t_j) = p(t_j | t_i) p(t_i | t_j) \text{tf}_{ij}, \quad (12)$$

$$\text{tf}_{ij} = \sum_c \frac{n_c}{n} \cdot \frac{\min(\text{tf}_{i,c}, \text{tf}_{j,c})}{\max(\text{tf}_{i,c}, \text{tf}_{j,c})}, \quad (13)$$

where  $p(t_i|t_j)$  denotes the conditional probability that  $t_i$  occurs when  $t_j$  occurs in a document,  $p(t_j|t_i)$  denotes the conditional probability that  $t_j$  occurs when  $t_i$  occurs in a document,  $\text{tf}_{ij}$  denotes the term frequency relevance between  $t_i$  and  $t_j$ ,  $n_c$  is the number of documents in category  $c$ ,  $n$  is the number of documents in the dataset, and  $\text{tf}_{i,c}$  and  $\text{tf}_{j,c}$  are the word frequencies of  $t_i$  and  $t_j$  in category  $c$ , respectively.

Obviously, from Eq. (13), we have

$$\text{tf}_{ji} = \sum_c \frac{n_c}{n} \cdot \frac{\min(\text{tf}_{j,c}, \text{tf}_{i,c})}{\max(\text{tf}_{j,c}, \text{tf}_{i,c})} = \text{tf}_{ij}. \quad (14)$$

Furthermore, by combining Eqs. (14) and (12), we have

$$\begin{aligned} FR(t_j, t_i) &= p(t_i | t_j) p(t_j | t_i) \text{tf}_{ji} \\ &= p(t_j | t_i) p(t_i | t_j) \text{tf}_{ij} \\ &= FR(t_i, t_j). \end{aligned} \quad (15)$$

From the definition of word frequency based relevance, we know that if  $t_i$  and  $t_j$  occur in the same document frequently and if the word frequencies of  $t_i$  and  $t_j$  in each category are similar, then  $t_i$  and  $t_j$  will have a high word frequency based relevance score. On this basis, we give the definition of correlatively redundant words as follows:

**Definition 2** (Correlatively redundant words) Assuming that  $t_i$  and  $t_j$  are two words in training set TS,  $t_i$  and  $t_j$  are correlatively redundant (denoted as  $t_i \equiv t_j$ ) if and only if the word frequency based relevance score between  $t_i$  and  $t_j$  is higher than a predetermined threshold  $th$ , namely,

$$t_i \equiv t_j \Leftrightarrow FR(t_i, t_j) \geq th, \quad th \in (0, 1]. \quad (16)$$

On this basis, we propose a new simple feature selection method that can efficiently improve the

speed of filtering of the redundant features while ensuring accuracy. The core idea of this method is to obtain a feature subset  $FS_1$  by using an optimal feature selection (OFS) method and to filter the redundant features from  $FS_1$  to form the final feature subset  $FS$  by calculating the word frequency based relevance scores of the features. Unlike the traditional feature selection methods, to represent the filtered words, we memorize the filtered features using linked lists. The details of the proposed method are shown in Algorithm 1.

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**Algorithm 1** The proposed feature selection method
 

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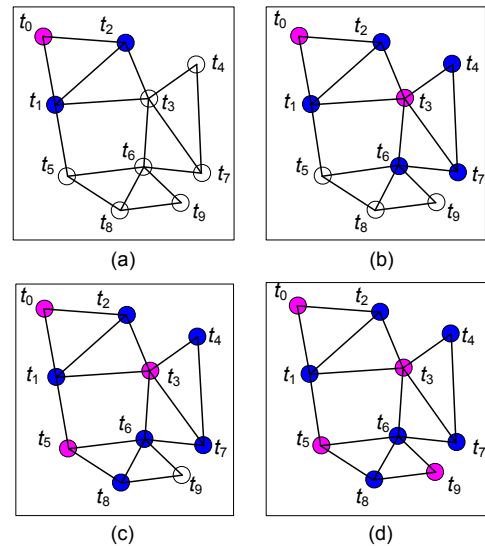
**Input:** training sample set (TS), the number of temporary feature subsets ( $N_1$ ), predetermined threshold  $th$ , and a temporary array  $A=\{a_i\}=\{0\}$  ( $0\leq i\leq N_1-1$ ).

**Output:** final feature subset (FS).

- 1: Obtain the OFS value of each word in TS using the OFS method;
  - 2: Rank all words by their OFS values in descending order and select the top  $N_1$  features to form a subset  $FS_1=\{t_0, t_1, \dots, t_i, t_{i+1}, \dots, t_j, t_{j+1}, \dots, t_{N_1-1}\}$ , where  $FS(t_i)\geq OFS(t_j)$  ( $0\leq i<j\leq N_1-1$ );  
// Filter the redundant features in  $FS_1$ ;
  - 3: Set a temporary variable  $k=0$ ;
  - 4: **for**  $i=0$  to  $N_1-1$
  - 5:   **if**  $a_i=1$  **then continue**;
  - 6:   **end if**
  - 7:   Create a linked list  $l_k$ , and memorize  $t_i$  into the head node of  $l_k$ ;
  - 8:    $a_i\leftarrow 1$ ;
  - 9:   **for**  $j=i+1$  to  $N_1-1$
  - 10:     **if**  $a_j=1$  **then continue**;
  - 11:     **end if**
  - 12:     **if**  $t_i\equiv t_j$  **then** create a new node and add it to  $l_k$  to memorize  $t_j$ ;
  - 13:      $a_j\leftarrow 1$ ;
  - 14:     **end if**
  - 15:   **end for**
  - 16:    $k++$ ;
  - 17: **end for**
- 

As shown in Algorithm 1, temporary array  $A$  is used to mark the filtered redundant features in  $FS_1$ . In linked list  $l_k$ , the head node memorizes the selected features, and the other nodes memorize the words that are redundant with respect to the selected feature. For example, according to steps 1 and 2, we select 10 words from TS and represent them as  $FS_1=\{t_0, t_1, t_2, \dots, t_9\}$ . Furthermore, according to step 3, we filter the redundant features from  $FS_1$  by combining a predetermined threshold  $th$  (assuming  $th=0.95$ ). Fig. 1 shows the processes of selecting the features from  $FS_1$

when loop control variable  $i$  changes. Moreover, with respect to Fig. 1, Table 3 gives the corresponding details of the intermediate results in each process of Algorithm 1. We can easily see from Fig. 1 and Table 3 that the final selected feature subset is  $FS=\{t_0, t_3, t_5, t_9\}$ .



**Fig. 1** Changes in selected and filtered features in Algorithm 1 ( $th=0.95$ ): (a)  $i=0$ ; (b)  $i=3$ ; (c)  $i=5$ ; (d)  $i=9$

A solid line denotes that the two corresponding words are correlatively redundant, the pink nodes denote the selected features, and the blue nodes denote the filtered features (References to color refer to the online version of this figure)

From Algorithm 1, we know that the time complexity of the proposed method contains mainly two kinds: the time complexity of obtaining the feature subset  $FS_1$  by the OFS method and that of filtering the redundant features in  $FS_1$ . From Yang et al. (2012), we know that the time complexity of obtaining the feature subset  $FS_1$  is  $O(|C|N+N\log_2 N)$ . From Algorithm 1, we know that there are  $N_1^2$  calls to filter the redundant features; thus, the time complexity of this step is  $O(N_1^2)$ . Accordingly, the time complexity of the proposed method is  $O(|C|N+N\log_2 N+N_1^2)$ . By combining Table 2, because the number of redundant words in  $FS_1$  is relatively small, there usually exist  $S\approx N_1$ ,  $S\ll N$ , and  $\log_2 N\ll S$  in practice. Therefore, we can conclude that the time complexity of the proposed method is slightly higher than that of CMFSX but significantly lower than that of typical MI-based feature selection methods such as MIFS-ND and MDMR.

**Table 3** Intermediate results in Algorithm 1

Loop control variable $i$	Temporary array $A$	Linked list	Subset of selected features	Subset of filtered features
0	{0, 1, 1, 0, 0, 0, 0, 0, 0, 0}	$l_0$ : $t_0 \rightarrow t_1 \rightarrow t_2$	$t_0$	$t_1, t_2$
3	{0, 1, 1, 0, 1, 0, 1, 1, 0, 0}	$l_0$ : $t_0 \rightarrow t_1 \rightarrow t_2$ $l_1$ : $t_3 \rightarrow t_4 \rightarrow t_6 \rightarrow t_7$	$t_0, t_3$	$t_1, t_2, t_4, t_6, t_7$
5	{0, 1, 1, 0, 1, 0, 1, 1, 1, 0}	$l_0$ : $t_0 \rightarrow t_1 \rightarrow t_2$ $l_1$ : $t_3 \rightarrow t_4 \rightarrow t_6 \rightarrow t_7$ $l_2$ : $t_5 \rightarrow t_8$	$t_0, t_3, t_5$	$t_1, t_2, t_4, t_6, t_7, t_8$
9	{0, 1, 1, 0, 1, 0, 1, 1, 1, 0}	$l_0$ : $t_0 \rightarrow t_1 \rightarrow t_2$ $l_1$ : $t_3 \rightarrow t_4 \rightarrow t_6 \rightarrow t_7$ $l_2$ : $t_5 \rightarrow t_8$ $l_3$ : $t_9$	$t_0, t_3, t_5, t_9$	$t_1, t_2, t_4, t_6, t_7, t_8$

Traditional MI-based feature selection methods cannot represent the words that are redundant with respect to the selected features. In this study, we memorize the filtered features in linked lists and use these linked lists to replace the redundant words of a document in the preprocessing process before document classification. Given a document  $d = \{t_0, t_1, \dots, t_i, t_{i+1}, \dots, t_{nw-1}\}$  ( $nw$  is the number of all words in  $d$ ) and the set of linked lists  $LS = \{l_0, l_1, \dots, l_i, l_{i+1}, \dots, l_{nl-1}\}$  ( $nl$  is the number of linked lists obtained in Algorithm 1), the preprocessing process is described in Algorithm 2.

**Algorithm 2** Preprocessing process before document classification

**Input:** a document  $d = \{t_0, t_1, \dots, t_i, t_{i+1}, \dots, t_{nw-1}\}$  and the set of linked lists  $LS = \{l_0, l_1, \dots, l_i, l_{i+1}, \dots, l_{nl-1}\}$ .

**Output:** the document that is preprocessed.

- 1: **for**  $i=0$  **to**  $nw-1$
- 2:   **for**  $j=0$  **to**  $nl-1$
- 3:     **if**  $t_i$  is contained in  $l_j$  and is not equal to the word that is contained in the head node of  $l_j$
- 4:     **then**  $t_i$  is replaced by the word that is contained in the head node of  $l_j$ ;
- 5:     **end if**
- 6:   **end for**
- 7: **end for**

## 5 Experimental settings

### 5.1 Datasets

Three benchmark datasets, WebKB (WE) (Yang et al., 2012), 20-Newsgroups (NE) (Zhang and Zhang,

2012), and Reuters-21578 (RE) (Zhang and Zhang, 2012), were used in our experiments. WebKB consists of 8282 web pages collected from the websites of different colleges and is divided into seven categories. The 20-Newsgroups dataset consists of 19 997 documents collected from Newsgroup postings and is assigned evenly to 20 different categories. The Reuters-21578 dataset consists of 21 578 stories collected from the Reuters newswire and is divided into 135 categories. For ease of computation, we considered only the top 4, 6, and 10 categories with respect to WE, NE, and RE, respectively. For the documents in each dataset, we executed the stemming process using the Porter stemming algorithm before the training and classification processes (Porter, 1997). Moreover, we used 10-fold cross-validation (CV) to compare different methods.

### 5.2 Classifiers

Typical classifiers such as SVMs (Joachims, 1998; Drucker et al., 1999) and NBs (Cevenini et al., 2013) have been used to compare different feature selections. In this study, these classifiers were implemented by Weka 3.6.9, which is a popular platform for machine learning and data mining. We used the multinomial event model to classify a document when the NB classifier was used (Schneider, 2003). To specify the parameters of SVM, LIBSVM provides a simple tool to check a grid of parameters by using the one-against-one method to obtain CV accuracy (Chang and Lin, 2007). According to Chang and Lin (2007), the best parameters of SVM were given as

follows: Kernel=RBF kernel, cost parameter  $c=2^0=1.0$ ,  $\gamma=2^{-7}\approx 0.008$ , and tolerance of the termination criterion  $e=0.001$ .

### 5.3 Performance measurement

According to Yang et al. (2014), precision  $p$  and recall  $r$  are two widely used methods to measure the effectivenesses of feature selection methods, denoted as

$$\left\{ \begin{aligned} p &= \frac{TP}{TP + FP} = \frac{\sum_{i=1}^{|C|} TP_i}{\sum_{i=1}^{|C|} TP_i + \sum_{i=1}^{|C|} FP_i}, \\ r &= \frac{TP}{TP + FN} = \frac{\sum_{i=1}^{|C|} TP_i}{\sum_{i=1}^{|C|} TP_i + \sum_{i=1}^{|C|} FN_i}, \end{aligned} \right. \quad (17)$$

where  $TP_i$  is the number of documents that are correctly classified to category  $C_i$ ,  $FP_i$  is the number of documents that are misclassified to category  $C_i$ , and  $FN_i$  is the number of documents that belong to category  $C_i$  and are misclassified. In this study, we combine the effectiveness of precision and recall and use the  $F_1$  measurement to validate the performance of different methods, defined by

$$F_1 = \frac{2 \cdot r \cdot p}{r + p}. \quad (18)$$

### 5.4 Selections of the optimal feature selection method and threshold $th$

As Yang et al. (2014) showed that CMFSX runs fast and is significantly superior to methods such as IG, IMGI, and DIA, CMFSX was chosen as the OFS method of the proposed method. Moreover, we used the harmony search (HS) algorithm to search for the optimal value of  $th$  and improve the trade-off between the numbers of the selected features and those of the filtered features. HS was recently developed in an analogy with the music improvisation process, whereby music players improvised the pitches of their instruments to obtain better harmony (Geem et al., 2001). Compared with those of other traditional metaheuristic algorithms, the results of the HS method do not rely on the initial settings of the decision

variables, thus probably avoiding the local optima. Moreover, HS has a good global searching ability as it generates a new solution using all existing solutions, whereas the other algorithms (such as fruit fly algorithm and particle swarm optimization) consider only the global best solution (Alatas, 2010). In our previous work (Wang et al., 2014), we introduced a factor called ‘the best harmony considering rate (BHCR)’ and proposed a global best harmony search (GBHS) algorithm to improve the convergence characteristic of the traditional HS method. Because GBHS accelerates the convergence rate significantly and achieves a higher accuracy than typical metaheuristic algorithms, we use a GBHS to search for the optimal  $th$  in this study. The corresponding parameters of GBHS are given as

1. Objective function:

$$F(th) = \frac{1}{10} \sum_{n_s} F_1(n_s, th), \quad (19)$$

where  $n_s$  is the number of selected features, which ranges from 100 to 1000, with a step of 100, and  $F_1(n_s, th)$  denotes the performance of a classifier measured by the  $F_1$  measurement when  $th$  and  $n_s$  are used.

2. Parameters in GBHS (Table 4)

**Table 4 Parameters in global best harmony-oriented harmony search (GBHS)**

Explanation	Parameter
Number of vectors in the harmony memory	$N=1$
Harmony memory size	HMS=40
Maximum harmony memory considering rate	$HMCR_{max}=0.9$
Minimum harmony memory considering rate	$HMCR_{min}=0.5$
Maximum best harmony considering rate	$BHCR_{min}=0.5$
Maximum pitch adjusting rate	$PAR_{max}=0.99$
Minimum pitch adjusting rate	$PAR_{min}=0.01$
Maximum arbitrary distance bandwidth	$bw_{max}=1$
Minimum arbitrary distance bandwidth	$bw_{min}=0.5 \times 10^{-6}$
Maximum number of improvisations	$NI=1000$
Harmony memory	$\left\{ \begin{aligned} \mathbf{HM} &= [x_1^1, x_1^2, \dots, x_1^j, x_1^{j+1}, \\ &\dots, x_1^{HMS-1}, x_1^{HMS}]^T, \\ x_1^j &= \text{Rand}(), \quad j = 1, 2, \dots, \text{HMS}. \end{aligned} \right.$



## 6 Experimental results and analysis

### 6.1 Comparison of different methods in terms of execution time

We compare the proposed method with several typical feature selection methods (DIA, IG, IMGI, CMFSX, MIFS, MIFS-ND, NMIFS, and MDMR) on WE, NE, and RE datasets when the number of selected features ranges from 200 to 1000 with a step of 200. With respect to the optimal  $\theta$  of different classifiers, the average execution time (denoted as  $et$ , expressed in seconds) is shown in Figs. 2–4. We know from these figures that the  $et$  values of traditional feature selections (DIA, IG, IMGI, and CMFSX) are much lower than those of MI-based feature selections (MIFS, MIFS-ND, NMIFS, and MDMR), showing the disadvantage of the latter methods in terms of execution speed. Moreover, MDMR is the most time-consuming method, which may be because MDMR considers the conditional redundancies between the candidate features and the selected features. For each dataset, as the number of selected features increases, the performance of traditional feature selections slightly changes; however, the  $et$  values of MI-based feature selections increase significantly, especially when MDMR is used on the RE dataset. Furthermore, we notice that the results for the RE dataset are generally higher than those for the NE and WE datasets. It may be because RE has more categories than the other datasets, and the number of categories affects the execution speed seriously. It is noteworthy that the  $et$  values of the proposed method are slightly higher than those of DIA, IG, IMGI, and CMFSX but significantly lower than those of MIFS, MIFS-ND, NMIFS, and MDMR, illustrating the efficiency of the proposed method in filtering redundant features.

### 6.2 Comparison of different methods in terms of classification accuracy

#### 6.2.1 Results for the WebKB dataset

Fig. 5 shows the  $F_1$  values of the different feature selection methods on the WE dataset when SVM and NB are used. As the selected features of the proposed method do not contain redundant information, the  $F_1$  values of the proposed method are generally higher than those of traditional methods such as IG, IMGI, and CMFSX. When SVM is used, the proposed

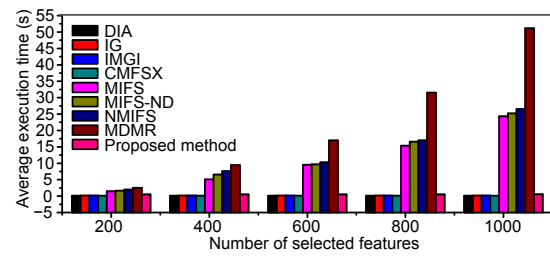


Fig. 2 Average execution time ( $et$ ) of different feature selection methods on the WebKB dataset (References to color refer to the online version of this figure)

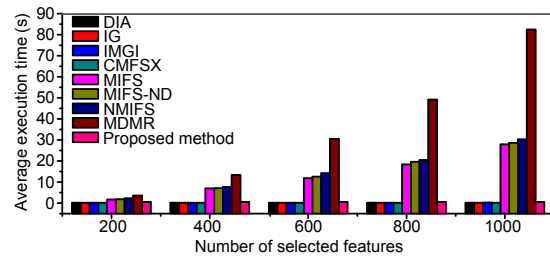


Fig. 3 Average execution time ( $et$ ) of different feature selection methods on the 20-Newsgroups dataset (References to color refer to the online version of this figure)

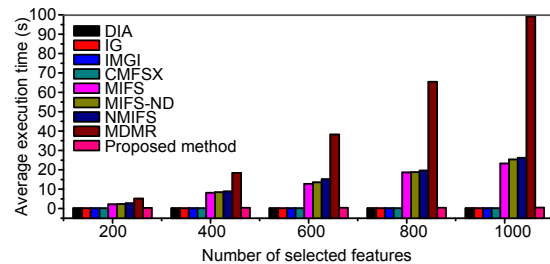


Fig. 4 Average execution time ( $et$ ) of different feature selection methods on the Reuters-21578 dataset (References to color refer to the online version of this figure)

method outperforms other methods when 600 or 800 features are selected. Significantly, the proposed method obtains the highest  $F_1$  (0.885) with SVM when the number of selected features equals 800. In addition, when NB is used, although the proposed method is inferior to those of MI-based methods such as NMIFS and MDMR when more than 600 features are selected, it still outperforms other methods when 200 or 400 features are selected, illustrating the efficiency of the proposed method in classifying the web pages of the WE dataset.

#### 6.2.2 Results on the 20-Newsgroups dataset

Fig. 6 shows the  $F_1$  values of seven feature-selection methods on the NE dataset when SVM

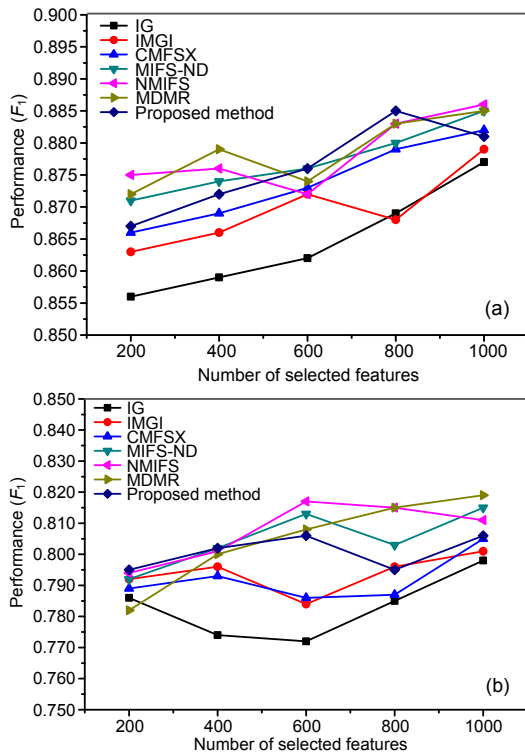


Fig. 5 Performance (values of  $F_1$ ) of different feature selection methods on the WebKB dataset when support vector machine (SVM) (a) and naïve Bayes (NB) (b) are used (References to color refer to the online version of this figure)

and NB are used. When SVM is used, IG shows the worst performance. MDMR, NMIFS, and the proposed method obtains the highest the  $F_1$  values for once, twice, and twice, respectively. It is noteworthy that the proposed method obtains the global highest  $F_1$  (0.856) when 800 (1000) features are selected. When NB is used,  $F_1$  of the proposed method increases as the number of selected features ranges from 200 to 800. Moreover, the proposed method performs significantly better than other methods except when 200 or 1000 features are selected, with the highest improvement (0.015) over that of CMFSX when 800 features are selected.

6.2.3 Results on the Reuters-21578 dataset

Fig. 7 shows the  $F_1$  values of different feature selection methods on the RE dataset when SVM and NB are used. It can be seen that, when SVM is used, the  $F_1$  values of the proposed method are generally higher than those of the other methods, except when the number of selected features equals 400.

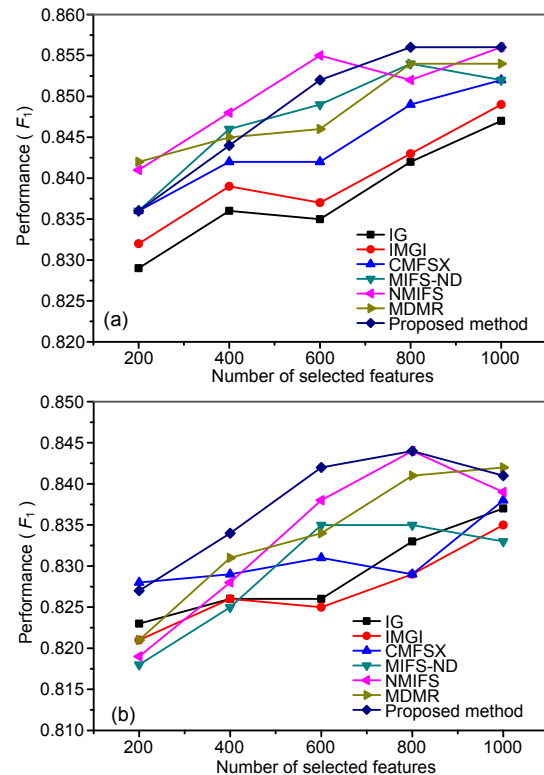
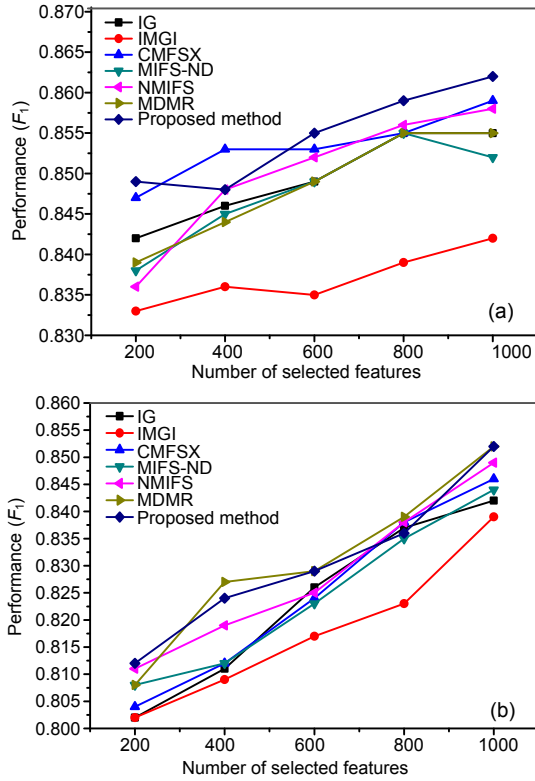


Fig. 6 Performance (values of  $F_1$ ) of different feature selection methods on the 20-Newsgroups dataset when support vector machine (SVM) (a) and naïve Bayes (NB) (b) are used (References to color refer to the online version of this figure)

Obviously, the proposed method obtains the global highest  $F_1$  value of 0.862 when the number of the selected features equals 1000. When NB is used, the  $F_1$  values of all methods gradually increase as the number of the selected features increases. The proposed method has better output results than the other methods when the number of the selected features equals 200, 600, or 1000, with the highest improvement (0.010) over CMFSX when 400 features are selected.

6.3 Comparison of the proposed method and the optimal feature selection methods

The OFS method affects the performance of the proposed method. To show the improvement of the proposed method over the OFS method, different typical traditional feature selections, such as document frequency (DF) (Yang et al., 2012), IG, DIA, and MI, were used as the OFS methods for experiments. We denote the execution time increments and the



**Fig. 7** Performance (values of  $F_1$ ) of different feature selection methods on the Reuters-21578 dataset when support vector machine (SVM) (a) and naïve Bayes (NB) (b) are used (References to color refer to the online version of this figure)

classification accuracy improvements of the proposed method over the OFS method as  $T_1$  and  $F_1$ , respectively. When the number of the selected features ranges from 200 to 1000 with a step of 200,  $T_1$  and  $F_1$  are defined as

$$\begin{cases} T_1 = \frac{1}{5} \sum_n (T(n) - T_{\text{OFS}}(n)), \\ F_1 = \frac{1}{5} \sum_n (F(n) - F_{\text{OFS}}(n)), \end{cases} \quad (20)$$

where  $T(n)$  and  $T_{\text{OFS}}(n)$  are the execution time of the proposed method and the OFS method, respectively, when  $n$  features are selected, and  $F(n)$  and  $F_{\text{OFS}}(n)$  are the  $F_1$  values of a classifier when  $n$  features are selected by the proposed method and the OFS method, respectively. On this basis, Table 5 gives the  $T_1$  values when different methods are used as OFS, and Table 6 gives the corresponding the  $F_1$  values when SVM and NB are applied on the WE, NE, and RE datasets, respectively. We can see from Table 5 that, all the  $T_1$

values are smaller than 0.5 s when different OFS methods are used, which means that the proposed method spends little time on filtering redundant features. From Table 6, we know that when SVM is used, the  $F_1$  values are larger than zero in 95.83% of the cases (23 of 24 cases), with the largest  $F_1$  of 0.024 when OFS is initialized to CHI on the RE dataset. When NB is used, the  $F_1$  values are larger than zero in 91.67% of the cases (22 of 24 cases), with the highest  $F_1$  of 0.021 when OFS is initialized to MI on the WE dataset. In evidence, the proposed method brings a high classification accuracy at the cost of slight increments of execution time, illustrating the effectiveness of the proposed method in selecting the most discriminative features.

#### 6.4 Statistical results and discussions

The nonparametric tests are used widely in statistical learning. The Wilcoxon signed-rank test is usually powerful in detecting the difference between two populations (Wilcoxon, 1945; Taheri and Hesamian, 2013). In this section, the Wilcoxon signed-rank test is used, and the null hypothesis is that the two methods are equivalent. If the null hypothesis is rejected ( $p$ -value is less than or equal to significance level  $\alpha$ ), the differences between the methods are significant. To further validate the effectiveness of the proposed method, different methods are used for comparisons from the aspects of execution time and classification accuracy.

1. To compare the execution speeds of different methods, the difference in execution time between method  $i$  and the proposed method is denoted as  $t_{di}$ , which is measured by

$$t_{di} = t_i - t_s, \quad (21)$$

where  $t_i$  and  $t_s$  are the execution time of method  $i$  and the proposed method, respectively. Moreover, the experiments were carried out 10 times when the number of selected features ranges from 100 to 1000 with a step of 100, and the average of  $t_{di}$ , (denoted as  $t_{da}$ ) for each method is computed and shown in Table 7.

2. Given significance level  $\alpha=0.05$ , the  $F_1$  values of the proposed method and those of the other methods are compared using the Wilcoxon signed-rank test when the feature number ranges from 100 to 1000 with a step of 100. Moreover, experiments were

carried out 10 times when SVM and NB are applied, and the average of  $p$ -values (denoted as  $p_a$ ) is shown in Table 8.

We can see from Table 7 that the execution time of the proposed method is slightly higher than that of traditional feature selections as the corresponding  $t_{da}$  values are less than zero. Moreover, as the proposed method does not need to calculate the correlations between the candidate and the selected features, it runs much faster than the MI-based methods, with the highest  $t_{da}$  of 46.374 in the case of MDMR on the RE dataset. When comparing the classification accuracy

of different methods, we can see from Table 8 that the  $p_a$  values are lower than  $\alpha$  in 26 of 36 cases, showing that the proposed method outperforms other methods in 72.2% of the cases in terms of classification accuracy. As the traditional feature selection methods (IG, IMGI, and CMFSX) cannot filter the redundant features, they perform worse than the proposed method in all cases. Moreover, the proposed method outperforms the MI-based methods (MIFS-ND, NMIFS, and MDMR) more than twofold, illustrating the effectiveness of the proposed method in ensuring classification accuracy. Overall, we conclude from Tables

**Table 5 Increments in execution time ( $T_1$ ) of the proposed method over different optimal feature selection methods**

Dataset	$T_1$ of the proposed method over different optimal feature selection methods (s)							
	DIA	IG	IMGI	OCFS	CHI	MI	DF	CMFSX
WE	0.352	0.362	0.349	0.356	0.362	0.361	0.359	0.355
NE	0.357	0.355	0.353	0.339	0.347	0.368	0.356	0.362
RE	0.209	0.217	0.192	0.203	0.218	0.214	0.198	0.204

WE: WebKB; NE: 20-Newsgroups; RE: Reuters-21578

**Table 6 Improvements in classification accuracy ( $F_1$ ) of the proposed method over different optimal feature selection methods**

Classifier	Dataset	$F_1$ of the proposed method over different optimal feature selection methods							
		DIA	IG	IMGI	OCFS	CHI	MI	DF	CMFSX
SVM	WE	0.015	0.003	0.004	0.017	0.002	0.017	0	0.015
	NE	0.023	0.013	0.021	0.012	0.011	0.017	0.008	0.005
	RE	0.019	0.017	0.003	0.009	0.024	0.003	0.003	0.012
NB	WE	0.007	0.015	0.004	0.007	0.018	0.019	0.002	0.009
	NE	0.005	0.006	0.009	0.014	0.002	0.012	0.007	0.005
	RE	0.014	0	0.008	0	0.008	0.021	0.011	0.007

SVM: support vector machine; NB: naïve Bayes; WE: WebKB; NE: 20-Newsgroups; RE: Reuters-21578

**Table 7 The average of the difference in execution time ( $t_{da}$ ) between the proposed method and other methods**

Dataset	$t_{da}$ between the proposed method and other methods (s)					
	IG	IMGI	CMFSX	MIFS-ND	NMIFS	MDMR
WE	-0.375	-0.492	-0.458	5.556	8.983	12.136
NE	-0.427	-0.453	-0.611	10.139	15.782	33.552
RE	-0.439	-0.517	-0.419	14.227	16.694	46.374

WE: WebKB; NE: 20-Newsgroups; RE: Reuters-21578

**Table 8 The average of  $p$ -values ( $p_a$ ) between the proposed method and other methods**

Classifier	Dataset	$p_a$ between the proposed method and other methods					
		IG	IMGI	CMFSX	MIFS-ND	NMIFS	MDMR
SVM	WE	0	0	0	0.332	0.408	0.439
	NE	0	0	0	0.025	0.195	0.387
	RE	0.002	0	0.042	0.014	0.029	0.036
NB	WE	0	0	0	0.291	0.535	0.366
	NE	0	0	0	0	0.008	0.022
	RE	0.032	0	0.037	0.008	0.078	0.268

SVM: support vector machine; NB: naïve Bayes; WE: WebKB; NE: 20-Newsgroups; RE: Reuters-21578

7 and 8 that the proposed method is more advantageous than traditional methods in terms of execution speed, and filters the redundant information of the selected features effectively.

## 7 Conclusions

To identify an efficient feature selection method that has a low time complexity and a high classification accuracy, we proposed a new simple feature selection method in this study. We introduced the definitions of word frequency based relevance and correlative redundancy, and thereafter used an optimal feature selection (OFS) method to select a feature subset  $FS_1$ . Moreover, we filtered the redundant features contained in  $FS_1$  by combining a predetermined threshold and memorized the filtered features using the data structure of linked lists. By comparing the proposed method with several typical feature selection methods on three datasets (WebKB, 20-Newsgroups, and Reuters-21578), we found that the proposed method can effectively enhance the performances in terms of classification accuracy and execution speed when compared with typical traditional feature selection methods and typical MI-based feature selection methods.

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