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# Identity-based threshold proxy re-encryption scheme from lattices and its applications

**Key words:** Post-quantum cryptography; Threshold proxy re-encryption; Lattices; Robustness; Decentralization

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# Motivation

- From the perspective of practice, centralized proxy re-encryption (PRE) is unsatisfactory. First, the centralized proxy server may not be constantly online; Second, a single proxy node in complete control of the whole re-encryption key may develop into an attractive attack target; Third, the single proxy server causes significant bottlenecks in efficiency.
- From a theoretical perspective, the development of quantum computers has posed serious threats to the security of public-key cryptography. So, PREs against quantum attack are urgently needed.

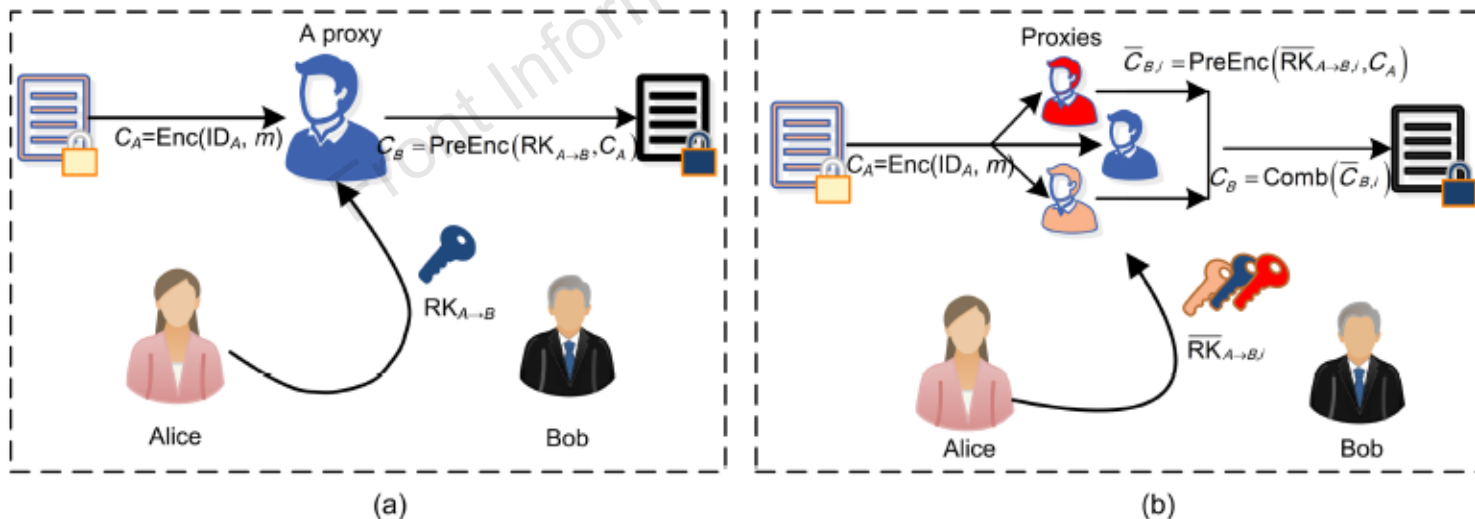


Fig. 1 Ciphertext conversion in IB-PRE (a) and IB-TPRE (b)

# Contributions

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- ❑ We employ Shamir's secret sharing twice. First, it splits a public vector into multiple feature vectors attached to each proxy server. Second, it splits the re-encryption key. The homomorphism between the re-encryption key shares and ciphertext shares makes the overall ciphertext conversion hold, which effectively decentralizes the proxy power.
- ❑ A homomorphic signature from lattices is applied to make our scheme robust. Robustness means that a forged or wrong ciphertext share can be detected immediately. Our scheme realizes robustness using the unforgeability of homomorphic signatures.
- ❑ Our scheme has many practical applications because of its high availability, low trust, and strong security. Our scheme can be applied in access control in a decentralized environment, such as a file-sharing system based on a blockchain network and a robust key escrow system with threshold cryptography.

# Description of the IB-TPRE scheme (1)

## 3.1 Key generation algorithms

### 1. Setup( $1^\lambda$ )

Generate a uniformly distributed matrix  $A_0 \in \mathbb{Z}_q^{n \times m}$  with its trapdoor  $T_{A_0} \in \mathbb{Z}_q^{m \times m}$  by algorithm TrapGen. Choose two uniformly distributed matrices  $A_1 \in \mathbb{Z}_q^{n \times m}$  and  $B \in \mathbb{Z}_q^{n \times m}$  and a vector  $u \in \mathbb{Z}_q^n$ . The user dictionary UD and delegation dictionary DD are initialized by empty sets. Publish the public key  $PP = \{A_0, A_1, B, u\}$  and keep the master private key  $MSK = \{T_{A_0}\} \in \mathbb{Z}_q^{m \times m}$ .

### 2. KeyGen(MSK, id)

When a user id submits a request to PKG for a private key, check the user directory UD first. If there is already a private key record for the user id, retrieve and return it to the user. Otherwise, we perform the following:

(1) Convert the identity id into  $H(\text{id}) \in \mathbb{Z}_q^{n \times n}$ , obtain the corresponding public key  $F_{\text{id}} = (A_0 | A_1 + H(\text{id})B)$ , and calculate the private key  $e_{\text{id}} \in \psi_\gamma^{2m}$  by  $\text{SampleLeft}(A_0, A_1 + H(\text{id})B, T_{A_0}, u, \psi_\gamma)$  satisfying  $F_{\text{id}} e_{\text{id}} = u$ .

(2) Obtain  $T_{\text{id}} = \text{SampleBasisLeft}(A_0, A_1 + H(\text{id})B, T_{A_0}, s_t)$  satisfying  $F_{\text{id}} T_{\text{id}} = 0$ , where  $s_t$  is the Gaussian parameter used to generate the user trapdoor.

Return private key  $SK_{\text{id}} = (e_{\text{id}}, T_{\text{id}})$  to id and add it to UD.

### 3. ReKeyGen(id<sub>1</sub>, id<sub>2</sub>, SK<sub>id<sub>1</sub></sub>, N, k)

On generating the re-encryption between id<sub>1</sub> and id<sub>2</sub>, check the delegation directory DD first. If re-encryption key shares are already contained in the directory, distribute these shares to the corresponding proxy server and then exit. Otherwise, compute all the re-encryption key shares  $\{k\text{Frag}_i\}$  ( $1 \leq i \leq N$ ) as follows:

(1) Run Shamir's secret sharing to split  $u \in \mathbb{Z}_q^n$

to  $\bar{u}_i \in \mathbb{Z}_q^n$  ( $1 \leq i \leq N$ ) as feature vectors for each proxy server indexed by  $i$ , and store them locally (this process needs to be performed only once). For  $i \in \{1, 2, \dots, N\}$ , let the feature vector of the  $i^{\text{th}}$  proxy server be  $\bar{u}_i \in \mathbb{Z}_q^n$ , and  $\bar{e}_{i, \text{id}_1} \leftarrow \text{SamplePre}(F_{\text{id}_1}, T_{\text{id}_1}, \bar{u}_i, \psi_\gamma)$  satisfy  $F_{\text{id}_1} \bar{e}_{i, \text{id}_1} = \bar{u}_i$ .

(2) Choose a matrix  $R \in \psi_e^{(2ml) \times n}$ , a vector  $e \in \psi_e^{2ml}$ , and a matrix  $E \in \psi_e^{(2ml) \times (2m)}$ . Then compute  $P = RF_{\text{id}_2} + E \in \mathbb{Z}_q^{(2ml) \times (2m)}$  and  $Q = Ru + e \in \mathbb{Z}_q^{2ml}$ , where  $F_{\text{id}_2} = (A_0 | A_1 + H(\text{id}_2)B)$ .

(3) Run Shamir's secret sharing on each coefficient from  $(P, Q)$  by the following:

For  $i \in \{1, 2, \dots, 2ml\}$  and  $j \in \{1, 2, \dots, 2m\}$ , select a random polynomial  $y_{i,j}(x) \in \mathbb{Z}_q[x]$  satisfying  $y_{i,j}(0) = P_{ij}$  with its degree equal to  $k-1$ . For  $i \in \{1, 2, \dots, 2ml\}$ , choose a polynomial  $w_i(x) \in \mathbb{Z}_q[x]$  satisfying  $w_i(0) = Q_i$  with its degree equal to  $k-1$ .

# Description of the IB-TPRE scheme (2)

For  $1 \leq j \leq N$ , the partial decryption share for the  $j^{\text{th}}$  proxy server is

$$(\bar{P}_j, \bar{Q}_j) = \begin{bmatrix} y_{1,1}(j) & \cdots & y_{1,2m}(j) & w_1(j) \\ y_{2,1}(j) & \cdots & y_{2,2m}(j) & w_2(j) \\ \vdots & & \vdots & \vdots \\ y_{2ml,1}(j) & \cdots & y_{2ml,2m}(j) & w_{2ml}(j) \end{bmatrix} \in \mathbb{Z}_q^{(2ml) \times (2m+1)}.$$

(4) The re-encryption key share for the  $j^{\text{th}}$  proxy server is  $\overline{\mathbf{RK}}_j = (\bar{P}_j, \bar{Q}_j - \text{Power}2(\bar{e}_{j,\text{id}_j}))$  ( $1 \leq j \leq N$ ).

(5) Generate verification and signing keys (hsvk, hssk) by HS.KeyGen. Select  $N$  keys  $\text{prfk}_1, \text{prfk}_2, \dots, \text{prfk}_N$  independently by the pseudo-random function  $F_{\text{prfk}}$ . For  $i \in \{1, 2, \dots, N\}$ , set  $X_i = (\overline{\mathbf{RK}}_i, \text{prfk}_i)$  and compute  $\bar{\sigma}_i = \text{HS.Sign}(\text{hssk}, X_i)$ .

Publish hsvk to verify the signature in the subsequent process. Send shares  $\{\mathbf{kFrag}_i\} = \{\overline{\mathbf{RK}}_i, \text{prfk}_i, \bar{\sigma}_i\}$  ( $1 \leq i \leq N$ ) to the proxy server with index  $i$  over a secure channel, and add them to DD.

## 3.2 Encryption and decryption algorithms

### 1. Encrypt(id, M)

To encrypt a bit  $M \in \{0, 1\}$ , it works as follows:

(1) On input of the user  $\text{id} \in \mathbb{Z}_q^n$ , encode it as

$$F_{\text{id}} = (A_0 \mid A_1 + H(\text{id})B) \in \mathbb{Z}_q^{n \times (2m)}.$$

(2) Choose a uniform vector  $s \in \mathbb{Z}_q^{n \times 1}$  randomly.

(3) Choose a uniform integer matrix  $R_{\text{id}} \in \{-1, 1\}^{m \times m}$  randomly.

(4) Choose  $x \in \psi_e$  and  $y \in \psi_e^{1 \times m}$ , and then calculate  $z = yR_{\text{id}} \in \psi_e^{1 \times m}$ .

(5) Calculate  $c_1 = s^T F_{\text{id}} + \eta(y \mid z) \in \mathbb{Z}_q^{1 \times (2m)}$  and  $c_2 = s^T u + \eta x + M \lfloor q/2 \rfloor \in \mathbb{Z}_q$ . Output ciphertext  $C = (c_1, c_2) \in \mathbb{Z}_q^{2m+1}$ .

### 2. Dec( $C_{\text{id}}, e_{\text{id}}$ )

On input of a ciphertext  $C_{\text{id}} = (c_1, c_2)$  and the corresponding private key  $e_{\text{id}}$ , compute  $M' = c_2 - c_1 e_{\text{id}}$ . If  $M' \in (\lfloor q/4 \rfloor, \lfloor 3q/4 \rfloor)$ , set  $M' = 1$ ; otherwise,  $M' = 0$ .

## 3.3 Ciphertext share processing algorithms

### 1. PreEnc( $C_{\text{id}_1}, \{\mathbf{kFrag}_i\}$ )

The input contains a user  $\text{id}_1$ 's ciphertext  $C_{\text{id}_1} = (c_{1,\text{id}_1}, c_{2,\text{id}_1})$  and a PRE key share  $\{\mathbf{kFrag}_i\} = \{\overline{\mathbf{RK}}_i = (\bar{P}_i, \bar{Q}_i - \text{Power}2(\bar{e}_{i,\text{id}_1})), \text{prfk}_i, \bar{\sigma}_i\}$ .

(1) Compute  $c'_{1,\text{id}_1} = \text{Bits}(c_{1,\text{id}_1}) \cdot \bar{P}_i \in \mathbb{Z}_q^{2m}$  and  $c'_{2,\text{id}_2} = c_{2,\text{id}_2} + \text{Bits}(c_{1,\text{id}_1}) \cdot (\bar{Q}_i - \text{Power}2(\bar{e}_{i,\text{id}_1})) \in \mathbb{Z}_q$ .

(2) Compute  $(e'_1, e'_2) = F_{\text{prfk}_i}(c_{1,\text{id}_1}, c_{2,\text{id}_1}) \in \mathbb{Z}_q^{2m+1}$ .

# Description of the IB-TPRE scheme (3)

(3) Compute  $\bar{c}_{1,id_2} = c'_{1,id_2} + \eta e'_1$  and  $\bar{c}_{2,id_2} = c'_{2,id_2} + \eta e'_2$ . Set  $\bar{C}_{i,id_2} = (\bar{c}_{1,id_2}, \bar{c}_{2,id_2})$ .

(4) Homomorphically evaluate the signature  $\bar{\sigma}_{i,id_2} = \text{HS.SignEval}(g_{C_{id_1}}, \bar{\sigma}_i)$ , where circuit  $g_{C_{id_1}}$  is defined as follows:

$$\begin{aligned} g_{C_{id_1}}(\overline{\text{RK}}_i &= (\bar{P}_i, \bar{Q}_i - \text{Power2}(\bar{e}_{i,id_1})), \text{prfk}_i) \\ &= (\text{Bits}(c_{1,id_1}) \cdot \bar{P}_i, c_{2,id_1} + \text{Bits}(c_{1,id_1}) \cdot (\bar{Q}_i \\ &\quad - \text{Power2}(\bar{e}_{i,id_1}))) + \eta \cdot F_{\text{prfk}_i}(c_{1,id_1}, c_{2,id_1}) \in \mathbb{Z}_q^{2m+1}. \end{aligned}$$

Output the ciphertext share  $\{C_{\text{cFrag},i}\} = \{C_{i,id_2}, \bar{\sigma}_{i,id_2}\}$ .

2.  $\text{Verify}(\text{hsvk}, C_{\text{cFrag},i})$

On input of the verification key  $\text{hsvk}$  and a ciphertext share  $\{C_{\text{cFrag},i}\} = \{C_{i,id_2}, \bar{\sigma}_{i,id_2}\}$ , the verification algorithm outputs  $\text{HS.Verify}(\text{hsvk}, \bar{C}_{i,id_2}, g_{C_{id_1}}, \bar{\sigma}_{i,id_2})$ .

3.  $\text{Comb}(C_{id_2}, \{C_{\text{cFrag},i}\}_{i \in S})$

Assume that the participant set is  $S$ , and  $k' = |S|$  represents the valid share size. If  $k' < k$ , output  $\perp$ ; otherwise, calculate a whole ciphertext.

(1) For each ciphertext share  $\{C_{\text{cFrag},i}\} (i \in S)$ , check  $\text{Verify}(\text{hsvk}, \{C_{\text{cFrag},i}\})$ . If the verification fails, output  $\perp$  and exit.

(2) Parse  $C_{\text{cFrag},i} = \{\bar{C}_{i,id_2}, \bar{\sigma}_{i,id_2}\}$ , and then take the proxy server's index  $i$  and  $\bar{C}_{i,id_2} = (\bar{c}_{1,id_2}, \bar{c}_{2,id_2})$ . Calculate each Lagrange coefficient as

$$\lambda_i = \prod_{j \in S, i \neq j} \frac{-j}{i-j}.$$

At last, recover a whole ciphertext as

$$\begin{aligned} C_{id_2} &= (c_{1,id_2}, c_{2,id_2}) \\ &= \sum_{i \in S} \lambda_i (\bar{c}_{1,id_2}, \bar{c}_{2,id_2})_i + \left( 0, \left( 1 - \sum_{i \in S} \lambda_i \right) c_{2,id_1} \right). \end{aligned}$$

# Description of the IB-TPRE scheme (4)

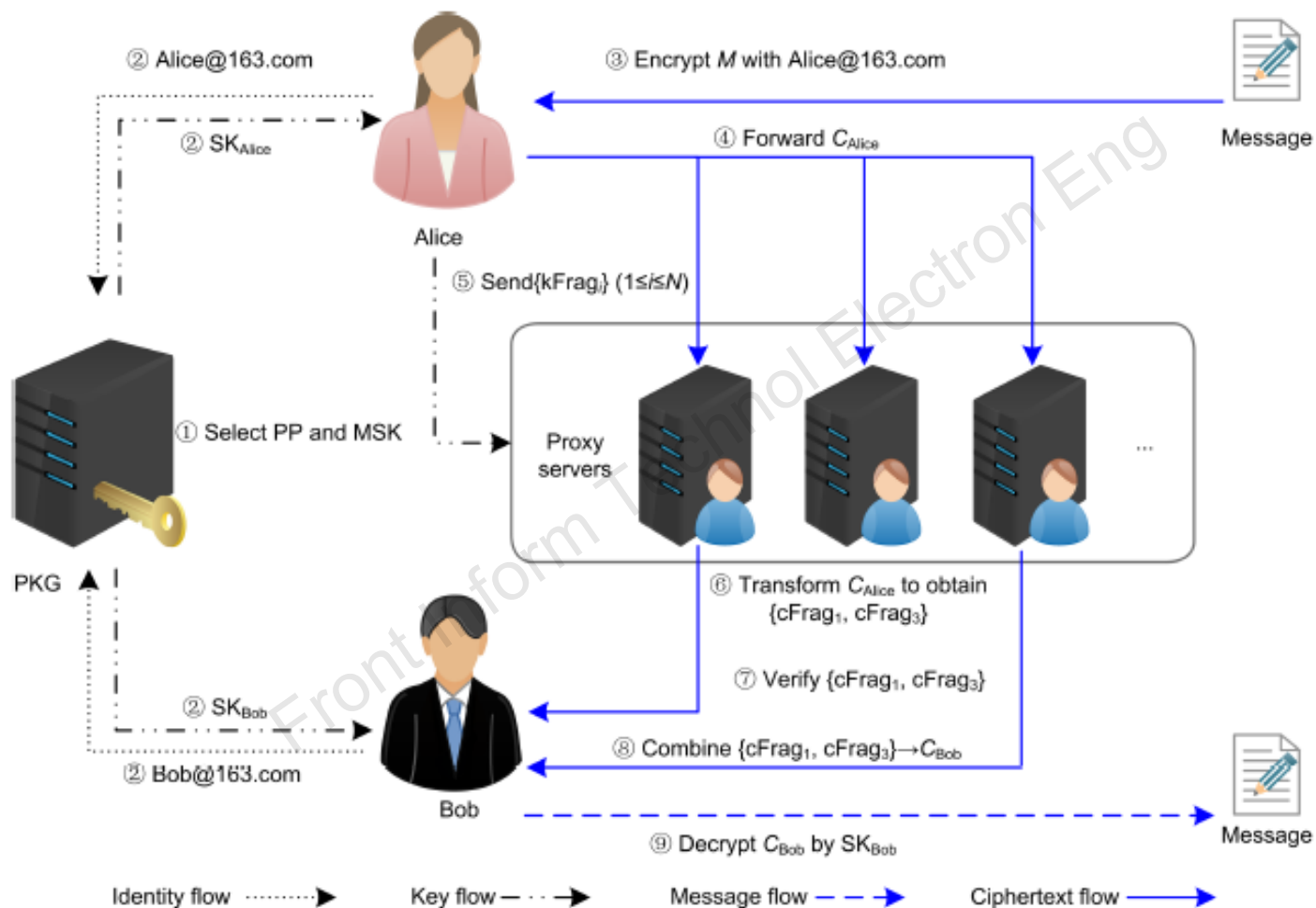


Fig. 2 Workflow of our proposed IB-TPRE scheme

# Analysis (1)

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**Theorem 2 (Correctness)** Assume  $m = \lceil 6n \log_2 q \rceil$ ,  $\sigma \geq \|\tilde{T}_{A_0}\| \omega(\sqrt{\log_2 n})$ , and  $B_\gamma$  ( $B_e$ ) the upper bound of the discrete Gaussian distribution  $\psi_\gamma$  ( $\psi_e$ ). The output of the pseudo-random function  $F_{\text{prfk}}$  is a uniform distribution with its upper bound  $B_r$ , and set  $q \geq 8 \max\{4ml, \sqrt{2mk}(N!)^3\} B_e B_\gamma + 8\sqrt{2mk}(N!)^3 B_r B_\gamma$ .

The probability of successful decryption of our new IB-TPRE is close to 1 in a single hop.

**Theorem 3 (Security)** The IB-TPRE system is IND-sID-CPA secure if the LWE assumption holds.

**Theorem 4 (Robustness)** If a homomorphic signature scheme  $\Pi_{\text{HS}} = (\text{HS.KeyGen}, \text{HS.Sign}, \text{HS.SignEval}, \text{HS.Verify})$  satisfies unforgeability, the proposed IB-TPRE scheme is robust.

# Analysis (2)

Table 1 Strategies to answer ReKeyGen queries

Delegator	Delegatee	User processing	Returned content
$id_1 \notin (\Gamma_H \cup \Gamma_C)$	$id_2 \in \Gamma_H$	No action	Obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ , compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen, and return all the shares
	$id_2 \notin (\Gamma_H \cup \Gamma_C)$	Add $id_2$ to $\Gamma_H$	Obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ , compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen, and return only the first $k-1$ shares
$id_1 \in \Gamma_H$	$id_2 \in \Gamma_C$	Add $id_1$ to $\Gamma_H$	Obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ , compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen, and return only the first $k-1$ shares
	$id_2 \in \Gamma_H$	No action	If $id_1 \neq id_2^*$ , obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ and compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen. Otherwise, randomly generate a temporary $RK_{id_1 \rightarrow id_2}$ and divide it into $N$ shares. At last, return all of them to $N$ proxy servers
	$id_2 \in \Gamma_C$	No action	If $id_1 \neq id_2^*$ , obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ and compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen. Otherwise, randomly generate a temporary $RK_{id_1 \rightarrow id_2}$ and divide it into $N$ shares. At last, return only the first $k-1$ shares
$id_1 \in \Gamma_C$	$id_2 \notin (\Gamma_H \cup \Gamma_C)$	No action	If $id_1 \neq id_2^*$ , obtain $RK_{id_1} \leftarrow \text{KeyGen}$ with $T_B$ and compute re-encryption key shares $\{kFrag_i\}$ ( $1 \leq i \leq N$ ) by ReKeyGen. Otherwise, randomly generate a temporary $RK_{id_1 \rightarrow id_2}$ and divide it into $N$ shares. At last, return only the first $k-1$ shares
$id_1 \in \Gamma_C$		No action	$\perp$

# Applications

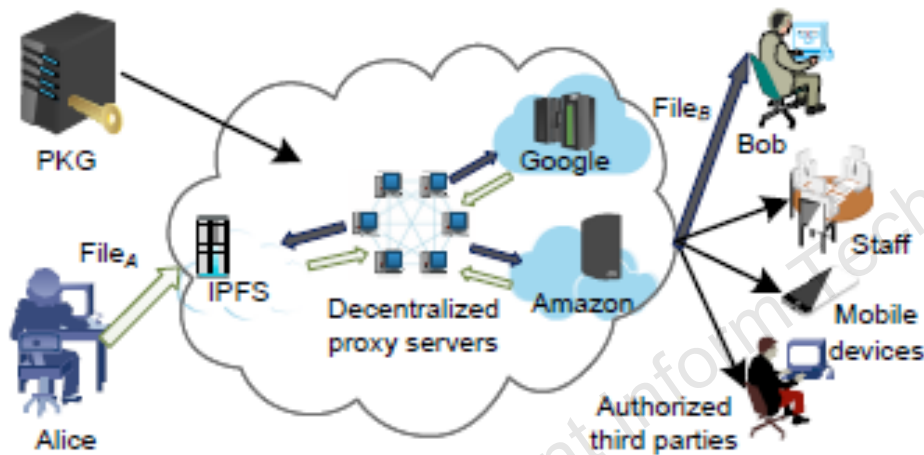


Fig. 3 A file-sharing system based on a blockchain network

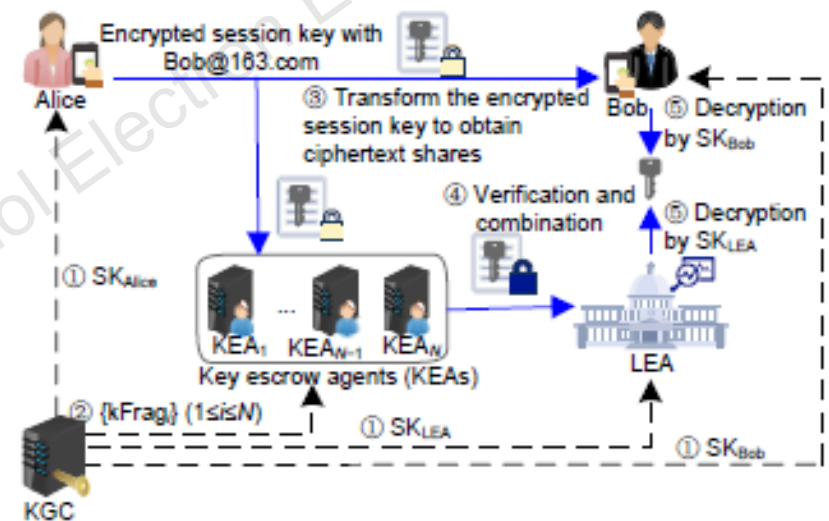


Fig. 4 A robust key escrow system with threshold cryptography

# Conclusions

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- ❑ We propose an IB-TPRE over lattices by combining the threshold proxy re-encryption, identity-based encryption, and homomorphic signature.
- ❑ Our IB-TPRE has the advantages of high availability, low trust, and strong security.
- ❑ Our IB-TPRE provides encryption and cryptographic access control performed by a decentralized network.



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