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# An optimal algorithm for preemptive scheduling on non-simultaneously available uniform machines

**Key words:** Optimal algorithm; Preemptive scheduling; Uniform machine; Non-simultaneous available times

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# Motivation

1. Unlike classical parallel-machine scheduling where all the machines are available simultaneously at time zero, the machines in our problem may not be available at time zero.
2. This model has many applications in real industry settings; e.g., machines may require different setup times or warm-up times before they start processing jobs due to preventive maintenance and adjustment requirements.

# Main idea

1. We first convert all the  $m$  uniform machines to  $m$  virtual machines such that, at any time, the earlier the available time of a virtual machine, the greater its speed.
2. Then we schedule the current job so as to make a virtual machine with as small a completion time as possible to be a full machine.

# Method

## 1. Machine conversion

We convert all the  $m$  uniform machines to  $m$  virtual machines whose speed is defined as follows:

$$v_i(t) = \begin{cases} 0, & 0 < t \leq r_i, \\ v_{ji}, & r_j < t \leq r_{j+1}, j = i, i+1, \dots, m-1, \\ v_{mi}, & t > r_m. \end{cases}$$

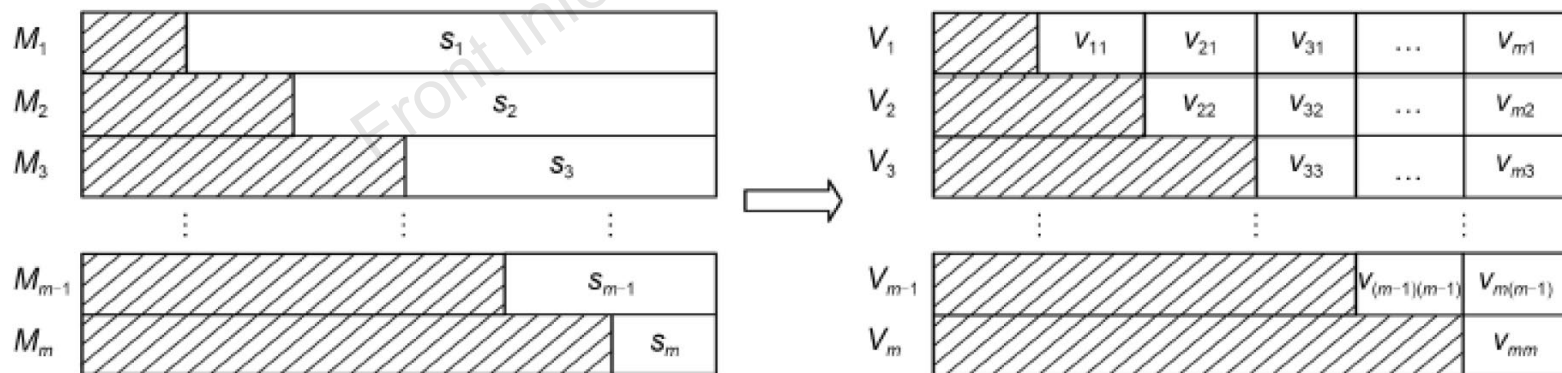


Fig. 1 Converting from real machines to virtual machines

# Method (Cont'd)

## 2. Job schedule:

Schedule the current job so as to make a virtual machine with as small a completion time as possible to be a full machine.

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### Algorithm 1 Optimal schedule

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// Step 1

Let  $l_i = r_i$  ( $i = 1, 2, \dots, m$ ),  $S_{\text{NFVM}} = \{V_1, V_2, \dots, V_m\}$ , and  $q_{\text{NFVM}} = m$ .

// Step 2

For any  $p_j, j = 1, 2, \dots, n$ .

// Step 3

If  $p_j \leq W_{q_{\text{NFVM}}}$

Schedule  $p_j$  on virtual machine  $V_{q_{\text{NFVM}}}$  as early as possible.

Find a time  $T$  such that

$$\int_{l_{q_{\text{NFVM}}}}^T v_{q_{\text{NFVM}}}(t) dt = p_j.$$

If  $T = W_{\mathcal{Q}}$

Update  $S_{\text{NFVM}} = S_{\text{NFVM}} \setminus \{V_{q_{\text{NFVM}}}\}$  and

$q_{\text{NFVM}} = \max_{V_i \in S_{\text{NFVM}}} \{i\}; j = j + 1$ , go back to Step 2.

Else

Update  $l_{q_{\text{NFVM}}} = T$  and  $W_{q_{\text{NFVM}}} = W_{q_{\text{NFVM}}} - p_j$ ;

$j = j + 1$ , go back to Step 2.

End if

// Step 4

Else

Find two adjacent virtual machines  $V_k$  and  $V_h$  in  $S_{\text{NFVM}}$  such that  $W_k < p_j \leq W_h$ .

If  $\int_{l_k}^{l_k} v_h(t) dt + \int_{l_k}^{w_{\mathcal{Q}}} v_k(t) dt \geq p_j$

Partition  $p_j$  into two parts  $p_j^{(1)}$  and  $p_j^{(2)}$  such that

$$p_j^{(1)} = W_k \text{ and } p_j^{(2)} = p_j - W_k.$$

Find a time  $T$  such that  $p_j^{(2)} = \int_{l_h}^T v_h(t) dt$ .

Schedule  $p_j^{(1)}$  on  $V_k$  in the time interval  $[l_k, w_{\mathcal{Q}}]$  and  $p_j^{(2)}$  on  $V_h$  in  $[l_h, T]$ .

Update  $S_{\text{NFVM}} = S_{\text{NFVM}} \setminus \{V_k\}$ ,  $q_{\text{NFVM}} = \max_{V_i \in S_{\text{NFVM}}} \{i\}$ ,

$l_h = T$ , and  $W_h = W_h + W_k - p_j$ ;  $j = j + 1$ , go

back to Step 2.

// Step 5

Else

Find a time  $T$  such that

$$\int_{l_h}^T v_h(t) dt + \int_T^{w_{\mathcal{Q}}} v_k(t) dt = p_j.$$

Partition  $p_j$  into two parts  $p_j^{(1)}$  and  $p_j^{(2)}$  such that

$$p_j^{(1)} = \int_T^{w_{\mathcal{Q}}} v_k(t) dt \text{ and } p_j^{(2)} = p_j - p_j^{(1)} = \int_{l_h}^T v_h(t) dt.$$

Schedule  $p_j^{(1)}$  on  $V_k$  in the time interval  $[T, w_{\mathcal{Q}}]$  and  $p_j^{(2)}$  on  $V_h$  in  $[l_h, T]$ . Change the time slot  $[l_h, T]$  of the two virtual machines; we have a new virtual machine  $V_h$  with  $l_h = l_k$  and a new "full" virtual machine  $V_k$ .

Update  $S_{\text{NFVM}} = S_{\text{NFVM}} \setminus \{V_k\}$ ,  $q_{\text{NFVM}} = \max_{V_i \in S_{\text{NFVM}}} \{i\}$ ,

$l_h = l_k$ , and  $W_h = W_h + W_k - p_j$ ;  $j = j + 1$ , go back

to Step 2.

End if

End if

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# Algorithm illustration

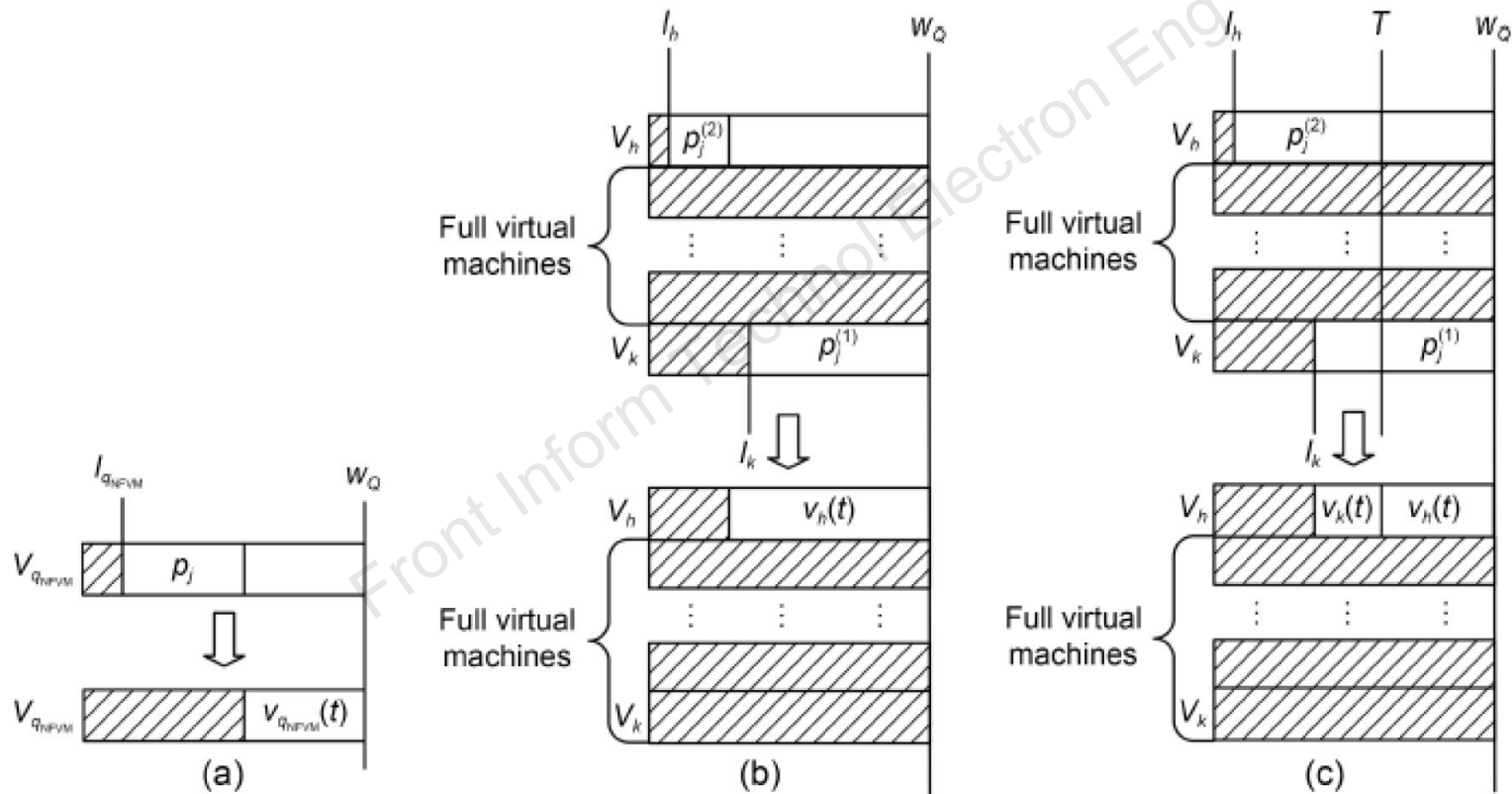


Fig. 2 Jobs scheduled in Step 3 (a), Step 4 (b), and Step 5 (c)

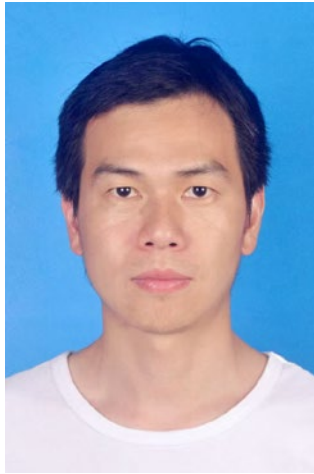
# Major results

**Theorem** Algorithm 1 generates an optimal schedule for problem  $Qm, r_i | \text{pmtn} | C_{\max}$ .

- The time complexity of our algorithm is  $O(nm + m^2)$ .
- The number of preemptions is at most  $\frac{1}{2}(m^2 + 3m) - 2$ .

# Conclusions

1. We provide a lower bound on the optimal makespan by transforming the  $m$  uniform machines into  $m$  virtual machines.
2. We provide a solution algorithm for the preemptive scheduling on parallel uniform machines with non-simultaneous available time, i.e.,  $Qm, r_i | \text{pmtn} | C_{\max}$ .



**Hao Zhou** is currently a full Professor at Zhejiang Shuren University. His major research interests are in mathematical models, scheduling theory and approximation algorithms. He has published 20 papers in journals such as *Theoretical Computer Science*, *Asia-pacific Journal of Operational Research*, and *Acta Mathematica Sinica-English Series*.



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