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# **Robust wideband waveform design with constant modulus and discrete phase constraints for distributed precision jamming**

**Key words:** Wideband waveform design; Constant modulus (CM); Discrete phase; Riemannian conjugate gradient (RCG); Distributed precision jamming (DPJ)

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# Motivation

1. Conventional blanket jamming methods, typically implemented by a single high-power jammer, suffer from low utilization efficiency and can inadvertently affect friendly devices due to their spatial limitations.
2. The introduction of beamforming techniques aims to enhance jamming effectiveness but still poses risks to adjacent friendly devices, highlighting the need for more sophisticated jamming strategies.
3. The existing methods for waveform design face high computational burdens and are not suitable for the minimax multi-objective problem (MOP) inherent in distributed precision jamming (DPJ) scenarios.

# Main idea

1. Compared with previous works on the narrowband constant modulus (CM) discrete phase waveform design, we use the wideband signal model to design the CM discrete phase waveform for DPJ.
2. The Riemannian conjugate gradient for CM discrete phase constraints (RCG-CMDPC) algorithm is proposed to address the large-scale optimization problem with CM and discrete phase constraints.
3. The effectiveness of the proposed algorithm is validated through numerical simulations, demonstrating superior jamming efficiency and lower computational demands compared to existing methods.

# Problem formulation

## 1. Signal model

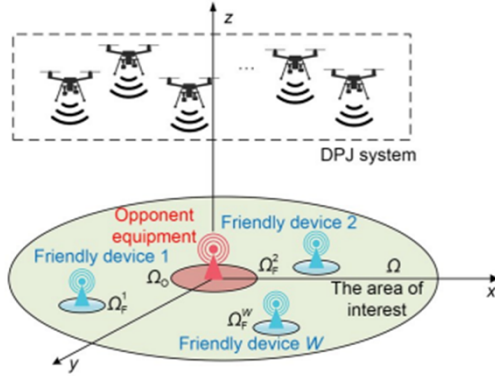


Fig. 1 Blanket jamming action implemented by the distributed precision jamming (DPJ) system consisting of  $M$  drones

Therefore, based on Eqs. (1)–(4), the CPS at the spatial frequency point  $(\sigma_z, q)$  is given by Eq. (5):

$$P_{\sigma_z, q} = |a_{\sigma_z, q}^H y_q|^2 = |a_{\sigma_z, q}^H F_q x|^2 = x^H \Pi_{\sigma_z, q} x, \quad (5)$$

where  $y_q = [y_1(q), y_2(q), \dots, y_M(q)]^T$ ,  $F_q = (f_q^T \otimes I_M) \in \mathbb{C}^{M \times MN}$ ,  $x = [x_1^T, x_2^T, \dots, x_M^T]^T \in \mathbb{C}^{MN}$ , and  $\Pi_{\sigma_z, q} = F_q^H a_{\sigma_z, q} a_{\sigma_z, q}^H F_q$  is defined as the steering matrix of the spatial frequency point  $(\sigma_z, q)$ .

## 2. Metric and waveform constraint design

$$\begin{cases} f_1(x) = \min_{\sigma_o \in \Omega_o, q_o \in \Xi_o} x^H \Pi_{\sigma_o, q_o} x \\ \quad = \max_{\sigma_o \in \Omega_o, q_o \in \Xi_o} -x^H \Pi_{\sigma_o, q_o} x, \\ f_2(x) = \max_{\sigma_f \in \Omega_f, q_f \in \Xi_f} x^H \Pi_{\sigma_f, q_f} x, \end{cases} \quad (6)$$

where  $\Omega_F = \Omega_F^1 \cup \Omega_F^2 \cup \dots \cup \Omega_F^W$  is the union of all the  $W$  friendly regions, and  $\sigma_o$  and  $\sigma_f$  represent the discrete spatial points in  $\Omega_o$  and  $\Omega_f$ , respectively. Meanwhile,  $q_o$  and  $q_f$  denote the discrete frequency points in the frequency bands  $\Xi_o$  and  $\Xi_f$  of opponent and friendly devices, respectively.

## 3. Optimization problem

Based on the design metrics and waveform constraints, we formulate the minimax MOP as follows:

$$\begin{aligned} \mathcal{P} \quad & \begin{cases} \min_x \max_{\sigma_o \in \Omega_o, q_o \in \Xi_o} -x^H \Pi_{\sigma_o, q_o} x \\ \min_x \max_{\sigma_f \in \Omega_f, q_f \in \Xi_f} x^H \Pi_{\sigma_f, q_f} x \end{cases} \\ \text{s.t.} \quad & |x_i| = 1, i = 1, 2, \dots, MN, \\ & \arg(x_i) \in \Psi_\nu, i = 1, 2, \dots, MN. \end{aligned} \quad (9)$$



# Method

To obtain the wideband CM discrete phase waveform for robust DPJ, we propose the RCG-CMDPC algorithm.

1. First, we use  $L_p$  approximation and the Pareto optimization framework to transform the original minimax MOP  $\mathcal{P}$  into a single-objective minimization problem with CM and discrete phase constraints.

2. Then, the transformed problem is tackled by our proposed RCG-CMDPC algorithm.

3. Finally, we provide the computational complexity analysis of the proposed RCG-CMDPC algorithm.

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**Algorithm 1** The proposed RCG-CMDPC algorithm for tackling  $\tilde{\mathcal{P}}$

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**Input:** Initial point  $\mathbf{x}^{(0)} \in \mathcal{M}$

**Output:** The optimal solution  $\mathbf{x}^{(\text{opt})} \in \mathcal{M}$

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- 1 Initialize  $l=1$
  - 2 Compute  $\mathbf{d}^{(0)} = -\text{grad}_{f_\rho}(\mathbf{x}^{(0)})$  and the step size  $\zeta^{(0)}$
  - 3 Obtain the intermediate variable  $\tilde{\mathbf{x}}^{(1)} = \mathbf{x}^{(0)} + \zeta^{(0)} \mathbf{d}^{(0)}$ , and update  $\mathbf{x}^{(1)} = \Re(\tilde{\mathbf{x}}^{(0)})$
  - 4 **Repeat**
  - 5     Compute the Riemannian gradient  $\text{grad}_{f_\rho}(\mathbf{x}^{(l)})$  using Eqs. (20) and (21)
  - 6     Calculate the Polark–Ribière parameter  $\mu^{(l)}$  using Eq. (24)
  - 7     Update  $\mathbf{d}^{(l)}$  and Trans $\mathbf{d}^{(l-1)}$  using Eqs. (22) and (23), respectively
  - 8     Compute the step size  $\zeta^{(l)}$  by Armijo's back tracking method in Eq. (27)
  - 9     Update  $\tilde{\mathbf{x}}^{(l+1)} = \mathbf{x}^{(l)} + \zeta^{(l)} \mathbf{d}^{(l)}$
  - 10    Implement retraction and update  $\mathbf{x}^{(l+1)} = \Re(\tilde{\mathbf{x}}^{(l+1)})$  using Eq. (26)
  - 11    Let  $l=l+1$
  - 12 **until**  $|f_\rho(\mathbf{x}^{(l+1)}) - f_\rho(\mathbf{x}^{(l)})| / f_\rho(\mathbf{x}^{(l)}) \leq \varepsilon$ , then output  $\mathbf{x}^{(\text{opt})} = \mathbf{x}^{(l)}$
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# Method

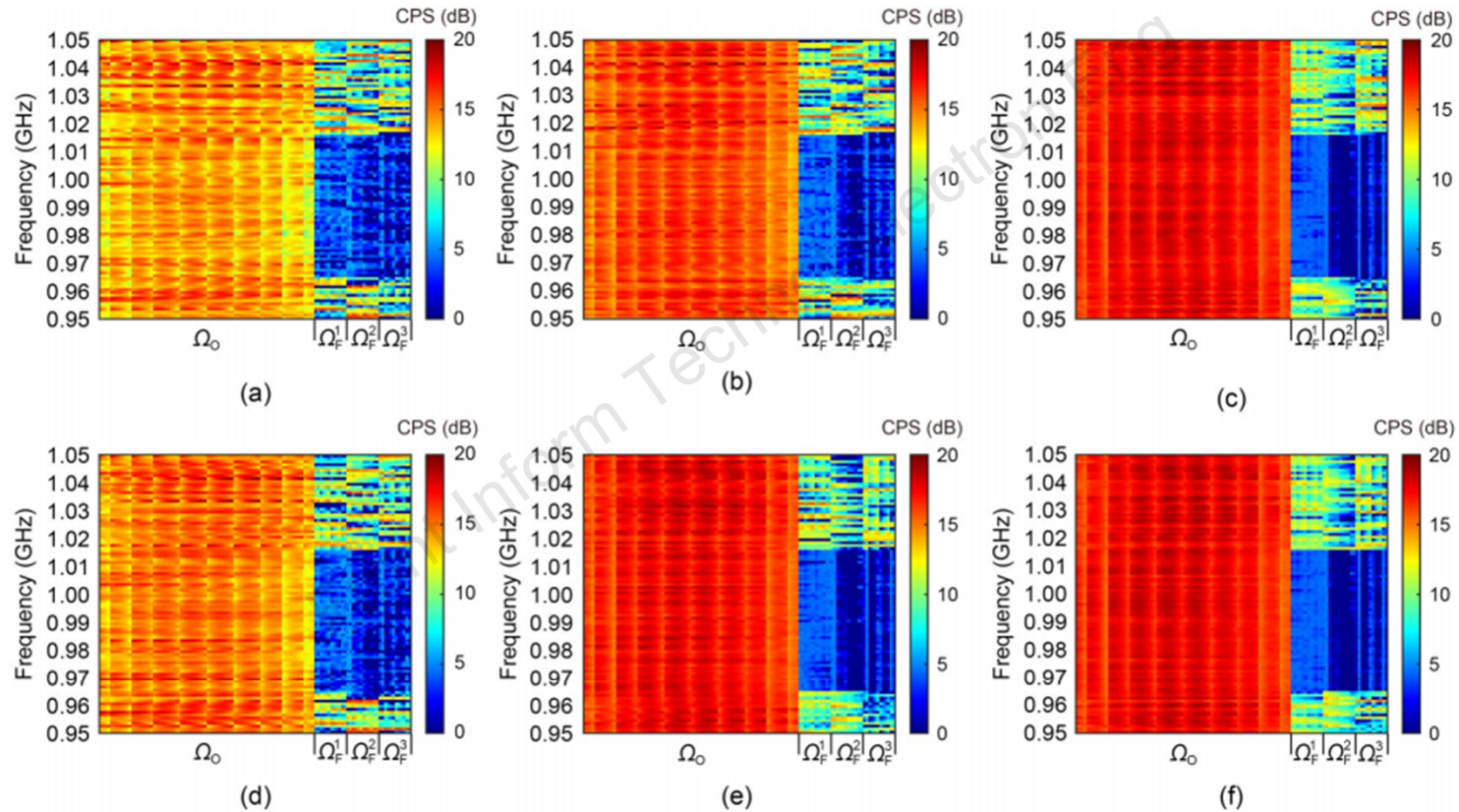
Computational complexity of the proposed RCG-CMDPC algorithm, disregarding the coefficients of high-order terms.

**Table 1 Computational complexity summary of the RCG-CMDPC algorithm**

| Computation                              | Complexity                                    |
|------------------------------------------|-----------------------------------------------|
| $\text{Grad} f_{\rho}(\mathbf{x}^{(l)})$ | $O((U_o Q_o + U_F Q_F)MN + (U_o + U_F)M^2 N)$ |
| $f_{\rho}(\mathbf{x}^{(l)})$             | $O((U_o Q_o + U_F Q_F)MN)$                    |
| $\text{grad} f_{\rho}(\mathbf{x}^{(l)})$ | $O(MN)$                                       |
| $\mu^{(l)}$                              | $O(MN)$                                       |
| $\text{Trans} \mathbf{d}^{(l-1)}$        | $O(MN)$                                       |
| $\mathbf{d}^{(l)}$                       | $O(MN)$                                       |
| $\zeta^{(l)}$                            | $O(MN)$                                       |
| $\mathbf{x}^{(l+1)}$                     | $O(MN)$                                       |

# Major results

Verification of DPJ effectiveness by the CPS distribution, adopting majorization-minimization (MM) and RCG-CMDPC algorithms in typical DPJ scenarios.



**Fig. 3** Combined power spectrum (CPS) distribution in  $\Omega_0$  and  $\Omega_F$  with the adoption of different algorithms for case 1: (a) majorization-minimization (MM) algorithm ( $V=256$ ; discrete phase); (b) MM algorithm ( $V=1024$ ; discrete phase); (c) MM algorithm ( $V=\infty$ ; continuous phase); (d) Riemannian conjugate gradient for constant modulus discrete phase constraints (RCG-CMDPC) algorithm ( $V=256$ ; discrete phase); (e) RCG-CMDPC algorithm ( $V=1024$ ; discrete phase); (f) RCG-CMDPC algorithm ( $V=\infty$ ; continuous phase). References to color refer to the online version of this figure

# Major results

Verification of DPJ effectiveness by the CPS distribution, adopting MM and RCG-CMDPC algorithms, where the working frequency of friendly devices is set the same as that of the opponent equipment.

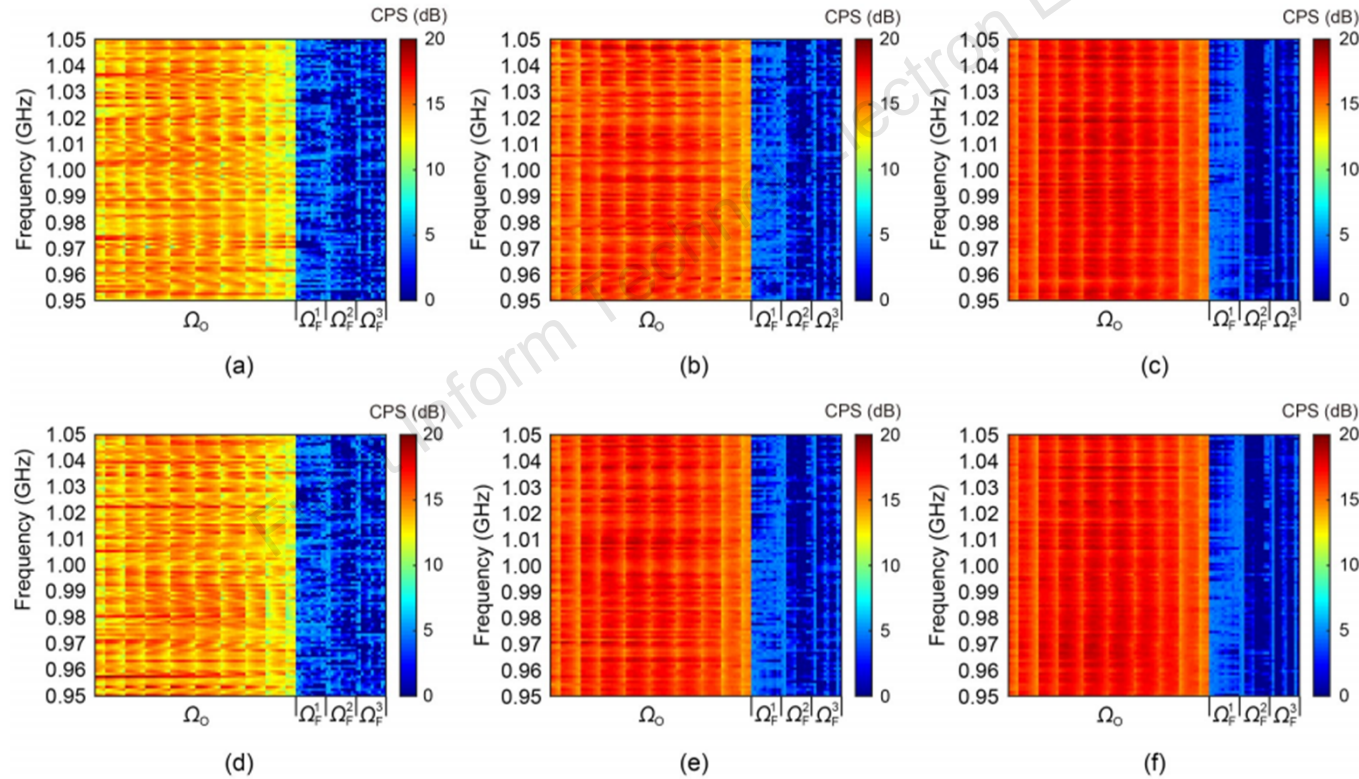


Fig. 4 CPS distribution in  $\Omega_0$  and  $\Omega_F$  with the adoption of different algorithms for case 2: (a) MM algorithm ( $V=256$ ; discrete phase); (b) MM algorithm ( $V=1024$ ; discrete phase); (c) MM algorithm ( $V=\infty$ ; continuous phase); (d) RCG-CMDPC algorithm ( $V=256$ ; discrete phase); (e) RCG-CMDPC algorithm ( $V=1024$ ; discrete phase); (f) RCG-CMDPC algorithm ( $V=\infty$ ; continuous phase). References to color refer to the online version of this figure



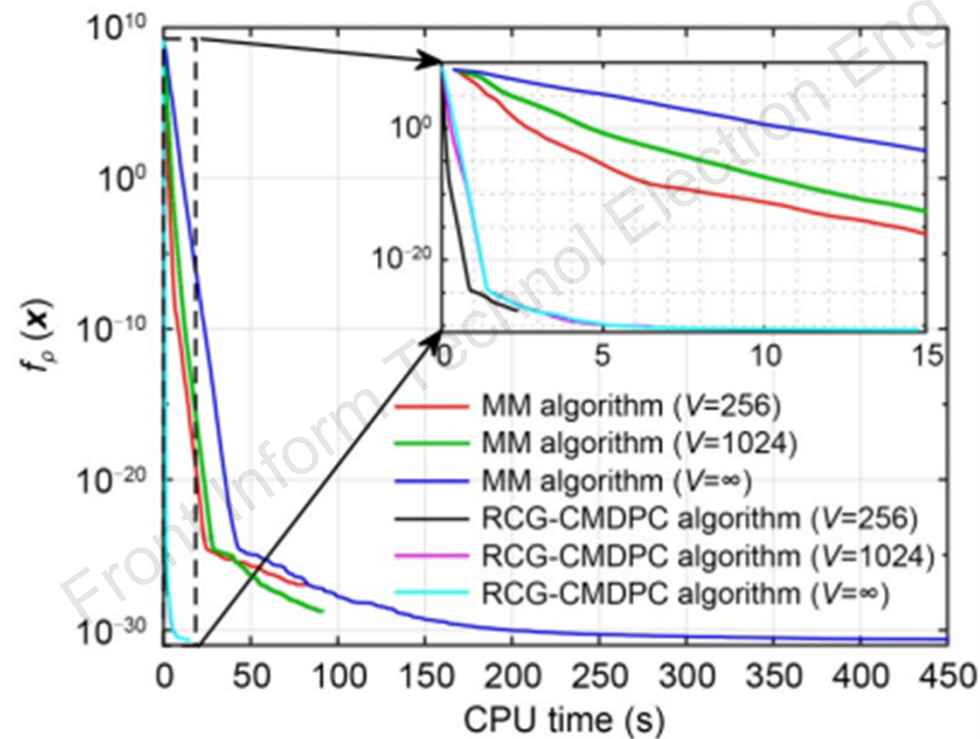
# Major results

The detailed CPS indicators of these two algorithms are shown in Table 3, where  $CPS_O^{\min}$  and  $CPS_O^{\text{ave}}$  denote the minimum and average  $CPSs$  in  $\Omega_O$ , respectively, while  $CPS_F^{\max}$  and  $CPS_F^{\text{ave}}$  the maximum and average  $CPSs$  in  $\Omega_F$ , respectively.

**Table 3** CPS indicators in  $\Omega_O$  and  $\Omega_F$  for cases 1 and 2

| $V$                         | Algorithm | $CPS_O^{\min}$ (dB)<br>(case 1/2) | $CPS_F^{\max}$ (dB)<br>(case 1/2) | $CPS_O^{\text{ave}}$ (dB)<br>(case 1/2) | $CPS_F^{\text{ave}}$ (dB)<br>(case 1/2) |
|-----------------------------|-----------|-----------------------------------|-----------------------------------|-----------------------------------------|-----------------------------------------|
| 256 (discrete phase)        | MM        | 8.63/7.87                         | 6.49/6.39                         | 14.37/13.97                             | 3.11/2.91                               |
|                             | RCG-CMDPC | 11.20/8.79                        | 6.30/6.29                         | 15.08/14.24                             | 3.02/3.00                               |
| 1024 (discrete phase)       | MM        | 12.92/12.78                       | 5.68/5.70                         | 15.97/15.93                             | 3.04/2.70                               |
|                             | RCG-CMDPC | 14.46/13.64                       | 5.02/5.32                         | 17.01/16.39                             | 2.50/2.55                               |
| $\infty$ (continuous phase) | MM        | 14.85/14.01                       | 4.84/5.18                         | 17.23/16.76                             | 2.31/2.33                               |
|                             | RCG-CMDPC | 15.03/14.12                       | 4.82/5.17                         | 17.37/16.81                             | 2.35/2.77                               |

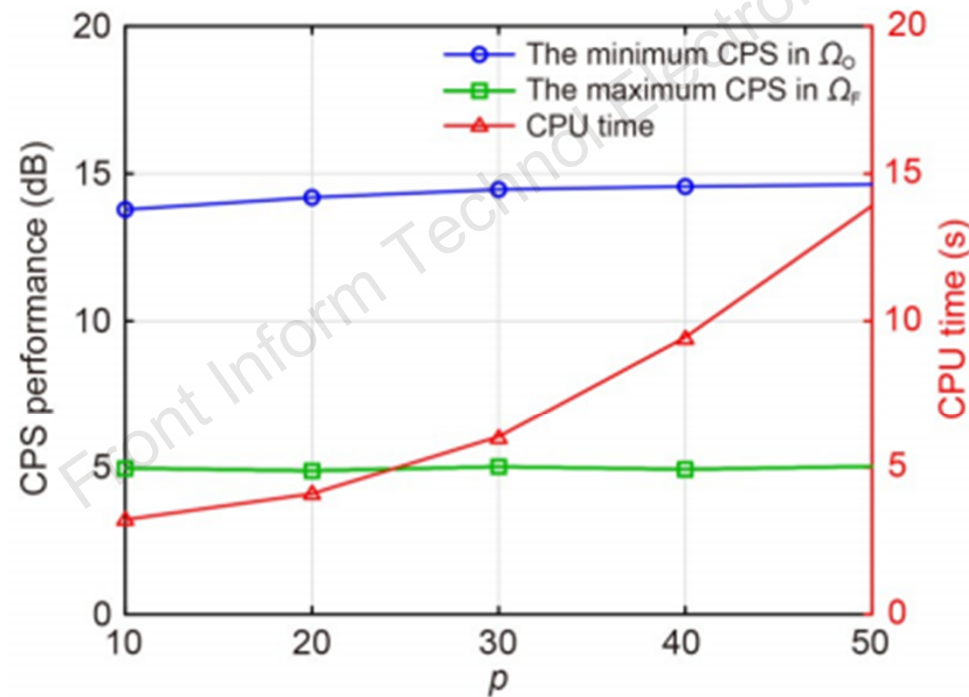
# Major results



**Fig. 5** Convergence curves of the MM and RCG-CMDPC algorithms

# Major results

Effect of  $L_p$ -norm adoption on the performance of RCG-CMDPC, at  $V = 1024$ , which means that each jammer is equipped with a 10-bit DDS



**Fig. 6** Effect of value of  $p$  on the RCG-CMDPC algorithm performance

# Major results

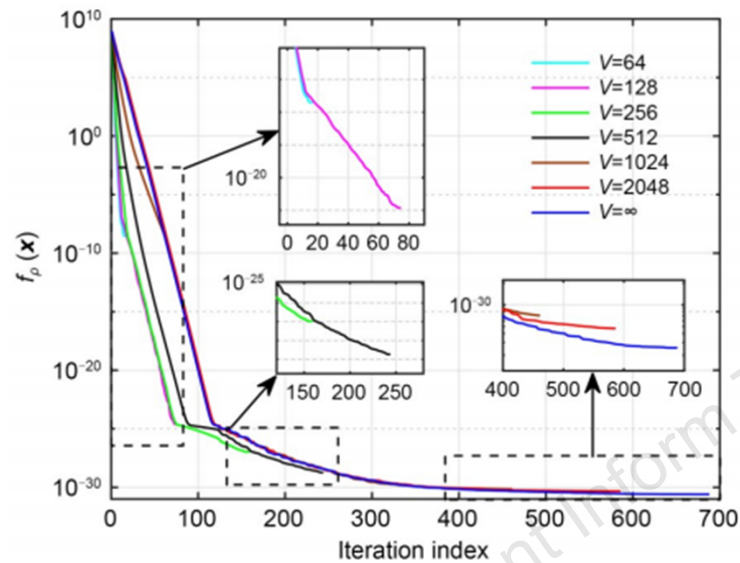


Fig. 7 Variation of  $f_p(x)$  versus iteration index under different  $V$  values

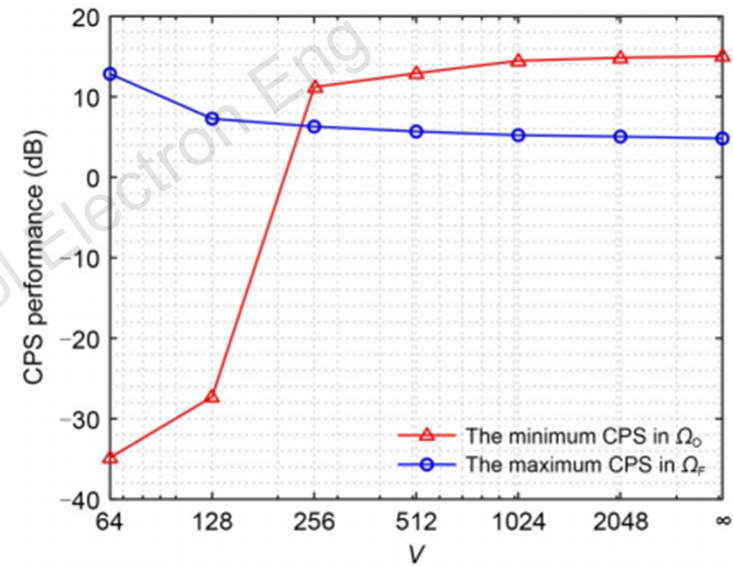
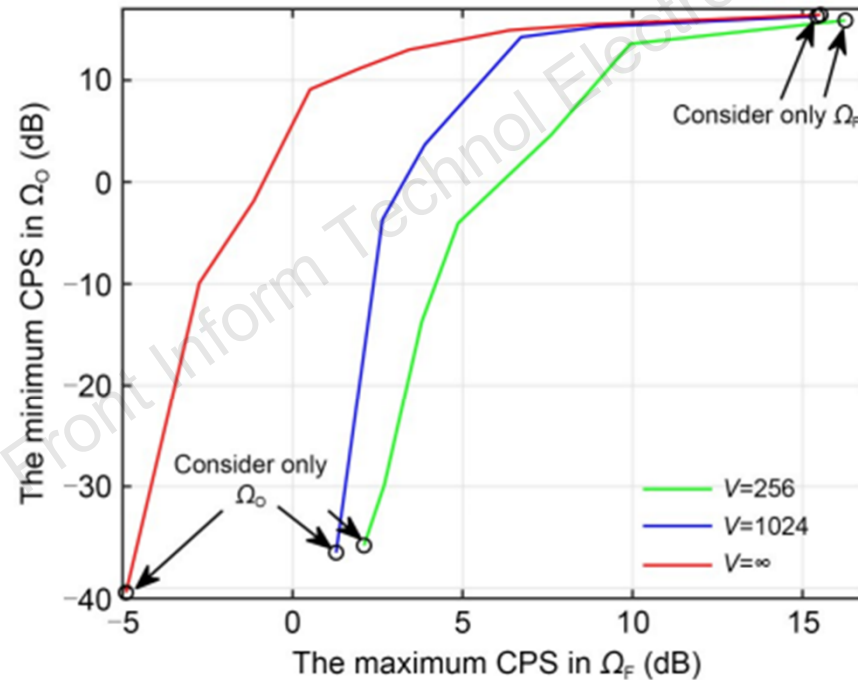


Fig. 8 Effect of  $V$  on the CPS performance of the RCG-CMDPC algorithm



# Major results

When  $\rho=1$  and 0, the obtained wideband waveform considers only  $\Omega_O$  and  $\Omega_F$ , respectively.



**Fig. 9** Performance trade-off between the CPS in  $\Omega_O$  and  $\Omega_F$  under different  $V$  values

# Conclusions

1. We propose an efficient algorithm to design a robust wideband CM discrete phase waveform for DPJ.
2. The corresponding design model is formulated as a minimax MOP, which is transformed as  $\mathcal{P}$  using the  $L_p$ -norm and Pareto framework.
3. We develop an efficient RCG-CMDPC algorithm within the RCG framework, whereby a novel retraction and projection method is provided to let the solution satisfy the CM discrete phase constraints.
4. The proposed RCG-CMDPC algorithm has lower computational complexity than the competing MM algorithm in theory.



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