



# Novel heart valve leaflet designs with stiff polymeric materials and biomimetic kinematics

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Received: 12 April 2024 / Accepted: 9 July 2024 / Published online: 26 November 2024  
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## Abstract

Despite continuous efforts to improve the robustness of cardiac valve implants, neither bioprosthetic nor mechanical valves fulfill both hemodynamic and durability requirements. This study discussed novel flexible leaflet designs, focusing on polymeric materials with proven hemocompatibility, such as polyether ether ketone, of much higher stiffness than native tissue, aiming at optimal valve implants. A biomimetic valve with a single-curvature belly-curve (B-C) was used as a reference for new design variants with a double-curvature B-C with varying radii. Soft (13.2 MPa) and stiff (2.4 GPa) leaflet materials and different thicknesses were studied using lean simulations and in vitro experiments under physiologic hemodynamic conditions. The performance was assessed using opening pressure (OP) and orifice area (OA). The latter was determined by a newly developed automatized image processing tool. Experimental trends are in agreement with simulations and demonstrated that a buckling-inspired double-curvature leaflet design significantly enhances the trileaflet valve opening behavior, which is particularly advantageous for stiffer leaflet materials. Compared to the reference, the best-performing variant showed an OP improvement of 47% and 44% based on simulations and experiments, respectively. In contrast, the achieved mean pressure differential was directly comparable to state-of-the-art bioprosthetic valves. The OA was slightly reduced for new variants but still in the satisfying range.

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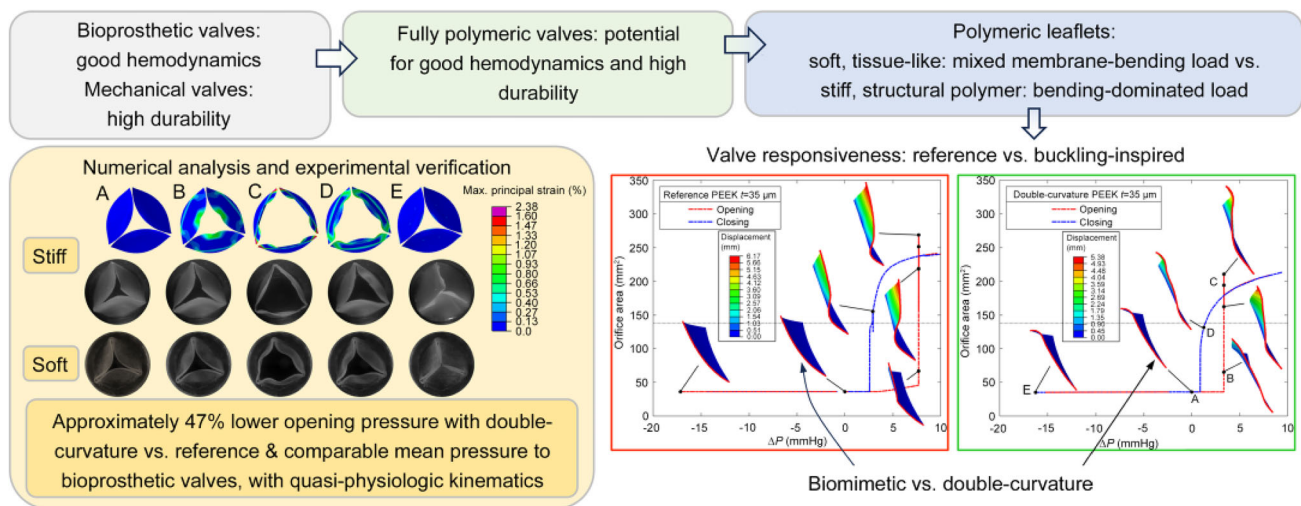
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## Graphic abstract



**Keywords** Fully polymeric heart valve · Parametric leaflet design · Finite element analysis · Leaflet kinematics · In vitro testing

## Introduction

Two types of contemporary heart valves exist. Mechanical bileaflet valves made of carbon (or titanium) provide long-term durability, but also poor hemodynamics that can lead to flow cavitation [1] and therefore need lifelong anticoagulation. In contrast, bioprosthesis heart valves (BHVs) consist of leaflets made of bovine or porcine pericardium mounted on a fixed (surgical implants) or expandable (transcatheter valves) stent frame after a labor-intensive process. Although long-term anticoagulation is not required with BHVs, they are subject to leaflet degeneration, typically resulting in structural valve failure during longer-term follow-up and limiting their durability. Therefore, the lifetime management for treating valvular heart disease includes implant-related factors, such as the need for anticoagulation and durability, and patient-related factors, such as age at time-of-index operation, comorbidities, access, and patient preferences [2].

With demographic changes, the increasing demand for heart valves will surpass 850,000 annually worldwide by 2050 [3]. Given the current limitations of state-of-the-art valve technology, it is crucial to explore new valve concepts that provide improved durability without compromising the hemodynamic performance. This includes using new materials, innovative designs, and advances in manufacturing techniques. To date, no Food and Drug Administration (FDA)-approved valve has successfully combined the long-term durability typical of mechanical heart valves (MHVs) without the need for anticoagulation [4].

Considering the limitations of available materials emulating natural leaflet compliance, such as poor durability, it seems necessary to explore alternative options, including stiffer materials that could, however, result in poor hydrodynamics [5]. For instance, MHVs made of approximately 2000 $\times$  stiffer materials [6] employ a two (or three) flat-disk design instead of an anatomical design, like native valves. Fully polymeric heart valves (PHVs) with robust leaflets made of strong and stiff polymeric materials pose a promising solution. These materials can be even  $\sim 300\times$  stiffer than natural leaflet tissue [7] in terms of Young's modulus, but also of higher strength. Nevertheless, using significantly stiffer (and stronger) leaflet materials necessitates a novel valve design to achieve the requested leaflet kinematics at low pressures.

In this study, novel leaflet design concepts that may facilitate the use of stiff materials were introduced and analyzed by lean, solid mechanics-based finite element (FE) simulations and assessed by in vitro hydrodynamic experiments using a custom-built pulse duplicator (PD) [8]. The ultimate objective was to incorporate stiff material leaflets with proven hemocompatibility, such as polyether ether ketone (PEEK) [9], into a heart valve design able to approach the kinematics and hemodynamic performance of best-in-class BHVs, approaching a native valve. The motivation for the use of PEEK is high, as it shows low thrombogenicity based on animal trials conducted without antithrombotic medication [10] with a valve featuring rigid PEEK leaflets [11]. Although predominantly inert, PEEK can also support endothelialization through surface modification [12].

## Background

Valve design performance assessment criteria across all prosthesis types include low transvalvular opening pressure (OP), large orifice area (OA), and tight closing. Research on flexible synthetic PHVs started almost simultaneously with durable MHVs and hemocompatible BHVs. Durability refers to the valve's ability to withstand long-term operation without significant degradation or structural failure, considering factors such as material aging, calcification, and stress-induced wear due to fatigue loading imposed during the cardiac cycle. The term hemodynamics refers to flow dynamics and performance characteristics of a heart valve within blood circulation, identified by parameters such as pressure differential ( $\Delta P$ ; often referred to as pressure gradient [13]) and flow characteristics, assessing flow obstruction or regurgitation [14].

Polymers offer design freedom and simple manufacturing processes. Despite design variations and materials attested, including polyurethane (PU), polytetrafluoroethylene, and silicone compounds, such PHVs initially showed insufficient biostability and poor durability [15]. Only after a big leap in material science and nanotechnology did PHVs come back into focus [14–17], with new concepts to include segmented copolymers and polymer nanocomposites [18–20]. Despite these advancements, PHVs have not been able to mimic native valve properties.

Native materials typically exhibit Young's modulus from 0.03 to 90 MPa [14, 17]. Specifically, native aortic leaflet tissue has elastic moduli of approximately 4 and 15 MPa in radial and circumferential directions, respectively [21], attributed to the circumferential orientation of collagen fibers [22]. First- and new-generation polymers generally fall within the modulus range of native materials, with some reaching 200 MPa and 4 to 100 MPa [14, 17], respectively.

Examples of currently examined PHVs from the international community are detailed in Table 1. While making notable advancements, limitations persist and there is still no FDA-approved PHV, with PHVs only commercially available in ventricular assist devices (VADs) [23]. Recognizing the essential need for noninvasive implantation and the persisting challenge of ensuring reproducible manufacturing of soft polymeric leaflets [24], a revised approach is being pursued.

Preliminary evaluation of heart valve designs has shifted from experiments [35] and animal trials [36] to advanced simulations. Solid mechanics-based simulations [28, 38–40] offer efficiency, acknowledging the simplification in boundary conditions [23], whereas fluid-level simulations mainly focus on hemodynamics [37]. Fluid–structure interaction simulations provide more accurate predictions, albeit at a much higher computational cost [23, 37], thus are often adopted at the design verification phase. Although advanced

simulations hold promise for optimizing heart valve prosthesis designs, the results of such simulations depend heavily on modeling approximations. Conventional in vitro and in vivo tests are therefore vital to provide input for design iterations and modeling and validate promising designs [37].

## Materials and methods

### Leaflet design and conceptualization

#### Design benchmarking

Understanding leaflet behavior is crucial for improving valve design and performance. These leaflets experience complex transitions during opening and closing, with the sagittal leaflet cross-section, namely the belly-curve (B-C), undergoing the most significant shape transformation. Hence, this study emphasized design modifications related to the B-C shape.

The reference valve is a trileaflet aortic valve (AV) with a continuously increasing radius of curvature away from its center. Previously published literature [25, 35] was used to replicate this valve, which is based on conventional single-curvature leaflet designs (Fig. 1b), approximating native AVs (Fig. 1a). Leat and Fisher [35] altered geometry and thickness ( $t$ ) to enhance the valve OP. They utilized a material approximately one order of magnitude stiffer (at low strains) than natural leaflet tissue, asserting a relationship expressed as  $OP \sim \frac{Et^3}{R_i^3}$ , assuming a clear bending load dominance, where  $R_i$  is the radius of curvature and  $E$  is the material's Young modulus [35]. The comparison between previously used spherical leaflets and reference valve leaflets (Fig. 1c) revealed that the latter exhibits superior OP under steady flow conditions but not in pulsatile flow scenarios.

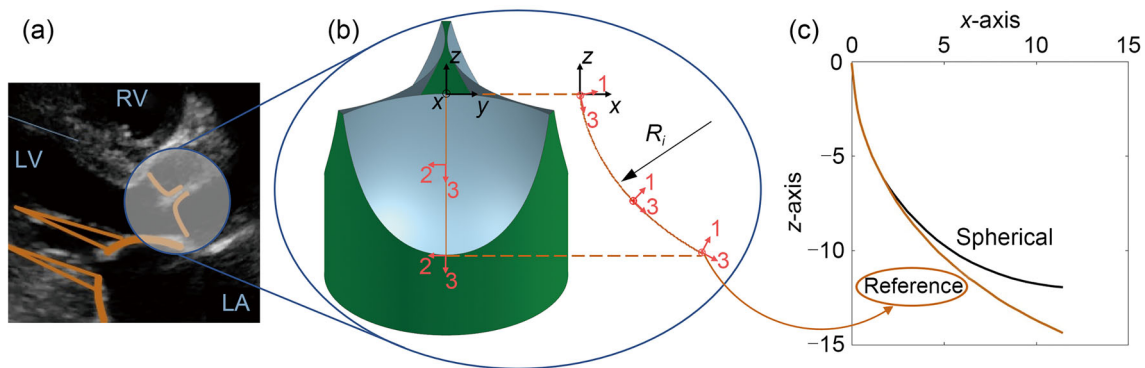
#### Understanding the mechanics to create a robust design

Conventional biomimetic leaflets are expected to experience a combination of membrane and bending loads. The bending-dominated part of the response has buckling characteristics, often enabling rapid responsiveness. Examination of fundamental configurations, including flat, cylindrical, and spherical panels, with low thicknesses (Figs. 2a–2c) for enhanced deflection under low loads indicates that flat panels (Fig. 2d) demonstrate the lowest initial stiffness, requiring minimal load for deflection. However, as the applied load increases, the stiffness rises exponentially due to membrane loading, resulting in relatively limited maximum deflection. Conversely, curved panels (Fig. 2d) exhibit decreasing stiffness with load after a certain threshold [42]. Both cylindrical

**Table 1** Examples of currently investigated PHVs by the international community, with key specifications

PHV model	Material and design	Key information
AorTech valve (RUA Life Sciences)	Elast-Eon (specially developed biostable PU) with stress-reducing design	First generation patented by Fisher [25]; durability of 800 million cycles [26] (exceeds minimum requirements of BHVs [27, 28])
PoliValve	Styrenic block copolymers with cylindrically shaped leaflets	Surgically implanted; in vitro performance above standard values [13] (expected durability 30 years); feasibility in short-term in vivo trial [29, 30]
Tria by Foldax	“Bio-inspired” design, biopolymer leaflets, PEEK stent, PTFE sewing ring, automated manufacturing [18]	FDA-approved early feasibility studies show promising results; minimal calcification traces in animal trials; one valve passed 670 million cycles (16 years) with minimal damage [18, 31, 32]
Lapeyre-Triflo FURTIVA	Three nonbiomimetic stiff PEEK disk-like leaflets [33]	Trileaflet valve with early closing characteristics resembling BHV, potentially eliminating long-term anticoagulation therapy; limited to surgical implantation [33, 34]

PHV: polymeric heart valve; PU: polyurethane; PEEK: polyether ether ketone; PTFE: polytetrafluoroethylene; BHV: bioprosthetic heart valve; FDA: Food and Drug Administration



**Fig. 1** **a** Echography of a native AV with B-C (in orange; reproduced from Ref. [41]; licensed under Creative Commons license). **b** Rendering of PHV 3D model based on [25, 35]. A mock continuous stent (in green) is also designed to give a better valve impression. B-C with

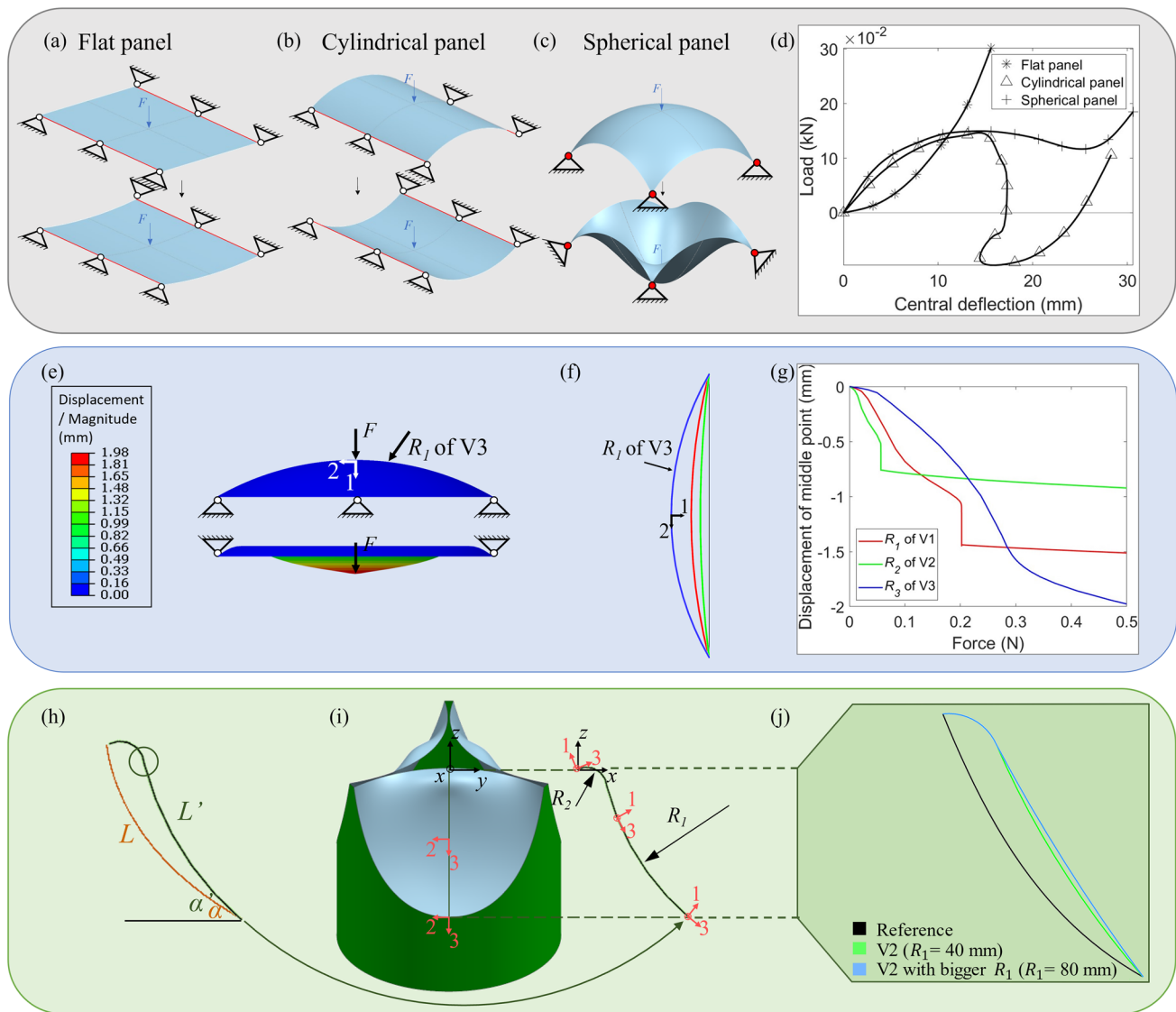
the adopted coordinate system is also shown. **c** State-of-the-art B-C geometries (reproduced based on data in Ref. [35]). AV: aortic valve; B-C: belly-curve; PHV: polymeric heart valve

(Fig. 2b) and spherical (Fig. 2c) panels have a similar high initial stiffness but can also achieve a high maximum deflection. However, the cylindrical design presents a notable snap-through behavior with secondary stable states (Fig. 2d) [42]. Thus, an additional force is needed for recovery, potentially affecting valve closing adversely. Hence, a spherical leaflet shape shows improved deflection characteristics for the given boundary conditions.

To further elaborate on the mechanical behavior of biomimetic leaflets, sphere-shaped thin panels are simulated (PEEK material with a thickness of 50  $\mu\text{m}$ ; see also Sect. “Finite element analysis”) with radii  $R_1 = 10, 20,$  and 40 mm (Figs. 2e–2g) and a constant base diameter of 10 mm and subjected to a point load at the middle with hinged edges. The trend in Fig. 2g indicates a decrease in buckling force with an

increase in radius, implying that leaflet designs with large  $R_1$  might exhibit superior performance in OP. Boundary conditions of valve leaflet have important impact on these results, but they already provide useful insights.

Designs require adaptation to accommodate materials with stiffness two to three orders of magnitude higher than natural tissue. The transition from a single-curvature B-C to a double-curvature design (Fig. 2h) is attested to further enhance the deflection tendency. Double-curvature designs resemble an imperfection, promoting buckling [43], whereas asymmetric imperfections further enhance the buckling phenomena [44]. The double-curvature design offers increased surface area across the same span, enabling greater force components in the opening direction for a given pressure.



**Fig. 2** a–c Schematics, not to scale, of basic panel geometries hinged along red edges and corners: flat, cylindrical, and spherical. **d** Their deflection upon central load (reproduced from data in Ref. [42]). **e** Simulation of the spherical panel with hinged edges and applied point load

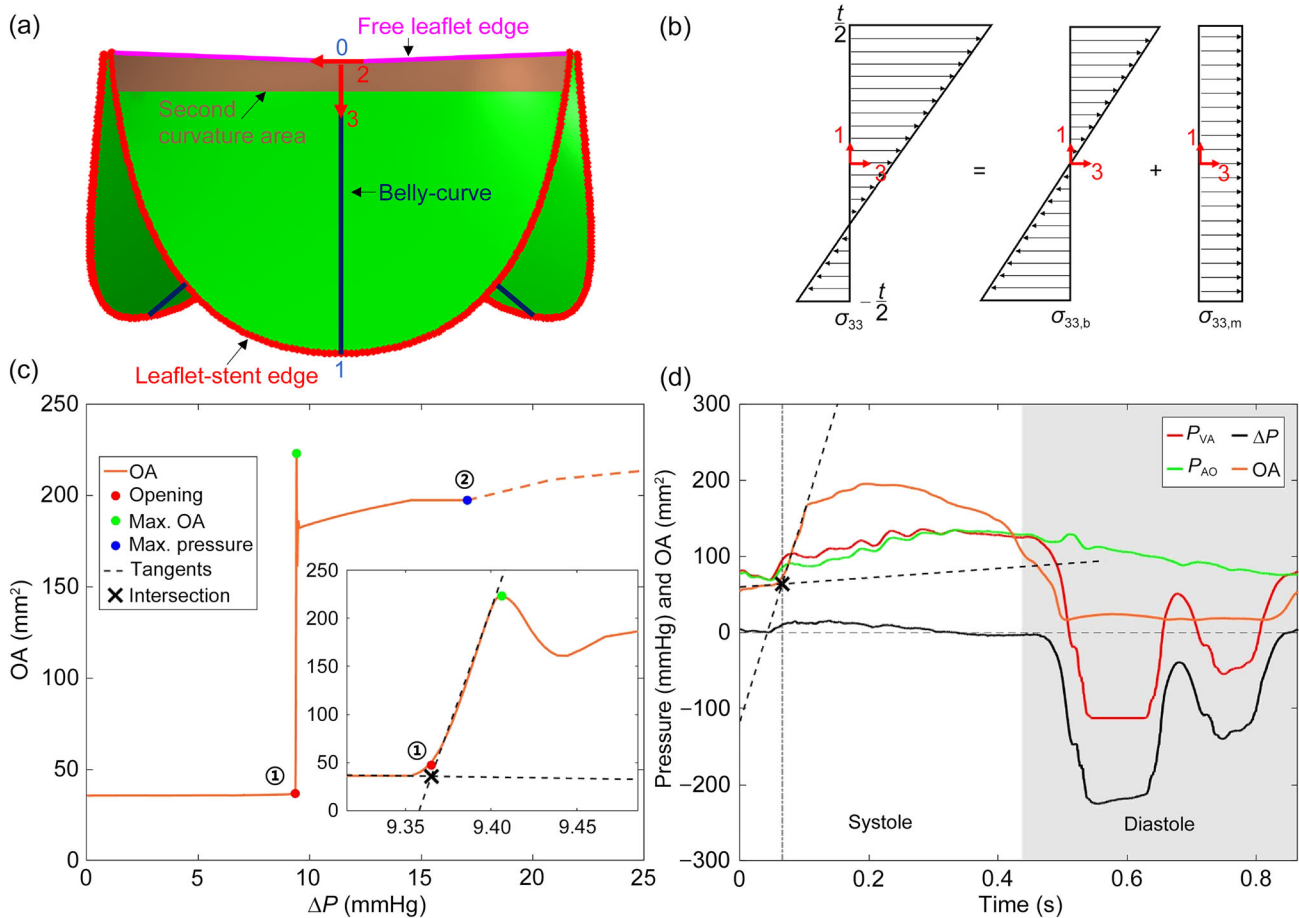
in the middle, conducted for: **f** three different leaflet radii. **g** Displacement of the middle point over the point load. **h** Geometrical differences between single and double curvature (see Table 2). **i** 3D valve model and its B-C with a coordinate system for V5. **j** Alternative, potentially more optimal, B-C shape. B-C: belly-curve

In this study, a B-C with double curvature was adopted and studied in a parametric manner by varying radii  $R_1$  and  $R_2$  (see Fig. 2i), resulting in five variants, as illustrated in Table 2. Valve diameter, shape of OA in the stress-free state, and stent base geometry were kept alike (see also Sect. “Finite element analysis”). Valve leaflets [25, 35] were designed (NX 12.0; Siemens Industry Software, Inc., Plano, TX, USA) in a semiopen position, with an initial OA of approximately  $36 \text{ mm}^2$  (stress-free state), with a valve inner diameter of 22 mm (nominal OA =  $380 \text{ mm}^2$ ). The starting point of  $R_2$  coincides with the end point of  $R_1$ , resulting in a steeper

inclination of the B-C due to geometrical boundary conditions (Fig. 2h).

## Materials

Leaflets made of conventional soft PU (Carbothane™ PC-3585A) were considered for referencing and compared to stiff PEEK foil-based ones. All relevant information is explained hereafter.



**Fig. 3** **a** Leaflets with their boundary conditions and directions 2 and 3. **b** Schematic of superposition principal for stress/strain calculation. **c** Numerical OA over  $\Delta P$  of V2 (PEEK,  $t = 50 \mu\text{m}$ ) with tangents to determine the opening point ①, maximum OA, and maximum pressure ②. **d** Experimental pressure and OA of V2 (PEEK,  $t = 50 \mu\text{m}$ ) with opening point. PEEK: polyether ether ketone

**Table 2** Radii  $R_1$  and  $R_2$  for each variant (in mm) and cross-sections of belly-curve (B-C)

	Reference	V1	V2	V3	V4	V5
$R_1$ or $R_{\text{max}}$ (mm)	56	20	40	10	20	20
$R_2$ or $R_{\text{min}}$ (mm)	15.3	2.3	2.3	2.3	3	1.6

**Finite element analysis**

The surface models of the six valves were imported into ABAQUS version 6.14 (Dassault Systèmes, France) to conduct a quasi-static, implicit analysis. Shell elements (S4R) with quad-structured mesh from 20,000 to 24,000 and a

0.2 mm edge were chosen after an OA-OP response convergence study. Given the potential instabilities (or multistabilities), one might consider to use the Riks method [45, 46]. Nevertheless, given the involved leaflet contacts, a Newton-based solver was chosen [47] while taking care of the increment size to be sufficiently fine, as this is expected to increase the reliability of the simulations. The increment size was attested by OA-OP response convergence. Only

leaflets were modeled, and the stent was approximated as fully rigid by fixed boundary conditions along the leaflet-stent edge (Fig. 3a). A rigid stent was assumed to isolate the leaflet geometry effect but neglected the potential stent flexibility. Hard contact interaction was included to prevent interleaflet penetration, assuming a shear friction coefficient of 0.3 [38] and 0.4 [48] for PU and PEEK, respectively. A symmetry boundary condition along B-C (Fig. 3a) prevented leaflet twists related to master–slave surface contact definition in-between adjacent leaflets.

With the opening in focus, a uniformly distributed pressure was applied on the ventricular surface of the leaflet, imposing a linear increase up to the indicative 50 mmHg (1 mmHg = 133 Pa) to model  $\Delta P$ . Although the experimental  $\Delta P$  of the investigated valves never exceeded 33 mmHg, 50 mmHg was employed in the numerical analysis to ensure valve opening, especially for thicker and consequently stiffer leaflets. Furthermore, to capture the full kinematic behavior (i.e., valve opening and closing), a pressure history of [0, 10, 0, -20, 0] mmHg (i.e., one cycle: systole, diastole) was applied, whereas the boundary conditions stayed the same. Note that the OP for this last assay corresponded to a high level of physiologic pressure [49, 50]. In a previous study [8], this range was also confirmed for BHV, with pressure increasing from approximately 0 to 10 mmHg during leaflet separation to full opening (see Supplementary Information, Section S1).

PU and PEEK were modeled as linear elastic materials with a Young's modulus ( $E$ ) of 13.2 and 2400 MPa (expected between 1900 and 3300 MPa depending on crystallinity [9, 51]) and a Poisson's ratio of 0.48 [52, 53] and 0.4 [54], respectively. PU tensile strain at break reached 450% [55], indicating its hyperplastic behavior. Nevertheless, PU was still modeled as an elastic material, as recorded strains were <12%, rendering hyperplastic modeling redundant. Young's modulus was evaluated based on the reported shore hardness [56, 57]. Leaflet thicknesses ( $t$ ) of 150 [35] and 300  $\mu\text{m}$  were investigated for PU. According to the first-order approximation by Leat and Fisher [35], PEEK leaflets, i.e.,  $OP \sim Et^3$  (for a given curvature), for PU leaflets with  $t = 150 \mu\text{m}$  PEEK, should have a thickness of  $t = 26.5 \mu\text{m}$ ; this value was considered along with 35, 50, and 75  $\mu\text{m}$ .

To assess the load state details, the bending and membrane strain energy ratio was calculated for soft and stiff material leaflets for the most promising design at opening (①) and maximum pressure (②) instants (Fig. 3c). Instant ② was selected near the experimental maximum  $\Delta P$  of PEEK leaflets. Element volume was extracted for the two element rows along B-C and stress components,  $\sigma_{22}$  and  $\sigma_{33}$ , as a function of shell thickness. The membrane stresses

( $\sigma_{ii}^m$ ) were computed based on the superposition principle (Fig. 3b) as follows:

$$\sigma_{ii}^m = \frac{(\sigma_{ii})_{\text{top}} + (\sigma_{ii})_{\text{bottom}}}{2}, \quad (1)$$

where the subscripts “top” and “bottom” refer to  $\frac{t}{2}$  and  $-\frac{t}{2}$  respectively. The bending slope and consequently the curvature  $\kappa_{ii}$  were calculated as follows:

$$\kappa_{ii} \cdot E = \frac{(\sigma_{ii})_{\text{top}} - (\sigma_{ii})_{\text{bottom}}}{t}. \quad (2)$$

Next, the bending strain energy ( $U_b$ ) was calculated as follows:

$$\begin{aligned} U_b &= \int_V \frac{1}{2} \sigma \varepsilon dV = \int_{-\frac{t}{2}}^{\frac{t}{2}} \frac{1}{2} \frac{\sigma^2}{E} A dy \\ &= \int_{-\frac{t}{2}}^{\frac{t}{2}} \frac{1}{2} \frac{(\kappa E t)^2}{E} A dy = \frac{1}{2} \frac{\kappa^2 E t^3}{12} A, \end{aligned} \quad (3)$$

where  $\varepsilon$  and  $A$  are the strain and cross-sectional area, respectively. The membrane strain energy ( $U_m$ ), based on the membrane stress, was calculated similarly:

$$U_m = \int_{-\frac{t}{2}}^{\frac{t}{2}} \frac{1}{2} \frac{(\sigma_{ii}^m)^2}{E} A dt = \frac{1}{2} \frac{(\sigma_{ii}^m)^2}{E} A. \quad (4)$$

The bending strain energy ratio ( $\psi$ ) over the total strain energy along B-C was defined as

$$\psi = \frac{U_b}{U_b + U_m}. \quad (5)$$

The nodal coordinates from every increment are loaded into an in-house MATLAB script to calculate the geometrical OA. The script creates a bounding area from the coordinates for each step, plotting the projected OA over  $\Delta P$ . The opening point is the intersection between the flat closed part (as pressure builds up) and the steep opening phase (Figs. 3c and 3d). The maximum OA is evaluated right after the valve opening (typical curve shown in Fig. 3c), as pressure continuous to increase, without any meaningful flow equivalent given the quasi-static simulation. Under typical physiologic flow conditions, a  $\Delta P$  drop after the valve full opening is expected (as also shown in Fig. 3d).

## Experimental assessment

### Valve fabrication

A 3D-printed polymer mold (Therma 289, DWS, Thiene, Italy) with a mounted stent of equivalent material was dip-coated into a 0.2 g/mL PU/N,N-dimethylacetamide solution, targeting a thickness of 150  $\mu\text{m}$  [25]. PEEK leaflets were manufactured by vacuum forming a PEEK foil (Victrex APTIV<sup>®</sup> 2000, Lancashire, UK) using the equipment in [51] onto an aluminum mold with alike 3D-printed stents, with the foil subsequently being glued (Scotch-Weld-2216-B/A-Gray) to the stent (Fig. 4). At very low leaflet thickness, the material becomes fragile, particularly under membrane loads during manipulation (in view of implantation). Successful valve prototypes were produced with a 50  $\mu\text{m}$  PEEK foil, highlighting the importance of a responsive valve design at this thickness despite the evident rise in bending stiffness. Note that the membrane load limit is directly proportional to the leaflet thickness.

The PHVs mentioned above were also compared to a BHV (Edwards INTUITY; inner diameter 21 mm, Edwards Lifesciences, Irvine, CA, USA) and an MHV (Medtronic Advantage; outer diameter 27 mm and inner diameter 23 mm).

### Pulse duplicator set-up

The experiments were performed with a PD as described previously [8] (Fig. 4). A brief mention was made here for completeness. The AV models were placed in a compliant 3D-printed aortic root model (Elastic 50A Resin; Formlabs, Somerville, MA, USA) [58] between the outlet of the pulsatile pump (ViVitro Systems, Inc., Victoria, Canada; representing the left ventricle) and a compliance chamber (mimicking aortic and systemic compliance). The pump inlet and reservoir (representing the left atrium) were separated by a BHV (Edwards INTUITY; 21 mm) acting as a mitral valve. Distilled water circulates at room temperature ( $(21 \pm 2)^\circ\text{C}$ ). The pulsatile pump created a sinusoidal flow with adjustable amplitude and frequency, maintaining a systolic/diastolic ratio of 1/2. The piston position [ $\Delta(t)$ ] defined the imposed volume rate ( $\dot{V}$ ) and heartbeat.

Valve behavior evaluation encompassed flow measurements, high-fidelity pressure data, and real-time imaging using a high-speed camera. All acquired data are analyzed using an in-house MATLAB script, with device output signals recorded by a microcontroller board equipped with a 12-bit analog-to-digital converter (ADC; Arduino Due). The aortic flow rate ( $Q_{AV}$ ) upstream of the AV was measured with a flow sensor (SONOTEC GmbH, Halle (Saale), Germany), with an accuracy of  $\pm 100$  mL/min for 0 to 5 L/min. The actual cardiac output (CO; L/min) and regurgitation were determined based on instant  $Q_{AV}$  data.

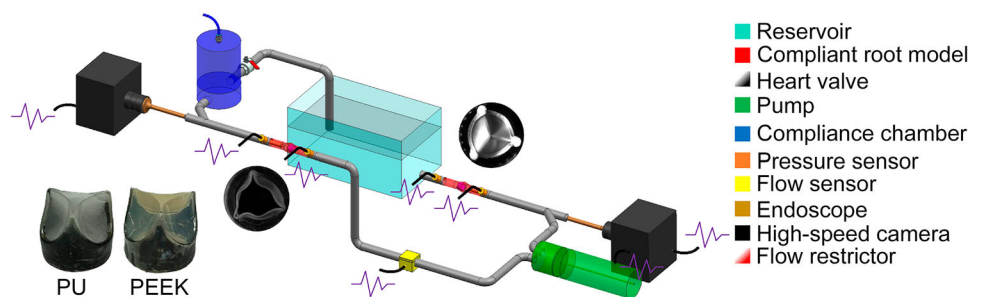
Two hydrostatic pressure sensors (Sensata Technologies, Attleboro, MA, USA) were positioned about 80 mm upstream and downstream of the AV measuring the ventricular-aortic ( $P_{VA}$ ) and aortic ( $P_{AO}$ ) pressure, respectively. Pressure was measured from 0 to 375 mmHg (zero reference in atmosphere) with a fidelity (linearity and hysteresis) of at least 0.025% of the full range, matching the ADC system resolution of 0.9 mmHg.  $\Delta P(t)$  was evaluated over a full cycle and calculated as

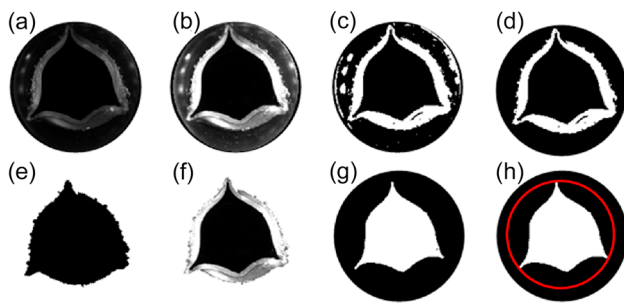
$$\Delta P(t) = P_{VA}(t) - P_{AO}(t). \quad (6)$$

The mean  $\Delta P$  ( $\Delta \bar{P}$ ) is the average  $\Delta P(t)$  per cycle, keeping only positive values [13].

A laparoscopic endoscope (Hopkins II, Karl Storz, Tuttlingen, Germany) was inserted downstream into a 45° Y-fitting, laying coaxial to the AV, and the valve motion was recorded with a high-speed camera (FASTCAM Mini UX100; Photron USA, Inc., San Diego, CA, USA, and MeVis-C 1.6/35 macro lens, LINOS, Göttingen, Germany), positioned directly on the endoscope at 250 frames/s and 1280 px  $\times$  1024 px resolution. A light source illuminated the heart valve. Trigger and image-capture events were fed to the ADC (fidelity of 125  $\mu\text{s}$ ) for synchronized data acquisition. Concurrently, pressure, flow, and piston-position data were recorded with a sampling rate of  $\sim 3$  kHz. Final reported values were averaged over 10 consecutive cycles. Each valve was evaluated under normotensive pressure hemodynamic conditions (120/80 mmHg) at a heart rate of 70 beats/min and a CO of 5 L/min following ISO5840-3 [13]. The compliance chamber and flow restrictor were adjusted accordingly.

**Fig. 4** Schematic of PD (see also [8]) and investigated PU and PEEK valves. PD: pulse duplicator; PU: polyurethane; PEEK: polyether ether ketone





**Fig. 5** OA tracking steps: **a** initial image, grayscale (8-bit); **b** image with enhanced contrast; **c** binarized image of (b); **d** largest object isolation; **e** created mask from image (d); **f** cropped image (b) with a mask; **g** largest binarized object in (f); **h** final image with artifacts corrected (see text for details). The red circle indicates the valve cylindrical stent base. OA: orifice area

### Orifice area tracking

Recently, researchers [33, 59] applied efforts to track the OA using optical/pure imaging techniques. Nevertheless, given the objective of this study on evaluating alternative valve concepts, a more versatile and valve invariant technique had to be developed in a MATLAB 2022a environment, leading to an automatized image processing tool. The process intermediate results are depicted in Fig. 5. First, contrast was enhanced to highlight leaflet domains for subsequent binarization (Fig. 5b). Then, valve leaflet roots were tracked (Figs. 5c and 5d) and used as a mask to isolate the outer dark area (Fig. 5e). This led to a distinct tracking of the OA (in black in Fig. 5f). Finally, artifacts, like air bubbles, were suppressed (Figs. 5g and 5h), and the pixel sum was calculated. To correlate pixel size (in mm), a white reference circle of known diameter was positioned and imaged upfront the heart valve before starting any trial.

## Results and discussion

### Numerical analysis

PU and PEEK leaflets were simulated for alike flexural stiffness  $Et^3$  to assess the validity of the first-order approximation ( $OP \sim Et^3$ ) by Leat and Fisher [35]. Results in Fig. 6a do not support this initial hypothesis. The OPs for PEEK leaflets were up to  $2.5\times$  higher than PU, suggesting that bending-dominated load assumption is weak and insufficient for design scaling, as demonstrated in Fig. 6b, where PU ( $t = 150$  and  $300 \mu\text{m}$ ; solid lines) and PEEK ( $t = 26.5, 35, 50,$  and  $75 \mu\text{m}$ ; dashed lines) do not follow the same path. Generally, PU has lower OPs than PEEK, attributed to the neglected membrane contribution, as explained in Sect. "Bending and membrane states".

### Valve designs

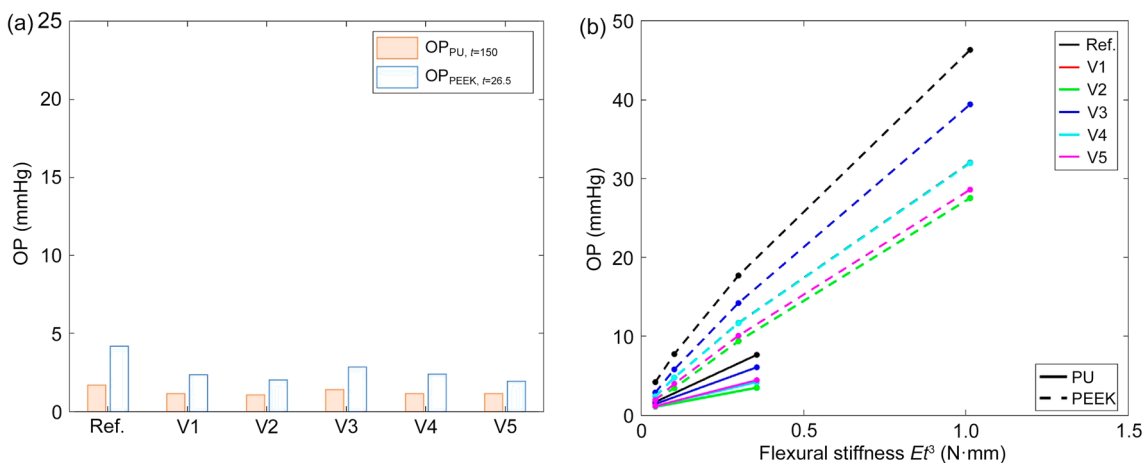
The numerical analysis highlights a distinct trend in valve responsiveness, as summarized in Section "Experimental analysis". Generally, the new designs exhibited significantly lower OPs than the reference, especially with stiff leaflet materials. V2 had the lowest OP, measuring 1.1 and 9.4 mmHg for PU ( $t = 150 \mu\text{m}$ ) and PEEK ( $t = 50 \mu\text{m}$ ), respectively. This design provided the most significant improvement for PEEK ( $t = 35 \mu\text{m}$ ), where OP was reduced by 56% compared to the reference, with the equivalent for  $t = 50 \mu\text{m}$  to be 47%. PU-based comparison still showed equivalent improvement with 37% for  $t = 150 \mu\text{m}$  and 55% for  $t = 300 \mu\text{m}$ , with a thicker leaflet to benefit more for this case. In contrast, V3 showed the lowest responsiveness, with an OP  $\sim 20\%$  lower than the reference for PEEK ( $t = 50 \mu\text{m}$ ). The numerical  $\Delta P$ -OA paths of depictive variants can be found in Supplementary Information Section S1.

Overall, these results suggested that the double curvature leads to a higher leaflet responsiveness, based on OP, attributed to a reduced effective flexural stiffness. A large  $R_1$  and a small  $R_2$  favor lower OP. It appears that  $R_1$  has a greater influence on reducing OP, as evidenced by the superior performance of V2 with a larger  $R_1$  compared to V5 with a smaller  $R_2$ . Furthermore, the influence of  $R_2$  on the OP becomes significant only below a certain value, as indicated by the similar performance of V1 and V4. A slight reduction in OA for the new designs is noticeable, although all exceeded the range of  $138 \text{ mm}^2$ , as reported in the norm [13], for the given nominal diameter (i.e., 22 mm). V5 had the smallest OA, up to 26% smaller than the reference design. This discrepancy may be attributed to the smallest  $R_2$  of V5, limiting its ability to open as widely as illustrated in Supplementary Information.

### Opening and closing behavior

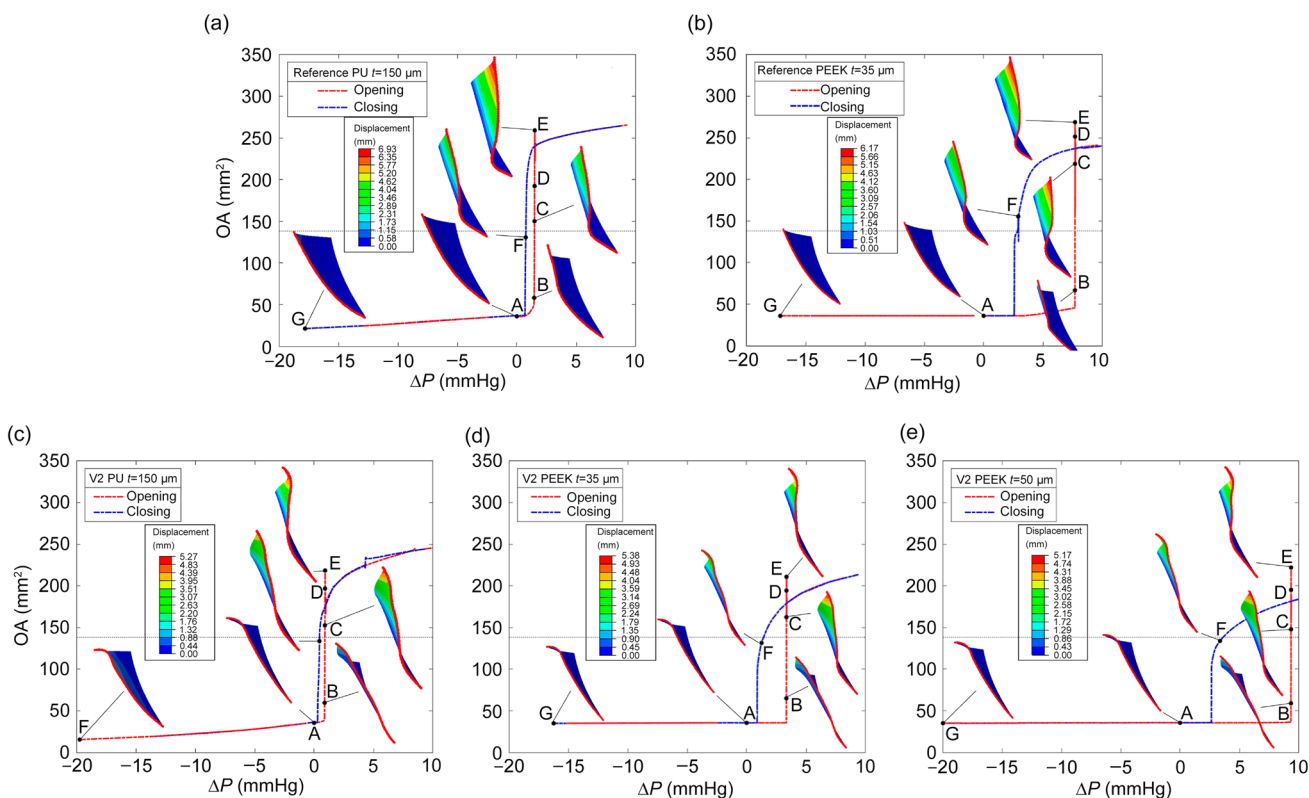
Figure 7 illustrates noticeable differences in the opening and closing OA trajectories for the reference design and V2 for soft and stiff leaflet materials (see Supplementary Information Section S2 for other variants). The abrupt leaflet response relates to instabilities seen through the snap-through of the shell structure. During valve opening and closing, leaflet curvature changes, a phenomenon previously reported by other researchers and common in aortic leaflets [60]. An "apparent hysteresis" is observed, namely, closing occurring at lower pressures than opening. PU leaflets tend to stretch more under high pressures, whereas PEEK leaflets exhibit more diverse curvature patterns driven by bending instabilities (see Video S1 in Supplementary Information), which is apparently leading to states with higher stiffness.

This latter phenomenon is intensified for  $t = 50 \mu\text{m}$ , which exhibits a greater disparity in opening and closing



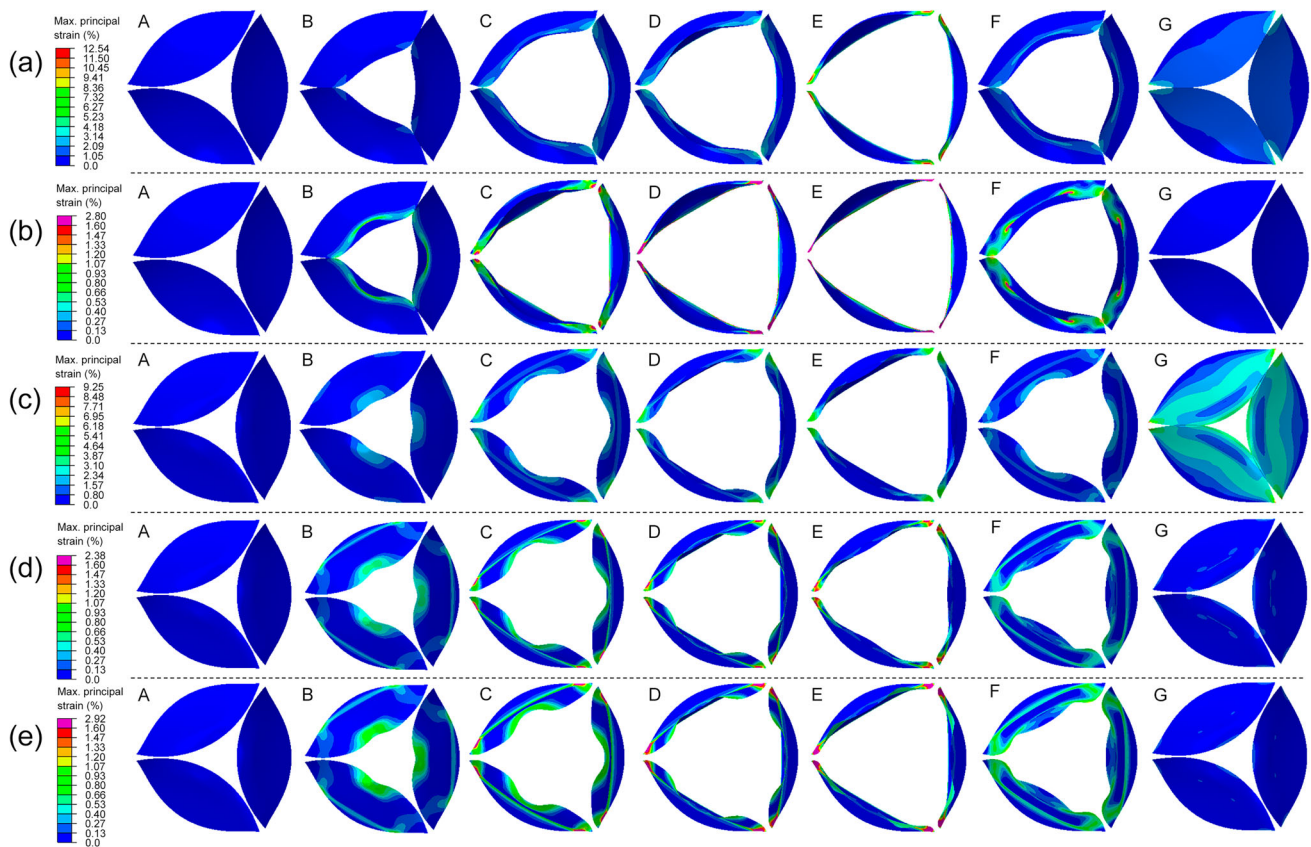
**Fig. 6** OP for designs considered for **a** constant  $Et^3$  for PU ( $t = 150 \mu\text{m}$ ) and PEEK ( $t = 26.5 \mu\text{m}$ ) and **b** varying  $Et^3$  for PU ( $t = 150$  and  $300 \mu\text{m}$ ; solid lines) and PEEK ( $t = 26.5, 35, 50,$  and  $75 \mu\text{m}$ ;

dashed lines). Note the difference in the OP scale. OP: opening pressure; PU: polyurethane; PEEK: polyether ether ketone



**Fig. 7** Simulated OA for one cycle (systole-diastole) over  $\Delta P$  for reference valve: **a** PU ( $t = 150 \mu\text{m}$ ) and **b** PEEK ( $t = 35 \mu\text{m}$ ) and V2: **c** PU ( $t = 150 \mu\text{m}$ ), **d** PEEK ( $t = 35 \mu\text{m}$ ), and **e** PEEK ( $t = 50 \mu\text{m}$ ). The dotted line is the standard value for OA for a valve size shown in [13].

Section views of key states (see also Fig. 8) are also illustrated, with B-C marked in red and displacement magnitude in mm. Data from other variants are reported in Supplementary Information. OA: orifice area; PU: polyurethane; PEEK: polyether ether ketone; B-C: belly-curve



**Fig. 8** Top view of opening and closing with the maximum principal strain for the instants in Fig. 7: **a** reference valve (PU,  $t = 150 \mu\text{m}$ ), **b** reference valve (PEEK,  $t = 35 \mu\text{m}$ ), **c** V2 (PU,  $t = 150 \mu\text{m}$ ), **d** V2

(PEEK,  $t = 35 \mu\text{m}$ ), and **e** V2 (PEEK,  $t = 50 \mu\text{m}$ ). For PEEK, elements exceeding a conservative yield limit of 1.6% [51] are shown in purple. PU: polyurethane; PEEK: polyether ether ketone

paths. Note that the complete closing of the valve is not modeled (i.e., higher negative pressures), as tight closing is weakly affected by the design variation, as shown by the experiments (see Sect. "Experimental analysis"), given the alike stress-free OA.

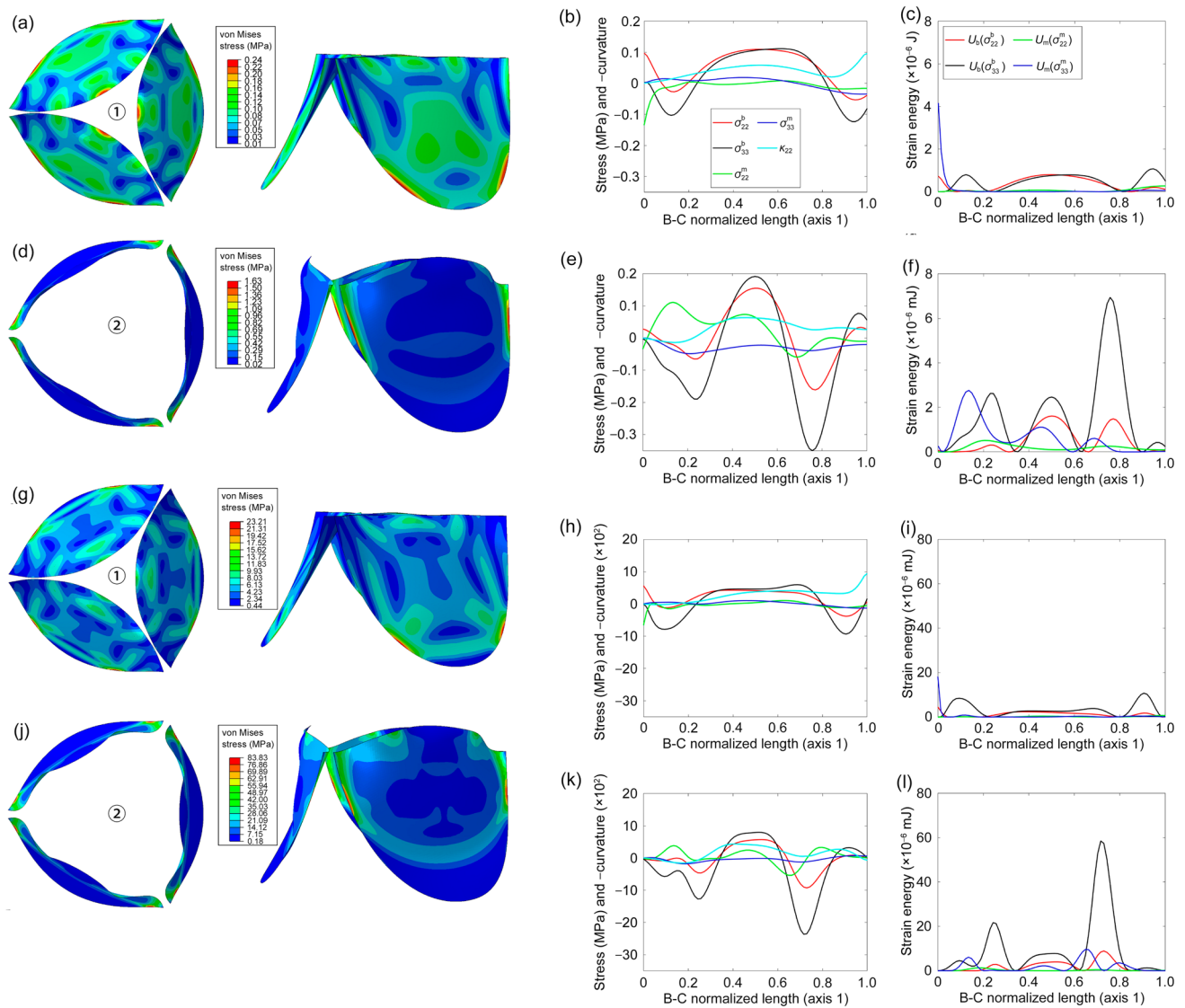
At the same instant, PU underwent a maximum logarithmic strain ( $\varepsilon_{\max}$ ) of  $\sim 12\%$ , way below the tensile strain at break (i.e., 450%). In contrast, PEEK experienced a lower  $\varepsilon_{\max}$  of  $\sim 3.5\%$ . The latter exceeded the elastic limit, expected from 1.8% to 3% [9], but as illustrated in Fig. 8,  $\varepsilon_{\max}$  is concentrated along the stent edge toward the stent tips, relating to the fixed boundary conditions. Introducing a valve frame with a certain flexibility may not alter the location of  $\varepsilon_{\max}$ , but the value is expected to decrease.

### Bending and membrane states

Figure 9 shows the bending and membrane stresses ( $\sigma_{22}$  and  $\sigma_{33}$ ) along the normalized length (ranging from the free edge to the fixed boundary, i.e., from 0 to 1, as in Fig. 3a) of the leaflet B-C for the opening and maximum pressure (defined as in Fig. 3c), including V2 in PU ( $t =$

150  $\mu\text{m}$ ) and PEEK ( $t = 50 \mu\text{m}$ ). The stress comparison revealed a significant difference in magnitude, with PEEK ( $\sigma_{\max} \approx 8.0 \text{ MPa}$ ,  $\varepsilon_{\max} \approx 0.33\%$ ) exhibiting higher stress levels than PU ( $\sigma_{\max} \approx 0.19 \text{ MPa}$ ,  $\varepsilon_{\max} \approx 1.4\%$ ) at maximum pressure, relating to material moduli and load case, with maximum stresses lying in direction 2.

The calculated strain energy ratio ( $\psi$ ) between bending and total strain energy (including  $\sigma_{22}$  and  $\sigma_{33}$ ; Figs. 9e, 9f, 9k, and 9l), was 0.82 and 0.87 at the opening and 0.72 and 0.85 at maximum pressure for PU and PEEK, respectively, suggesting a bending-dominated problem in both cases but more pronounced in PEEK attributed to its higher stiffness. Moreover, bending stresses in direction 3 and strain energy were higher (especially) for PEEK than those in direction 2, demonstrating the importance of the B-C shape. Indicatively, the ratios of bending strain energy in direction 3, over total at the opened state shown were 0.55 and 0.71 for PU and PEEK, respectively.



**Fig. 9** Deformed state information of V2 at opening instant ① (see Fig. 3c) and maximum pressure defined at instant ② (see Fig. 3c; approximately 17 mmHg) for PU ( $t = 150 \mu\text{m}$ ; (a–f)) vs. PEEK ( $t = 50 \mu\text{m}$ ; (g–l)). From left to right: deformed states with von Mises stresses of

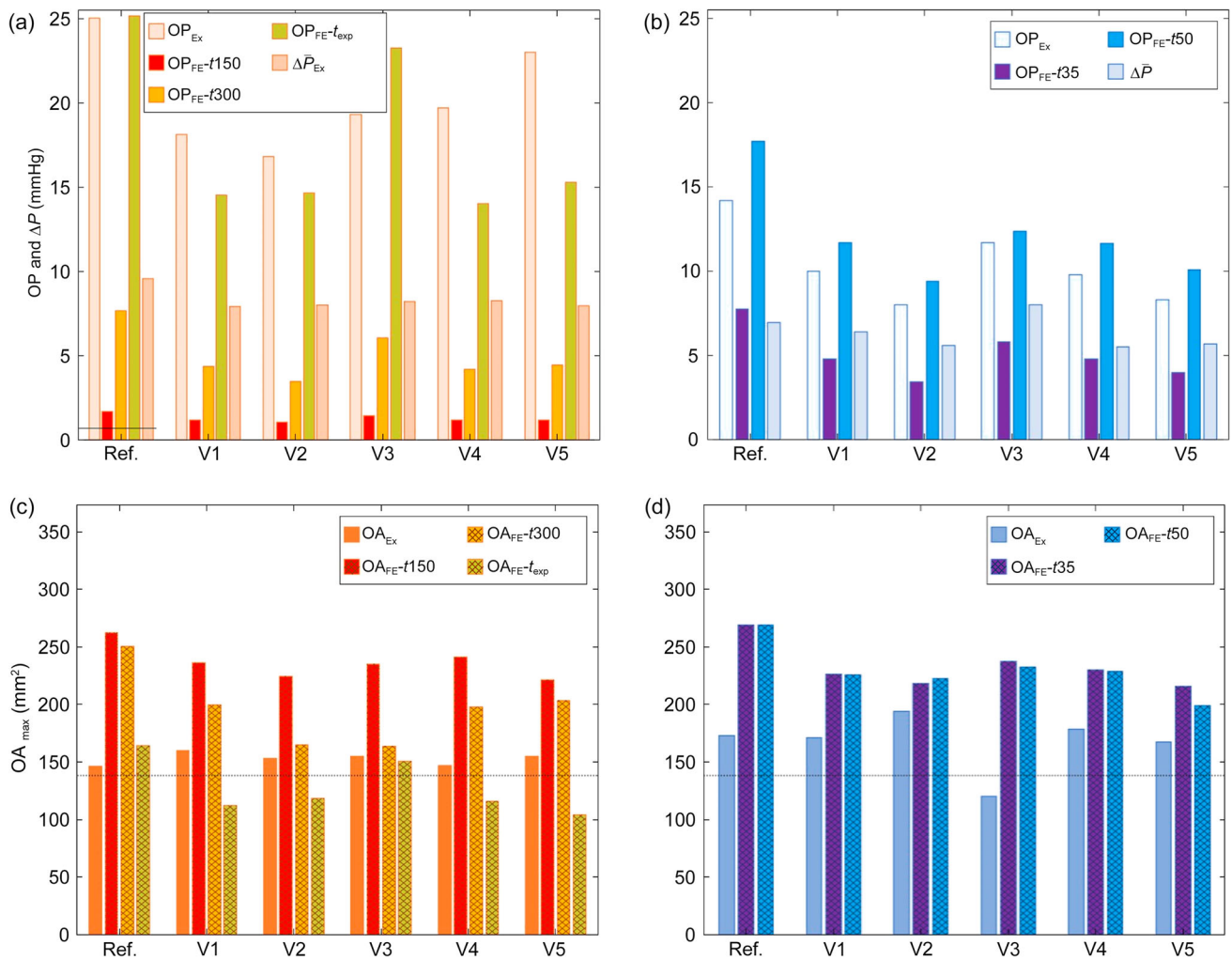
V2 (a, d, g, j); bending (at  $t/2$  in Fig. 3) and membrane stresses around directions 2 and 3 and curvature  $\kappa_{22}$  (b, e, h, k); and bending and membrane strain energy around directions 2 and 3 (c, f, i, l). Note the scale changes. PU: polyurethane; PEEK: polyether ether ketone

**Experimental analysis**

The experimental results (as summarized in Fig. 10) depict similar trends to FE simulations in OPs for PU and PEEK valves. The OPs for PEEK leaflets were lower than the simulation predictions (Fig. 10b), mainly due to their final thickness (after thermoforming from  $(45 \pm 7) \mu\text{m}$  [51] compared to the simulated nominal  $50 \mu\text{m}$ ). The reference valve exhibited the highest OP (14.2 mmHg), whereas V2 demonstrated the lowest (8.0 mmHg), representing a 44% improvement. The  $\Delta \bar{P}$  values (refer also to Fig. 11e) were in a physiologic range of 5.5–6.9 mmHg [61] and compared well to the state-of-the-art BHV reference (5–11 mmHg [49]).

However, there was still potential for studies to improve the responsiveness, as discussed in Sect. "Discussion on shape optimality", given that BHV and MHV may demonstrate OPs as low as  $\sim 1$  and  $\sim 2$  mmHg, respectively (see Supplementary Information and [8]).

Despite the trend coincidence, the OPs for the PU valve were rather high compared to expectations, as explained in Supplementary Information Section S3. However, the tested PU valves inherited manufacturing imperfections in thickness, which were attributed to the dip-coating process (also in Supplementary Information). Thus, they were compared to



**Fig. 10** Comparison of experiments with PD and FE simulations. OP and  $\Delta P$  of all designs: **a** PU and **b** PEEK. Maximum OA: **c** PU and **d** PEEK. The line in (a) is the OP of the reference valve with  $E = 6$  MPa,  $t = 150$   $\mu\text{m}$  (see Supplementary Information). The dotted line in (c) and (d) is the standard value for OA for a valve size shown in [13]. PU: for experiments nominal  $t = 250$   $\mu\text{m}$  with variation explained

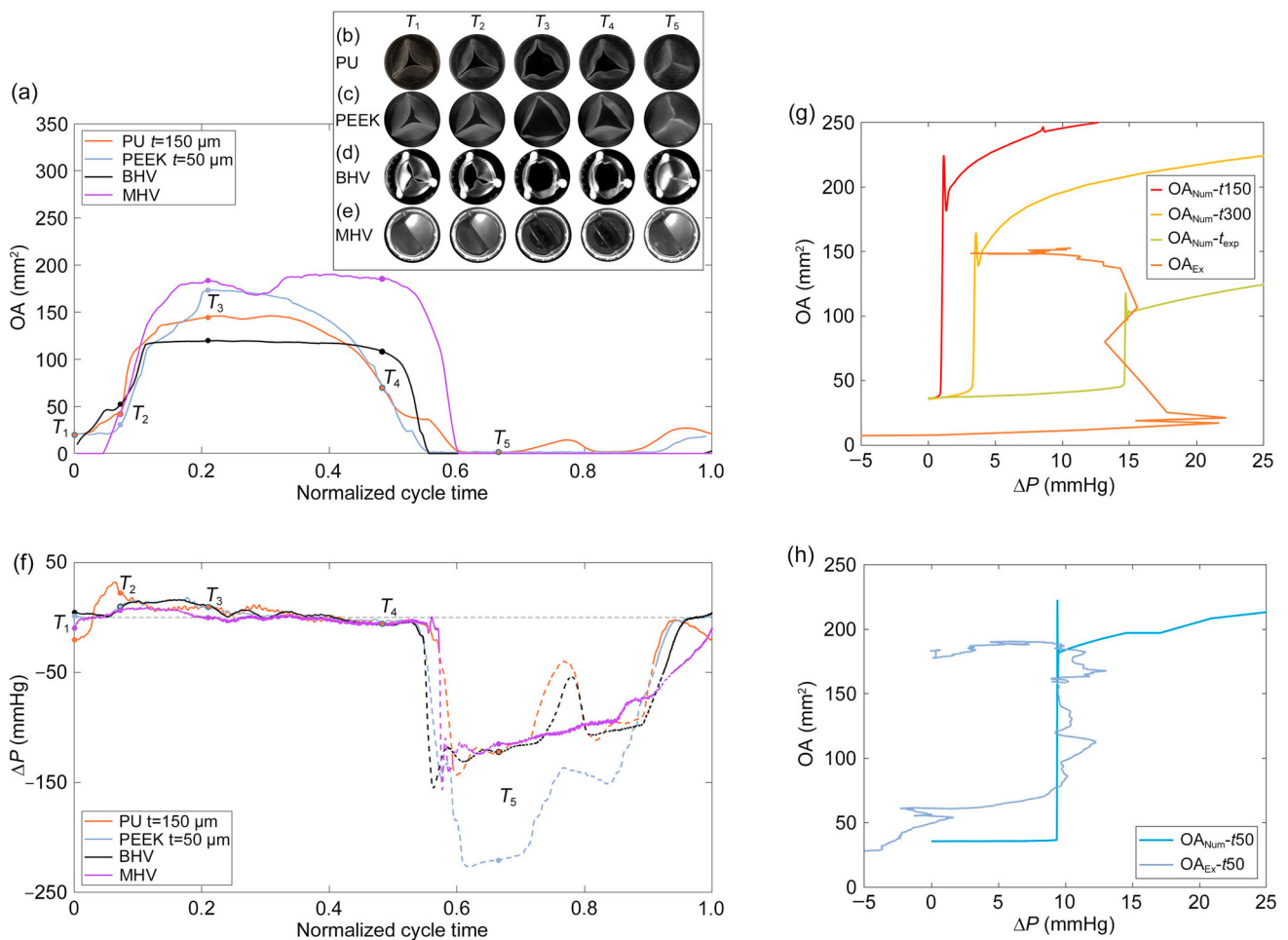
in Supplementary Information; for simulations,  $t$  was fitted exponential thickness distribution, as described in Supplementary Information. PEEK: for experiments  $t = (45 \pm 7)$   $\mu\text{m}$  [51] and simulations  $t = 35$  and  $t = 50$   $\mu\text{m}$ . PD: pulse duplicator; FE: finite element; PU: polyurethane; PEEK: polyether ether ketone; OP: opening pressure; OA: orifice area

simulation predictions by incorporating the measured thickness distribution from produced coupons; the actual mean thickness ( $\bar{t}_{exp}$ ) along B-C was  $\sim 250$   $\mu\text{m}$ .

The latter simulations coincided well with the experiments. In contrast, the lower thickness (PU  $t = 150$   $\mu\text{m}$ ) models can relate better to literature resources [35], with a perfect coincidence when the exact material properties were employed (6 vs. 13.2 MPa; see also Supplementary Information Section S1). Among the experimentally tested PU variants, the reference valve exhibited the highest OP (25 mmHg), whereas V2 showed the best OP (16.8 mmHg) with an improvement of 33%. V5 did not follow the predicted trend of being the worst PU variant, suggesting that manufacturing imperfections may have a greater influence on

this particular design.  $\Delta P$  values (7.9–9.6 mmHg; see also Fig. 11e) fell within a normal to slightly elevated range [60].

OAs for PU variants exceeded the values predicted by the simulations utilizing the measured thickness distribution, whereas OAs for PEEK were lower. In most cases, the OA for PU leaflets was even less than for PEEK but mainly attributed to the resulting higher thickness (and distribution) compared to literature [35] (see also Supplementary Information). OAs remained in a satisfactory range, surpassing, for most cases, the required value (dotted line in Fig. 10) of approximately 138 mm<sup>2</sup> for a valve of that diameter (i.e., 22 mm), as defined by the norm [13]. This discrepancy could be attributed to slightly deviating geometry and fluid-structure interactions, such as nonuniform pressure distributions.



**Fig. 11** Left: **a** OA plotted over normalized cycle time with key top-view snapshots of **b** reference PU ( $t = 150 \mu\text{m}$ ), **c** reference PEEK ( $t = 50 \mu\text{m}$ ), **d** BHV, **e** MHV, and **f**  $\Delta P$  plotted over a normalized cycle time. Dashed lines contain nonindicative data out of the sensing

range. Right: OA plotted over  $\Delta P$  (numerical and experimental) for **g** V2 g PU ( $t = 150 \mu\text{m}$ ) and **h** PEEK ( $t = 50 \mu\text{m}$ ). PU: polyurethane; PEEK: polyether ether ketone

In Fig. 11a, the OAs of PU and PEEK leaflets for the reference valve in the PD have similarities over a normalized cycle and, interestingly, lie between the BHV and MHV, yet, there were also a few differences between the materials. The start of the opening for PEEK leaflets occurred at a very similar instant to the PU ones ( $T_2$ ). However, the pressure build-up, and consequently OP, were significantly lower for PEEK (Fig. 11e), which aligned closely with BHV. MHV exhibited even lower OP, with an OA  $\sim 1.5\times$  larger than that of BHV. This was attributed to the inherent design of MHVs (bileaflet, hinged) and potentially its slightly larger inner diameter. At a fully opened state ( $T_3$ ), the maximum OA of PEEK was greater than that of PU (see also Figs. 10c and 10d). Both valves exhibited tight closing behavior, although the PEEK leaflets closed faster. BHV closing was more abrupt, yet the fully closed state happened simultaneously with the PEEK valve. MHV also had an abrupt but delayed closing, a common characteristic for that valve type [8]. The PEEK leaflets

appeared to have a small twist at full closing ( $T_5$ ), attributed to the combined action of tip bending and minor inaccuracies. The PU ones with high extensibility (much lower modulus) could show tight closing without the minor twist. The recording of the opening and closing can be found in Video S1 (Supplementary Information).

### Discussion on shape optimality

Having identified the effect of curve radius on the buckling force, a simulation was conducted on V2 with an increased  $R_1$  of 80 mm (Fig. 2j). Despite the previously established trend of increased radius for a lower OP, the measured OP increased by 11% compared to V2 at a value of 10.4 mmHg. This might be attributed to the flattening of B-C as the radius increases, causing the leaflet shape to approach the flat panel form, discussed in Sect. “Understanding the mechanics to create a robust design”.

This study explored a buckling-inspired shape, revealing that such shapes facilitate snap-through behavior, demonstrating potential for further investigation. Sensitivity analysis, including automatized optimization, may be the topic of further studies to explore the optimality of adopted shapes in correlation with the imposed boundary conditions. This benefit is expected to be translated on different valve sizes, but valve size shall be included in any optimization, assuming potential dependency to trigger buckled shape.

## Conclusions

Having identified the lack of an idealized firm equivalent to a biological leaflet for heart valve prosthesis, this study focused on the feasibility of implementing high-grade polymers as viable solutions for artificial heart valve leaflet designs. This work demonstrated that materials with E-moduli, far exceeding that of biological tissues, have a high potential to be used for valve leaflets, provided that designs deviate from biomimetic ones. The leaflet behavior transitions from a mixed membrane-bending load state to a rather bending-dominated one during the transition from tissue-like materials (low modulus) to high-grade polymer materials (approximately 300× higher modulus). The bending dominance provides ground for the implementation of a double-curvature leaflet design (on a B-C basis), inspired by the observed buckling modes of state-of-the-art leaflets, extending the design space while resulting in a substantial improvement on the opening behavior of trileaflet valves, with particular benefit for stiffer leaflet materials. The best-performing variant shows an improvement in OP of 47% based on numerical analysis with the trends verified by the experimental in vitro hemodynamic assay (44%). This improvement places the proposed solution in direct comparison to state-of-the-art BHV prosthesis when comparing the achieved  $\Delta\bar{P}$  of 5.6 vs. 9 mmHg [49].

Based on the above information, we can clearly identify and propose for the first time a very promising leaflet design that can accommodate kinematics that resembles physiologic leaflet behavior using PEEK, a material with proven hemocompatibility that includes high inertness while being one of the most mechanically performing structural polymers. Future studies should attest to the combination of material-blood interaction and offered hemodynamics to access any shear stress-related blood reaction in an in vivo environment.

The most promising design may still be subject to higher-level optimization, which can be founded on the adopted lean simulation scheme, having proven efficacy and reliability for performance assessment based on the trends identified by conducted experiments.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s42242-024-00309-y>.

**Acknowledgements** This work was partially funded by the EHeart initiative from the Open ETH program by the Executive Board of ETH Zurich and the Zurich Heart project of Hochschulmedizin Zürich. The authors acknowledge the support of Paul Bonnet Salvador, Tim Rogenmoser, and Damaris Bartholet on preliminary pilot simulations and experiments.

**Author contributions** Conceptualization, CCS, GAP, NC, VF, and PE; Data curation, CCS; Formal analysis, CCS and GAP; Funding acquisition, VF and PE; Investigation, CCS and GAP; Methodology, CCS, GAP, NC, and PE; Project administration, GAP; Software, CCS and GAP; Supervision, GAP and PE; Validation, CCS, Visualization, CCS and GAP; Writing—original draft, CCS and GAP; Writing—review & editing, GAP, VF, and PE.

**Funding** Funding was provided by Board of the Swiss Federal Institutes of Technology and Universität Zürich and the Laboratory of Composite Materials and Adaptive structures.

## Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval** This study does not contain any studies with human or animal subjects performed by any of the authors.

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