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Three-dimensional-printed low-sidelobe dual-band dual-polarized antenna array for Ku-band satellite communications*

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Abstract: A 3D-printed dual-band dual-polarization gap waveguide (GWG) slot antenna array for Ku-band satellite communications (SATCOMs) is presented in this paper. Two stacked GWGs excite the quasi-TE_{420} and quasi-TE_{240} modes in the cavity respectively through orthogonal slots. An unequal power divider with a large power division ratio is proposed based on a ridge gap waveguide(RGW). Two power tapering distribution networks are realized for dual polarizations, and the sidelobe level (SLL) is suppressed. The antenna is fabricated in parts by direct metal laser sintering (DMLS), and the whole antenna is obtained by screw assembly. The measured impedance bandwidth well covers both the transmitting band (Tx, from 14 GHz to 14.5 GHz) and the receiving band (Rx, from 12.25 GHz to 12.75 GHz) required for Ku-band SATCOMs. Measurement results show that the maximum gain reaches 25.6 dBi, and the radiation efficiency of the dual-band is $\geq 72\%$.

Key words: High-efficiency; Low-sidelobe; Slot antenna array; Tapered feeding network; Satellite communication; GWG antenna.

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1 Introduction

Satellite communications (SATCOMs) have the advantages of wide coverage, long communication distance, and little impact of natural disasters. As a commonly used frequency band for SATCOM, the Ku band covers 13.7-14.5 GHz and 10.7-12.75 GHz, which are used for uplink and downlink data transmission, respectively Zhang et al. (2022a). At the same time, to reduce the number of antennas in transceiver systems, dual-polarized antennas are usually adopted Yu et al. (2023); Zhao et al. (2017). Considering that a high-throughput satellite system often requires high effective isotropic radiated power (EIRP) Fenech et al. (2015), antennas are required to have high power capacity and high gain performanceDimitrov et al. (2020); Garcia-Marin et al. (2021). Therefore, waveguide dual-polarized antenna becomes another feasible scheme Chen et al. (2020); Cheng et al. (2020); You et al. (2022); Zhang et al. (2022b); Lu et al. (2023). However, waveguide antennas require cooperated feeding networks, which makes the antenna very complex and largeSun et al. (2022), so the processing accuracy is requiredMiura et al. (2011). Gap waveguide (GWG) has similar

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transmission characteristics to waveguides and has lower requirements for processing. A large number of studies have proved that it is suitable for designing high-gain antennasZaman and Kildal (2014); Akbari et al. (2019); Ferrando-Rocher et al. (2019b,a). InFerrando-Rocher et al. (2019b), the Ka-band 8×8 dual-polarized antenna shows a high gain of 27 dBi. However, the physical sizes of the antennas are large, resulting in high sidelobe levels (SLLs). A common way to reduce SLL is to introduce an amplitude-tapering feeding networkJiang et al. (2019); Ran et al. (2022). A method suitable for GWG to design an unequal T-junction is proposed byRan et al. (2022). Unfortunately, the antenna requires an additional layer of power divider to compensate for the phase inversion of adjacent units caused by the feeding method, thus sacrificing some gain.

In this paper, a dual-band dual-polarized antenna with both high gain and low SLL is proposed. The high-gain design is guided by the mode analysis in the cavity. To realize the two-dimensional tapered amplitude distribution, an unequal T-junction power divider with a large power division ratio is proposed. Limited by the physical size of the unit feed structure, the design of the power distributor adopts the combined groove ridge gap waveguide (RGW) to achieve a compact feeding network. Based on the structural characteristics of GWG, the antenna is processed by metal 3D printing techniques. The antenna is split into four layers for independent printing and finally obtained as a whole through screw assembly.

The remainder of this paper is organized as follows. Section 2 describes the antenna configuration and the design principle of the subarray. In Section 3, the effect of amplitude-phase distribution on the results is analyzed. An unequal T-junction power divider is proposed, and the details of the feeding networks are described. The fabrication process, measured performance of the antenna array, and related discussions are presented in Section 4. Finally, conclusions are drawn in Section 5.

2 Design of the subarray

The subarray consisting of a radiator and two feed GWGs is illustrated in Fig. 1 (a). GWGs utilize multiple rows of metal pins to prevent electromagnetic leakage. From the dispersion curve shown in

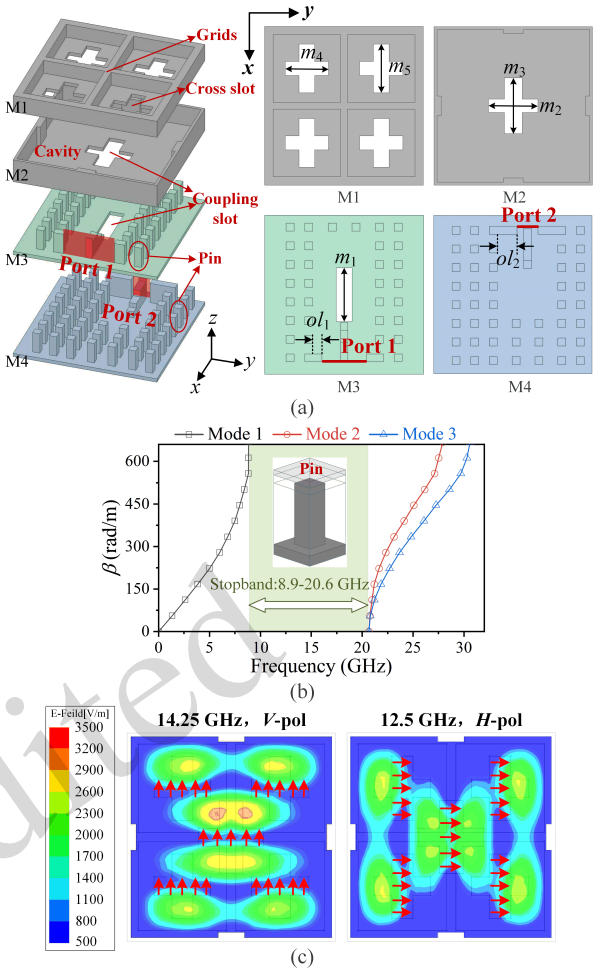

Fig. 1 Geometry of the subarray, dispersion diagram of the pin, and electric field of the cavity. (a) 3D view and top view of the subarray. (b) Dispersion diagram. (c) Electric field.

Table 1 Parameters of the subarray (units: mm)

Para.	m_1	ol_1	ol_2	m_2	m_3	m_4	m_5
Value	13.55	2.3	4.2	12.5	14.05	10.9	12.3

Fig. 1 (b), it can be seen that its operating bandwidth ranges from 8.9 GHz to 20.6 GHz.

M1 and M2 together serve as the radiator of the subarray, which contains a 2×2 cross slot and a cavity. When the feeding ports are excited, the microwave is coupled from the feed layer to the cavity and radiated out through the slots. The cavity is introduced as a four-way power divider to avoid excessive slot spacing. Fig. 1 (c) shows the electric field distributions inside the radiation cavity, from which we can see that the orthogonal slots are excited separately to achieve V-pol and H-pol. It can

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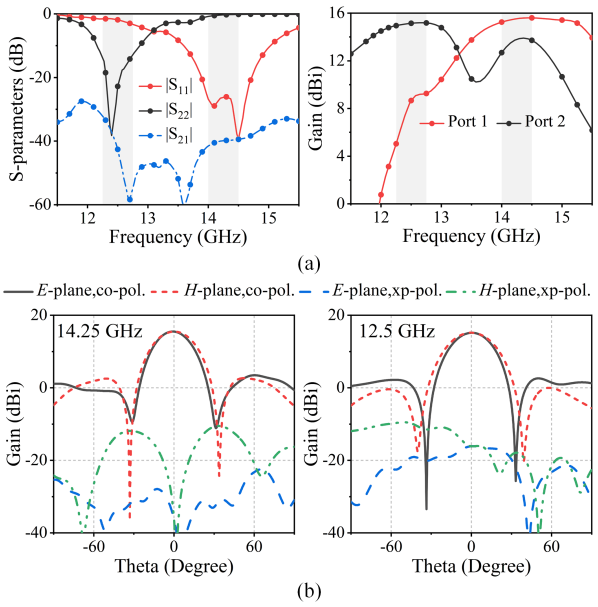

Fig. 2 Simulation results of the unit. (a) S-parameters and gain. (b) Radiation patterns.

also be seen that the cavity generates quasi-TE_{420} mode under port1 excitation; and quasi-TE_{240} mode under port2 excitation. Therefore, highly efficient radiation of V-pol and H-pol is obtained. The two sides of the cross slot are designed to be 12.3 mm and 10.9 mm, respectively, to achieve dual band.

The stacked GWGs and cross-slots are utilized to achieve orthogonal feeding. Additional metal blocks are added to the input ports to optimize impedance matching by increasing the block thickness. The detailed dimensions of the subarray are listed in Table 1 . Figure 2 (a) describes the simulation results of S-parameters, gain, and radiation patterns. The -10 dB impedance bandwidth of V-pol and H-pol are 13.51-15.01 GHz and 12.15-12.89 GHz respectively, and the isolation is $>$ 30 dB. The maximum in-band gain of V-pol and H-pol is 15.3 dBi and 15.03 dBi, respectively. The radiation patterns are given in Figure 2 (b). The sidelobes of 14.25 GHz and 12.5 GHz are -12.0 dB and -12.6 dB respectively.

The slot spacing is 18.75 mm, about 0.89λ at 14.25 GHz. For the purpose of avoiding sidelobes and grating lobes at a higher frequency, Waffle gridsFerrando-Rocher et al. (2018) are introduced on the surface of slots to improve the effective aperture area. Figure 3 demonstrates that the grid narrows the antenna's main beam and increases gain significantly. Figure 4 further shows the performance of the antenna unit when grid height h_g increases. It represents that the energy distribution within the grids becomes more concentrated with the increase of h_g, thus the unit gain increases accordingly. The sidelobe also decreases with the increase of h_g when it is smaller than 4 mm, and then rises slightly. For maintaining good sidelobe performance, h_g=4 mm is selected to keep a low profile and 3D printing feasibility. The gain of the antenna with 4 mm grids is increased by 2.7 dB and the sidelobe is decreased by 2.4 dB compared with that without grids.

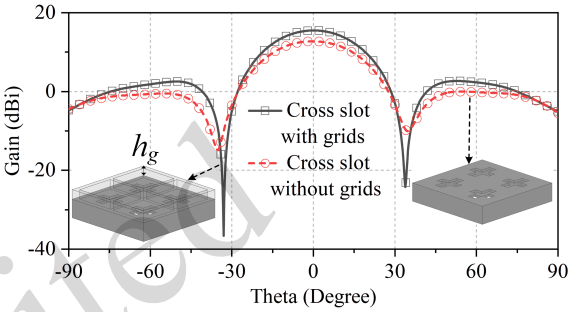

Fig. 3 Radiation patterns with/without grids.

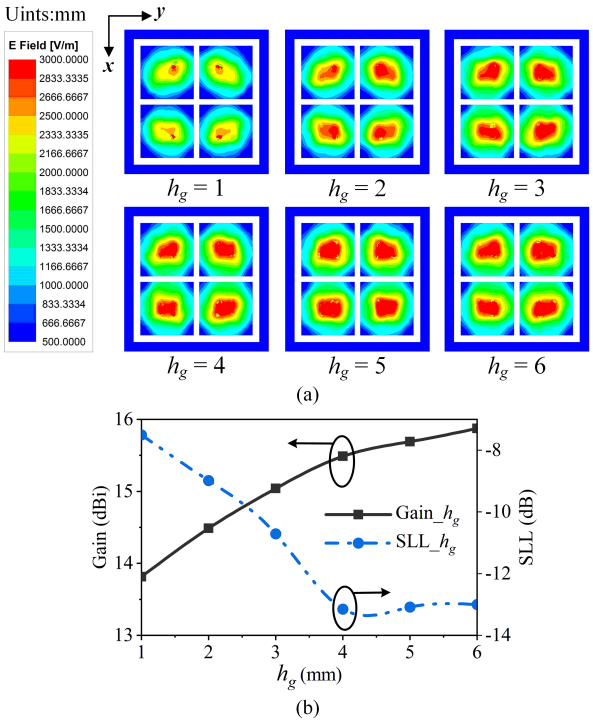

Fig. 4 Performance under different h_g. (a) Electric filed within grids. (b) Gain and SLL.

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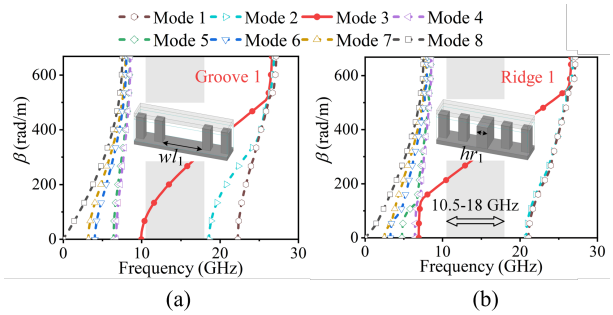

Fig. 5 Geometries and dispersion diagrams of the transmission lines. (a) GWG. (b) RGW. GWG, gap waveguide; RGW, ridge gap waveguide

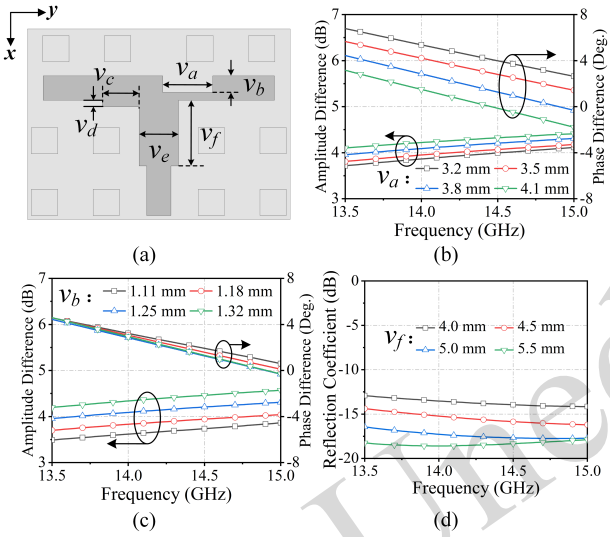

Fig. 6 Geometry and simulation results of the unequal T-junction power divider. (a) Geometry. (b) Reflection coefficien of v_f. (c) Amplitude and phase difference coefficients of v_a. (d) Amplitude and phase difference coefficients of v_b.

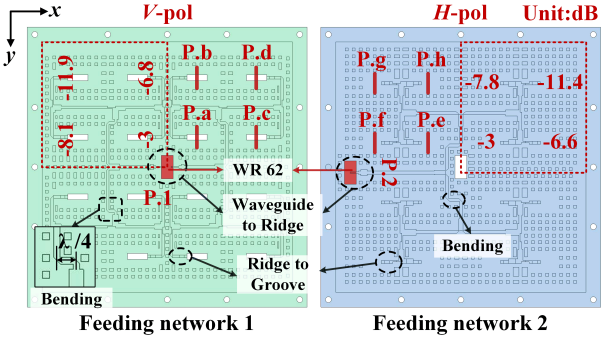

Fig. 7 Geometries of feeding networks.

3 Feeding networks

To achieve compact feeding networks, the power dividers are implemented by RGW. Figure 5 shows the geometries of all GWG transmission lines used in the feeding networks and the corresponding dispersion diagrams. All of them operate from 10.5 GHz to 18 GHz, perfectly covering the required frequency band. For each polarization, a tapering feeding network composed of bisection and unequal T-junction power dividers is designed to achieve low SLL.

3.1 Unequal T-junction power divider design

This paper presents a new type of unequal T-junction power divider, which has design flexibility and can maintain good phase performance at a high amplitude difference ratio. Figure 6 (a) shows the geometry of the proposed structure, which introduces a biased rectangular cut angle in the conventional power divider and adds a compensating rectangular block on the other side to maximize the power offset.

To verify the design flexibility of the proposed structure, its parameters are studied. Since there is structural symmetry between the excision rectangle and the increment rectangle, only v_a, v_b, and v_f are discussed. Figure 6 (b)(c)(d) depicts the simulation results under different parameters. The increase of v_a results in the decrease of phase difference dramatically and the increase of amplitude difference slightly. In addition, for any v_a value, the phase difference varies by $\leq 5°$ over the broadband. Increasing v_b can increase the amplitude difference without affecting the phase, and can make the amplitude difference > 4.3 dB. Moreover, impedance matching can be optimized by increasing the v_f value. The above discussion proves that the amplitude difference, phase difference, and impedance matching of the proposed structure can be adjusted to the ideal value by relatively independent parameters.

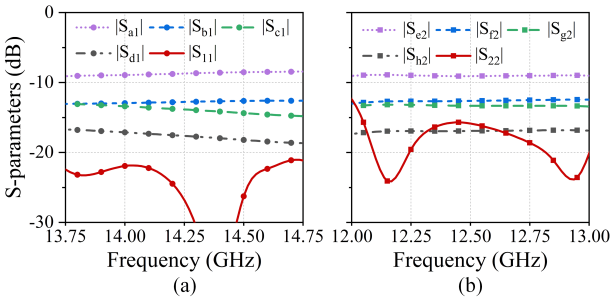

Fig. 8 Simulation results of feeding networks. (a) Feeding network 1. (b) Feeding network 2.

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3.2 Combining feeding networks

The feeding networks for each polarization are depicted in Figure 7. The power divider was designed by RGW, and two three-step transitions from ridge to groove were designed. For the convenience of testing, the feeding networks are fed by the standard waveguide WR62, so the transitions from waveguide to ridge are designed. Due to the application of transverse slot excitation in the V-pol of the subarray, to avoid the phase reversal of adjacent subarrays, the T-junction power divider in the x-axis direction of the feeding network 1 is added with a $\lambda/4$ bending line to compensate for the 180° phase.

Taylor amplitude distribution with the desired SLL of -20 dB is used as the initial design. The calculated current weights of each subarray are: 0.64:1:1:0.64. The optimized amplitude distributions of the 1/4 network are described in Figure 7, and unequal power dividers in the x and y-axis directions are designed according to the amplitude distributions. Figure 8 plots the simulation results of the feeding networks. The reflection coefficients are less than -15 dB in the required bands. The transmission coefficients of different ports are different, corresponding to the amplitude distribution in Figure 7.

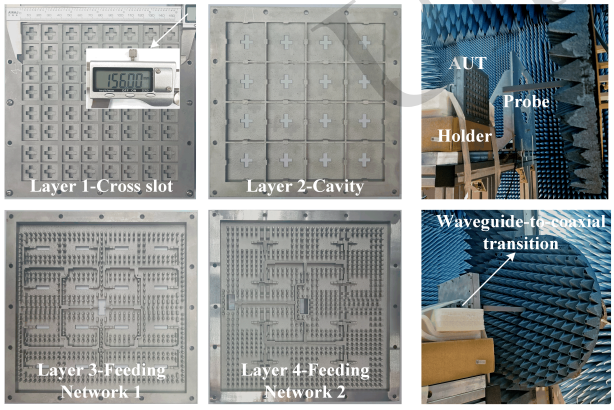

Fig. 9 Different layers of the fabricated antenna and measurement setup. (a) Top view of different layers of the antenna. (b) Measurement setup in the chamber.

4 Fabrication and experiment results

Metal 3D printing technology, direct metal laser sintering (DMLS), is used to fabricate the antenna in layers. The metal material is AlSi10Mg, with a typical print accuracy of 0.1 mm, and the surface roughness is about Ra=8 μm. The antenna is divided into four layers and printed separately. Metal walls are added around the feeding networks to serve as support structures and meet the gap height between layers, and round holes are used to assemble the antenna with screws. The top view of the different layers of the fabricated antenna and the measurement setup in the chamber are shown in Figure 9. The reflection coefficient of the antenna is measured by Keysight N5247B. The gain and radiation pattern of the antenna are obtained by testing in the planar near-field anechoic chamber.

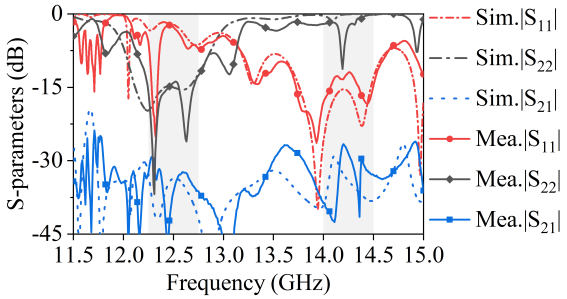

Fig. 10 Measured and simulated S-parameters of the antenna.

Figure 10 shows the S-parameters of measurement and simulation, from which we can see that the tested impedance bandwidth covers the required frequency bands. The measurement and simulation $|S_{11}|$ are in good agreement, while the results of $|S_{22}|$ and $|S_{21}|$ are inconsistent. This is because of the curling produced during the processing of feeding network 2, resulting in an increase in the height of the gap. The measured bandwidths are 6.74% (from 13.62 GHz to 14.58 GHz) for the V-Pol port, and 5.12% (from 12.18 GHz to 12.82 GHz) for the H-Pol port. The measured isolation is $>$ 27 dB.

The measured E- and H-plane far-field normalized radiation patterns of different frequencies under different port excitations are drawn together with the simulation results in Figure 11 and Figure 12. It can be seen that within the range of ±60°, the test results are in good agreement with the simulation results. The measured SLLs of V-Pol and H-Pol are lower than -17.4 dB and -18.2 dB, respectively.

The measured gain and radiation efficiency are plotted in Figure 13. It can be seen that the measured and simulated gain curves tend to be stable and agree well in the band. The maximum measured

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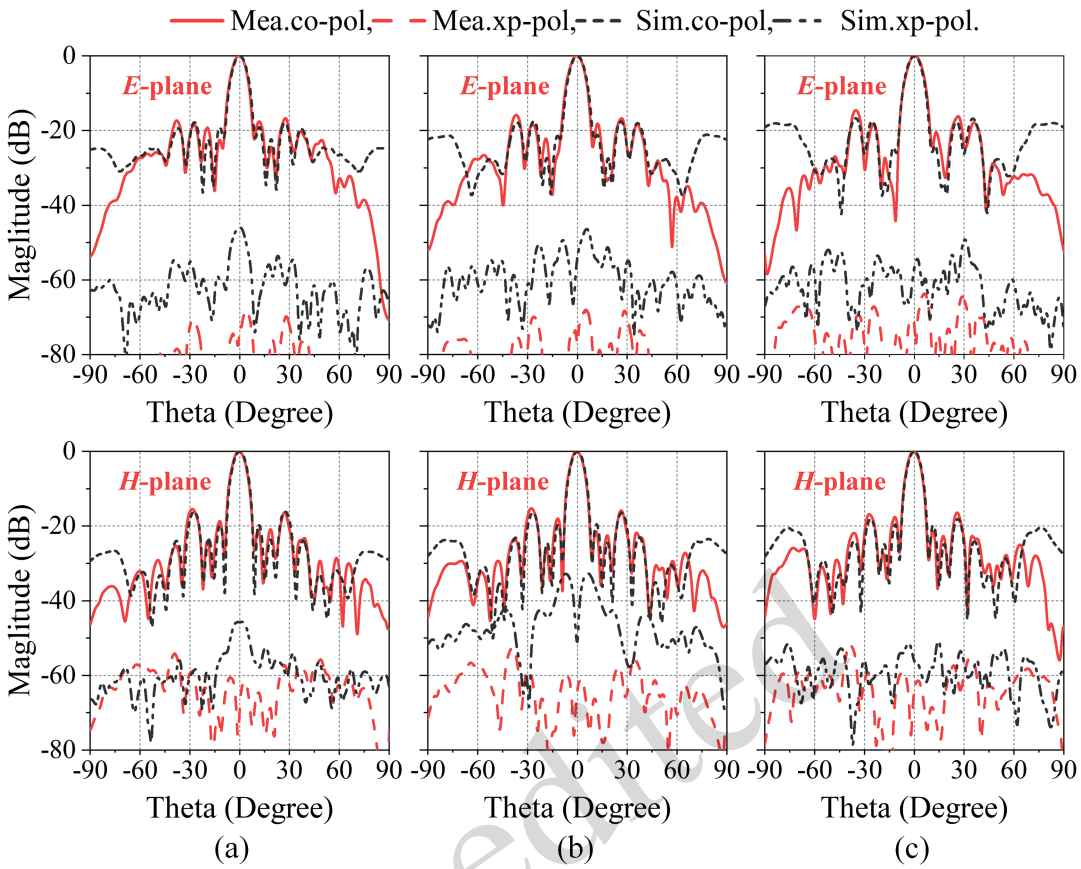

Fig. 11 Measured and simulated normalized far-field radiation patterns of antenna under V-Pol port excitation. (a) 14.0 GHz. (b) 14.25 GHz. (c) 14.5 GHz.

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gains of V-Pol and H-Pol are both 25.6 dBi with in-band fluctuations of 0.6 dB and 0.4 dB, respectively. The measured radiation efficiency is above $> 72\%$, which verifies the high efficiency of the antenna.

Table. 2 shows a comparison of the proposed slot antenna array with other published antennas. It should be pointed out that except for reference Yang et al. (2021), the rest of the antennas are based on metal structures, and therefore they have higher gains. In the studies by Sun et al. (2022) and Lu et al. (2023), GW-based dual-polarized antennas have good bandwidth and gain levels but are limited to large unit spacing and SLLs higher than -13 dB. The dual-polarized GWG antenna described in the study of Ferrando-Rocher et al. (2019b) not only has higher gain performance and isolation performance but also has unsatisfactory SLL. Antennas proposed in the study byHuang et al. (2015) and Liu et al. (2022) suppress the sidelobe to a level below -20 dB, but their gain level is lower or comparable, and they can only operate under single polarization. The antenna described by Ran et al. (2022) has a similar SLL as the proposed antenna, and both can work with dual polarization, but its gain and radiation efficiency are much lower than that of the proposed antenna. Therefore, it can be concluded that compared with published antennas, the proposed antenna has both high gain and low SLL performance.

5 Conclusion

This paper proposes a method for an 8×8 dual-band dual-polarized slot antenna array for Ku-band SATCOM. The radiation element is designed by utilizing cavity mode analysis to ensure good gain performance. To eliminate the high SLL caused by excessive slot spacing, the tapered feed distribution is designed, achieving a low SLL below -17.4 dB. The antenna array is fabricated by the metal 3D printing process. The measurement results are in good agree-

Table 2 COMPARISON WITH OTHER PUBLISHED ANTENNA ARRAYS

Ref.	Feeding network	Polarization	Sidelobe suppression	No. of elements / size (λ_0^3)	Impedance bandwidth	Max. gain	SLL (dB)	Efficiency (%)	Isolation (dB)
Yang et al. (2021)	SIW (PCB)	Dual LP	No	8×8 / $* \times * \times 0.27$	7.5% (19.2-20.7 GHz)	22.8 dBi	-14	51	20
Sun et al. (2022)	GW (3D Printing)	Dual LP	No	8×8 / $7.3 \times 7.3 \times 2.6$	26.3%(29.1-37.9 GHz) 30.2%(28.7-38.9 GHz)	27.8 dBi 28.3 dBi	-8	90	36
Lu et al. (2023)	GW (Milling)	Dual LP	No	4×4 / $3.83 \times 3.83 \times 1.88$	32%(10.5-14.5 GHz)	20.8 dBi 21.2 dBi	-11.9	79	21.7
Ferrando-Rocher et al. (2019b)	GWG (Milling)	Dual LP	No	8×8 /	4.6 %(29.8-31.2 GHz)	27.3 dBi 27.1 dBi	-11	75	50
Huang et al. (2015)	GW (Milling)	LP	Yes	16×16 / $12 \times 12 \times 0.72$	13.8%(14.15-16.25 GHz)	30.4 dBi	-25	70	/
Liu et al. (2022)	GW (Milling)	LP	Yes	8×8 / $8.4 \times 8.4 \times *$	19.2%(71-86 GHz)	25.6 dBi	-21	70	/
Ran et al. (2022)	GWG (Milling)	Dual LP	Yes	16×16 /	5%(27.26-28.68 GHz) 5.2%(27.5-28.35 GHz)	29.5 dBi	-18.5	40	40
This work	GWG (3D Printing)	Dual LP	Yes	8×8 / $8.47 \times 8.47 \times 1.46$	6.74%(13.62-14.58 GHz) 5.12%(12.18-12.82 GHz)	25.6 dBi	-17.4	72	27

* Not mentioned.
GWG, gap waveguide; SLL, sidelobe level.

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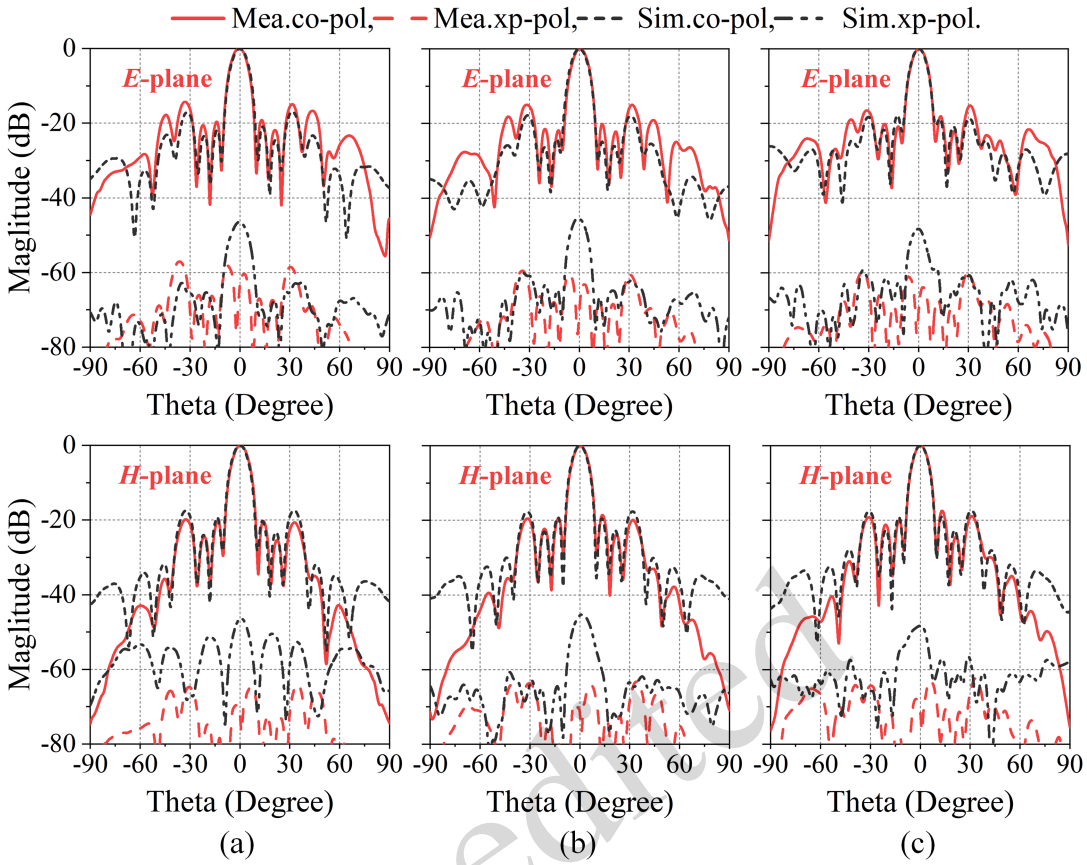

Fig. 12 Measured and simulated normalized far-field radiation patterns of antenna under *H*-Pol port excitation. (a) 12.25 GHz. (b) 12.5 GHz. (c) 12.75 GHz.

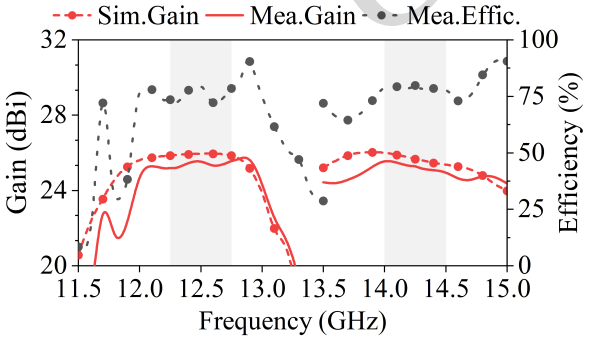

Fig. 13 Measured and simulated gain and efficiency.

ment with the simulation results, and the measured efficiency is $> 72\%$, which verifies the practicability of the antenna design scheme.

Contributors

Yuqi XIA, Genqiang KOU, and Zihang QI designed the research. Yuqi XIA and Xiupig LI drafted the paper. Wenyu ZHAO, Jie ZHANG, and Muhammad ISHFAQ helped organize the paper. Zihang QI revised and finalized the paper.

Conflict of interest

All the authors declare that they have no conflict of interest.

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