



## Research Article

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# Machine learning for soil parameter inversion enhanced with Bayesian optimization

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**Abstract:** Machine learning (ML) has strong potential for soil settlement prediction, but determining hyperparameters for ML models is often intricate and laborious. Therefore, we apply Bayesian optimization to determine the optimal hyperparameter combinations, enhancing the effectiveness of ML models for soil parameter inversion. The ML models are trained using numerical simulation data generated with the modified Cam-Clay (MCC) model in ABAQUS software, and their performance is evaluated using ground settlement monitoring data from an airport runway. Five optimized ML models—decision tree (DT), random forest (RF), support vector regression (SVR), deep neural network (DNN), and one-dimensional convolutional neural network (1D-CNN)—are compared in terms of their accuracy for soil parameter inversion and settlement prediction. The results indicate that Bayesian optimization efficiently utilizes prior knowledge to identify the optimal hyperparameters, significantly improving model performance. Among the evaluated models, the 1D-CNN achieves the highest accuracy in soil parameter inversion, generating settlement predictions that closely match real monitoring data. These findings demonstrate the effectiveness of the proposed approach for soil parameter inversion and settlement prediction, and reveal how Bayesian optimization can refine the model selection process.

**Key words:** ABAQUS software; Bayesian optimization; Machine learning (ML) algorithms; Parameter inversion; Settlement prediction

## 1 Introduction

Airports are integral parts of public transport infrastructure, and airport runways are particularly sensitive to uneven surface settlement (Wu et al., 2020). To ensure safe operation, it is crucial to carry out deformation monitoring and settlement prediction on airport runways (Yao et al., 2020). Traditional settlement prediction methods can be divided into three types: curve-fitting, theoretical calculation, and numerical simulation. The curve-fitting method involves calculating the relationship between settlement and time through field-measured data (Asaoka, 1978; Sridharan et al., 1987). However, the chosen shape

of the settlement-fitting curve depends on experience-based human judgement. The theoretical calculation method employs the layer-wise summation method along with various theoretical models to estimate the final settlement and consolidation degree of the foundation, as well as the development pattern of settlement (Zhou et al., 2020). Nevertheless, because of the difficulty of obtaining undisturbed soil parameters and the complexity of construction sites, such calculated results often differ significantly from reality. The numerical simulation method combines various soil constitutive models and consolidation theory to calculate settlement (Lester et al., 2019). This method is widely utilized due to its broad applicability, high accuracy, and flexibility. However, there is often a significant challenge in calibrating the parameters that define the constitutive model (Hu et al., 2023).

Traditionally, soil parameters are determined using two methods: laboratory tests and field tests. Due to the disparity between the soil used in laboratory

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tests and the soil in real environment, measured parameters deviate from the true values. The parameters obtained by field in situ tests usually display localized characteristics and are affected by the test methods, equipment accuracy, and soil heterogeneity (Hu et al., 2021). In recent years, with the rapid increase in data volume and the continuous improvement of computer hardware, machine learning (ML) has been widely applied in the field of geotechnical engineering (Benzaamia et al., 2024b; Xie et al., 2024). It has been applied for various tasks, such as maximum surface settlement prediction (Chen et al., 2019; Mahmoodzadeh et al., 2020), slope stability evaluation (Qi and Tang, 2018; Wang et al., 2024), soil mechanical parameter estimation (Meng et al., 2021; Zhang P et al., 2021), tunnel excavation displacement (Huang et al., 2022; Zhang et al., 2022), and deformation control and shield tunneling guidance (Pan et al., 2023; Glab et al., 2024). Based on field monitoring data, various ML algorithms have been used to predict settlement and control development, such as the back-propagation neural network (BPNN) (Ye et al., 2022; Bardhan et al., 2024), random forest (RF) (Tang and Na, 2021; Zhang RH et al., 2021; Tan et al., 2024; Ye et al., 2024), *K*-nearest neighbors (KNN), and support vector machine (SVM) (Zhang et al., 2017). However, research on the inversion for soil mechanical parameters based on airport runway settlement monitoring data remains scarce. The powerful nonlinear fitting ability of ML algorithms will help to accurately obtain soil layer parameters directly from settlement data, and enable robust prediction of airport runway settlement development. This can, in turn, aid the formulation of safety and control measures.

Hyperparameters are parameters set before training an ML model, which are usually set manually and cannot be learned directly from training data (Victoria and Maragatham, 2021). The hyperparameter space is typically vast and interdependent. To find the optimal combination of hyperparameters efficiently, ML practitioners use a variety of strategies, such as grid search (GS) (Fayed and Atiya, 2019), random search (RS) (Bergstra and Bengio, 2012), and evolutionary algorithms (EAs). The commonly used EAs include the genetic algorithm (GA) (Wijesinghe et al., 2022), particle swarm optimization (PSO) (Hasanipanah et al., 2016), ant colony optimization (ACO) (Wang and Vanapalli, 2024), and simulated annealing (SA) (Ma

et al., 2023). However, the GS algorithm is susceptible to gradient explosion, while the RS algorithm struggles to balance efficiency and precision. EAs demand a substantial quantity of initial points, and yet their optimization efficiencies remain suboptimal. Currently, most hyperparameter optimization methods fail to effectively leverage optimization experiences from other datasets. Consequently, within a constrained optimization timeframe, they are unable to fully explore the performance potential of different models, thus restricting their applicability in geotechnical engineering.

Bayesian optimization is a global optimization method based on a probabilistic model, which excels at leveraging known experimental data points. Using limited observational data, it selectively identifies the most promising parameters for subsequent iterations. Ultimately, Bayesian optimization efficiently finds the global optimum within a specified number of iterations (Akiba et al., 2019). This characteristic has led to its widespread application in the field of geotechnical engineering, particularly for the inversion of soil parameters (Yin et al., 2018; Gu et al., 2023; Zhang WG et al., 2024). For instance, a Bayesian method based on Markov chain Monte Carlo (MCMC) simulation was used to identify the optimal constitutive model for a sandy soil (Jin et al., 2019a), and a Bayesian method based on differential evolution transitional MCMC could accurately determine the parameters of advanced soil models (Jin et al., 2019b). Moreover, the Bayesian optimization-genetic algorithm (BO-GA) model has demonstrated superior performance in soil parameter calibration compared to the traditional GA (Liu et al., 2024). Additionally, an extreme gradient boosting (XGBoost) model enhanced by Bayesian optimization was utilized to accurately predict the undrained shear strength (USS) of soft clays, avoiding inefficient brute-force searches (Zhang WG et al., 2021).

In this study, we propose an enhanced ML method for soil parameter inversion based on Bayesian optimization. First, a large dataset for training ML models is generated through numerical modeling using the nonlinear finite element software ABAQUS, adopting the modified Cam-Clay (MCC) constitutive model widely utilized in geotechnical engineering. Second, five ML models are established and their optimal hyperparameter combinations are determined using Bayesian optimization, followed by comparisons of

their performances on the testing dataset. Third, the soil parameters required to predict settlement are inverted using the optimized ML models, based on the monitoring settlement data from the Xiang'an Airport in Xiamen, China. Finally, the inverted parameters from the five ML models are input to the numerical model, and are respectively compared with the settlement monitoring data. Fig. 1 delineates the overall workflow of our method.

## 2 Bayesian optimization

Bayesian optimization (BO) employs a surrogate model to approximate an objective function, and utilizes an acquisition function to balance exploration and exploitation to achieve efficient global optimization. In this study, the tree-structured Parzen estimator (TPE) serves as the surrogate model, which divides the observed data points into two groups (optimal and suboptimal) and constructs the truncated Gaussian mixture models (TGMMs) for each group. This approach enables flexible modeling of complex data distributions, while reducing computational complexity and enhancing robustness to noise. Eq. (1) defines the mathematical framework of the modeling process.

$$p(x|y) = \begin{cases} l(x), & \text{if } y < y^*, \\ g(x), & \text{if } y \geq y^*, \end{cases} \quad (1)$$

where  $x$  and  $y$  represent the input variable and the observed value of the loss function, respectively;  $p(x|y)$  is the probability density function of the input variable  $x$ , given the loss function value  $y$ ;  $y^*$ , which lies between 0 and 1, is a quantile of the observed loss function values;  $l(x)$  and  $g(x)$  are probability density functions constructed from different sets of observed loss function values.

The mathematical expression for a TGMM composed of  $K$  Gaussian distribution components can be formulated as follows:

$$p(x) = \sum_{k=1}^K \frac{\pi_k}{(2\pi)^{d/2} |\Sigma_k|^{1/2}} \exp\left[-\frac{1}{2}(x-\mu_k)^T \Sigma_k^{-1}(x-\mu_k)\right] I(x), \quad (2)$$

$$I(x) = \begin{cases} 1, & \text{if true,} \\ 0, & \text{if false,} \end{cases} \quad (3)$$

where  $p(x)$  is the probability density function in TGMM;  $d$  is the vector's dimension;  $\pi_k$  ( $k=1, 2, \dots, K$ ) is the mixing coefficient of the  $k$ th Gaussian distribution;  $|\Sigma_k|$  is the determinant of the covariance matrix  $\Sigma_k$ ;  $\mu_k$  is the mean of the  $k$ th Gaussian distribution;  $I(x)$  is the indicator function utilized for model truncation.

The TPE further adopts the expected improvement (EI) acquisition function  $E_{y^*}(x)$  to guide the search process toward promising regions. In this way, we can effectively combine probabilistic modeling with adaptive sampling strategies to optimize objective functions in bounded domains (Jones, 2001).

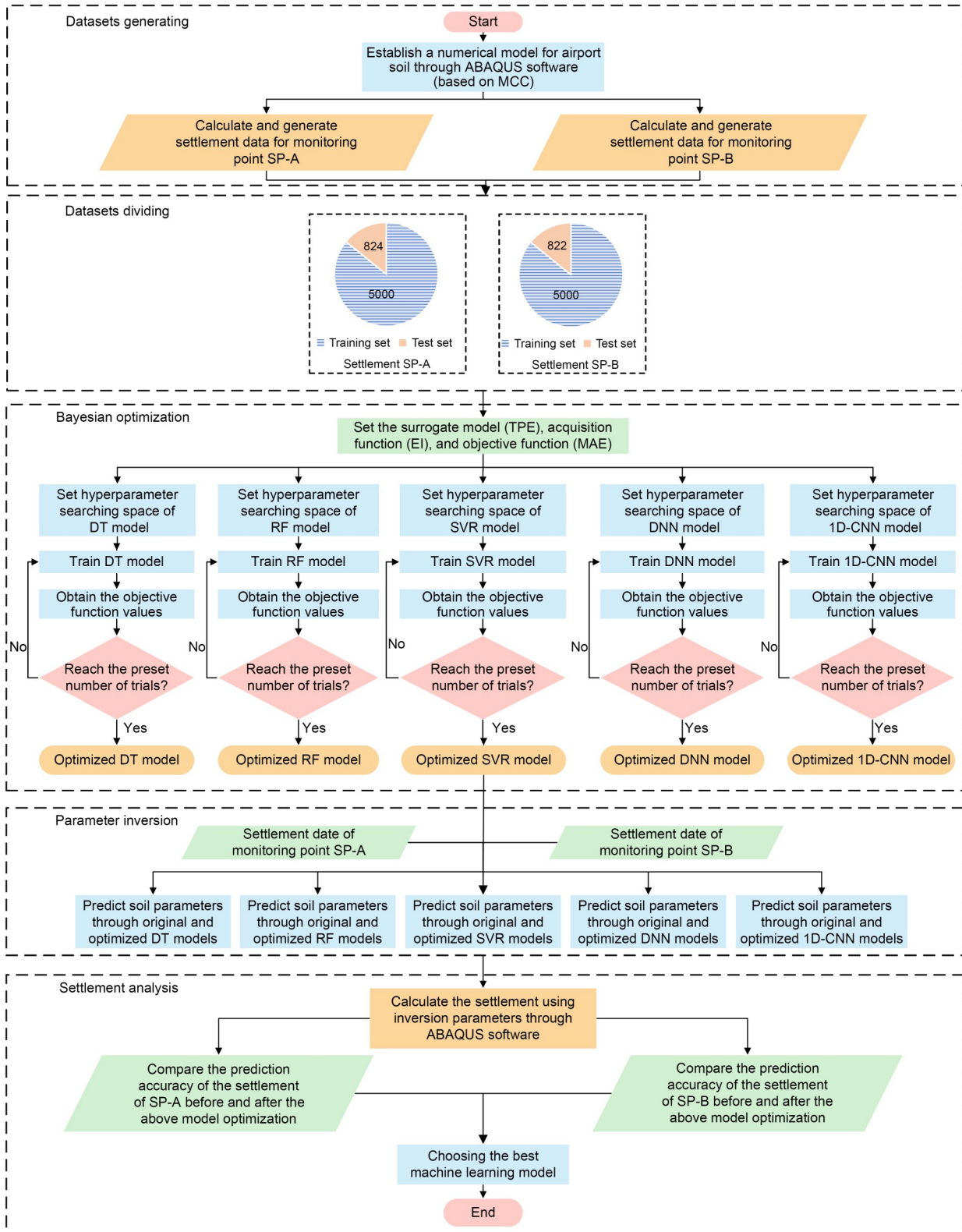
$$E_{y^*}(x) = \int_{-\infty}^{y^*} (y^* - y) p(y|x) dy = \int_{-\infty}^{y^*} (y^* - y) \frac{p(x|y)p(y)}{p(x)} dy, \quad (4)$$

where  $p(y|x)$  is the conditional probability density function of the loss function value  $y$ , given the input variable  $x$ ;  $p(y)$  is the marginal probability distribution of the loss function value  $y$ .

## 3 Datasets and ML models

### 3.1 Data source

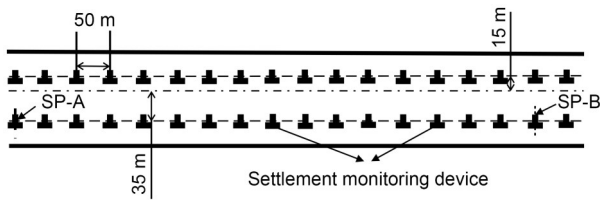
ML models with robust performance and generalization capabilities typically require a substantial amount of training data. Given the limited availability of on-site settlement monitoring data, a viable alternative approach involves generating a synthetic dataset using numerical methods and using the synthetic dataset for model training. This approach can be complemented by evaluating the model's accuracy with real settlement monitoring data, thereby enhancing the precision and robustness of the settlement prediction (Xie et al., 2025). Consequently, the data in this study encompasses two primary components: numerical simulation data generated by the finite element software ABAQUS, and settlement monitoring data from the Xiang'an Airport in Xiamen, China.



**Fig. 1** Workflow of the proposed framework for obtaining the optimal soil parameter inversion mode. SP-A and SP-B represent the two distantly positioned monitoring points on the airport runway. MAE is the mean absolute error; DT, SVR, DNN, and 1D-CNN are the decision tree, support vector regression, deep neural network, and one-dimensional convolutional neural network, respectively

### 3.1.1 Monitoring dataset

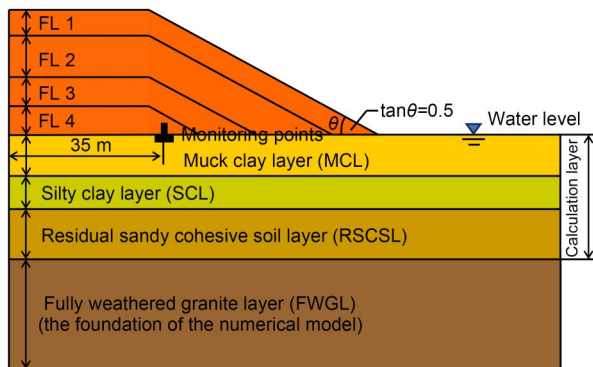
In order to comprehensively understand the distribution and developmental trend of runway settlement at the airport, monitoring points were strategically positioned at critical locations along the runway, as depicted in Fig. 2. The settlement development records from two distantly positioned monitoring points on the airport runway, SP-A and SP-B, were chosen to validate the ML-based parameter inversion model. The inverted “T” markers in Fig. 2 symbolize settlement monitoring plates located at the base of the load-bearing sand layer.



**Fig. 2** Distribution of monitoring points along the runway

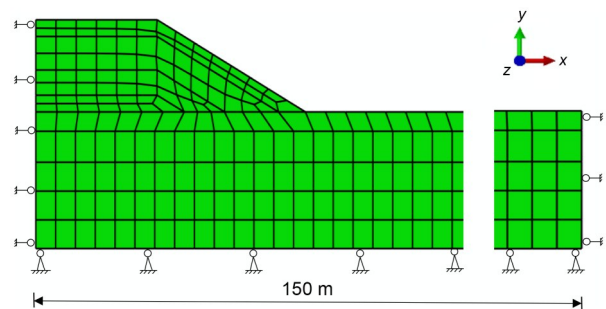
### 3.1.2 Numerical simulation dataset

Because of substantial ground settlement in the studied region, we incorporate the effect of significant soil deformation into the finite element modeling (FEM). The numerical modeling is based on rational assumptions, including the subsoil stratification, soil homogenization, construction conditions, incompressibility of pore fluids, and incompressibility of fully weathered granite layers, ensuring that the model accurately portrays real-world conditions. Fig. 3 illustrates a geological cross-section diagram of the monitoring points at the Xiang’an Airport runway.



**Fig. 3** Geological cross-sectional diagram with the monitoring points. FL represents the fill layer;  $\theta$  is the slope angle of the fill layer

The consolidation settlement of the airport runway under the influence of superimposed preloading is regarded as a plane-strain problem. Various combinations of soil parameters are considered, and a semi-structured model is established using symmetry. For practical engineering considerations, the MCC model is employed to describe the relationship between soil stress and deformation. The foundation soil is saturated, and the elements are defined as four-node plane strain pore pressure elements. To ensure computational efficiency and accuracy, the mesh size is set to  $0.02D$  (where  $D$  represents the maximum length in the horizontal direction). The finite element models for cross-sections, and for points SP-A and SP-B, are comprised of 339 and 386 nodes, respectively. Regarding the model’s boundary conditions, the bottom is constrained in both the horizontal and vertical directions, while the left and right sides are constrained in the horizontal direction. Fig. 4 provides a visualization of the boundary conditions and numerical mesh. The load consists of four FLs, and the sub-soil compression layer comprises three parts: MCL, SCL, and RSCSL. Table S1 of the electronic supplementary materials (ESM) presents the geometric and physical properties of these different soil layers.



**Fig. 4** Numerical model and constraint conditions of the airport soil layers

The numerical simulation dataset is automatically generated in software ABAQUS 2019 by importing prepared FEM model scripts. Different settlement curves are created by defining various ranges of soil constitutive parameters within the scripts. Table S2 of the ESM presents the typical ranges of soil constitutive parameters as outlined in the geological survey report.

The numerical simulation dataset consists of 5824 and 5822 data points for points SP-A and SP-B, respectively. The random sampling method is used to

divide the dataset into training and testing sets. Fig. 5 illustrates the dataset partitioning.



Fig. 5 Dataset partitioning: (a) SP-A; (b) SP-B

### 3.2 Data pre-processing

The generated numerical simulation dataset was normalized to mitigate potential biases in model predictions due to variances among different features. All datasets were normalized within the range of 0–1 according to the following scaling rule:

$$y_{\text{zoom}}^i = y^i \times S, \quad i = 1, 2, \dots, I, \quad (5)$$

where  $y_{\text{zoom}}^i$  is the scaled label value;  $y^i$  is the original label value;  $S$  is the scaling constant;  $I$  is the label dimension of the data.

Given the significant impact of the MCL on the overall settlement development, in this study, we consider four key soil parameters within the MCL that affect settlement development. Table 1 shows the scaling constants corresponding to the four parameters of the SP-A and SP-B datasets.

Table 1 Predicted labels along with their respective scaling constants

Parameter	$S$
$\lambda_{\text{MCL}} (i=1)$	4
$\kappa_{\text{MCL}} (i=2)$	40
$M_{\text{MCL}} (i=3)$	1
$k_{\text{MCL}} (i=4)$	10000

$\lambda_{\text{MCL}}$ ,  $\kappa_{\text{MCL}}$ ,  $M_{\text{MCL}}$ , and  $k_{\text{MCL}}$  are the normal consolidation line slope, unloading rebound line slope, failure stress ratio, and permeability coefficient of the MCL, respectively

### 3.3 Machine learning algorithms

In order to obtain the model with the best inversion performance on the datasets, we select five representative ML models. Here, the underlying principles of the models are first outlined.

#### 3.3.1 Decision tree

Utilizing the classification and regression tree (CART) algorithm, a regression tree is constructed where the internal node features take on values of “yes” and “no” (Lewis, 2000), forming a binary tree structure. Fig. S1 of the ESM illustrates the principles underlying this algorithm.

The regression tree algorithm operates by recursively splitting data through binary segmentation, starting from the root node and iterating until a termination condition is met. To mitigate overfitting, the CART algorithm prunes the tree model based on whether the loss function of the decision tree decreases. The expression for the loss function in the CART algorithm is given in Eq. (S1) of the ESM.

#### 3.3.2 Random forest

The RF algorithm is an ensemble learning method involving the construction of multiple decision trees, which are then combined for predictive analysis. Each tree is trained independently, typically utilizing bootstrap sampling to establish distinct training datasets (Breiman, 2001). During the prediction phase, the RF algorithm aggregates the predictions from all trees, commonly through averaging or voting, to produce an ultimate prediction. This approach frequently yields high predictive accuracy and robust performance, particularly when handling multidimensional datasets. Fig. S2 of the ESM shows a visualization of the RF workflow as applied to regression problems.

#### 3.3.3 Support vector regression

SVR, a variant of SVM tailored for regression problems, is depicted in Fig. S3 of the ESM along with its distinctions from SVM. In contrast to SVM, SVR seeks to obtain a regression model whose predictions approximate the true labels as closely as possible (Zhang Q et al., 2024).

SVR employs a kernel function (commonly used types are detailed in Eq. (S2) of the ESM) to map samples from the original space into a higher-dimensional feature space, thereby enabling linear separability in the transformed space (Boser et al., 1992).

#### 3.3.4 Deep neural network

The fully connected DNN is a classic neural network model belonging to the category of feedforward neural networks. It mainly consists of an input

layer, several hidden layers, and an output layer, with multiple neurons potentially included in each hidden layer. The input layer only receives external input without applying any function, while the neurons in the hidden and output layers process the data, with the final results being output by the neurons in the output layer (Cheng et al., 2023). Various neural networks can be formed based on the number of neurons in each layer and the method of information propagation between layers. Fig. S4 of the ESM presents a visualization of the DNN model adopted in this study.

### 3.3.5 One-dimensional convolutional neural network

Convolutional neural networks (CNNs) are a category of feedforward neural networks, which primarily consist of convolutional layers, pooling layers, and fully connected layers (Abbas et al., 2023). Within these convolutional layers, a computation known as the dot product is performed between specific regions of the input data and a weight matrix referred to as a filter. The function of the pooling layers is to reduce the spatial dimensions of the data, thereby decreasing its complexity without diminishing the network's depth. After the data undergoes a series of convolutional and pooling operations, it is processed by the fully connected layers. Here, the multitude of signals from the previous stages is integrated into a unified set of signals through connection operations, culminating in the network's output.

Unlike traditional CNNs used for image processing, the 1D-CNN executes convolution operations along a single dimension (Chaaban et al., 2024). It can effectively capture local patterns and features within the input data, demonstrating exceptional performance in numerous sequential data and time series data tasks. Fig. S5 of the ESM illustrates the architecture of the 1D-CNN used in this study.

## 4 Hyperparameter optimization

### 4.1 Selection of hyperparameters

The optimization of hyperparameters is a critical step in both the construction and refinement of ML models. However, this process does not require optimizing all possible hyperparameters. Instead, optimizing only the hyperparameters that most strongly impact model performance is often more effective (Snoek

et al., 2012). In this study, we use the Python library scikit-learn 1.0.2 to construct DT, RF, and SVR models, while TensorFlow-GPU 2.2.0 and Keras 2.3.1 to build DNN and 1D-CNN models.

Table S3 of the ESM delineates the specific hyperparameters that require optimization for each tested ML model. For DT and RF models, "Max depth" specifies the maximum allowable depth of the tree, while "Min\_samples\_split" and "Min\_samples\_leaf" set the minimum sample thresholds for node splitting and terminal leaves, respectively. "Estimators" in the context of RF denotes the number of decision trees within the ensemble. In the SVR model,  $C$  represents the regularization strength, "Kernel" determines the feature space mapping strategy,  $\gamma_G$  controls the influence radius of samples in Gaussian kernels, "Degree" defines the polynomial order for polynomial kernels, and "Epsilon" sets the error tolerance margin. For the DNN model, " $N_{\text{neurons},i}$  ( $i=1, 2, 3$ )" indicates the number of neurons in the fully connected layers, "Activation" selects nonlinear functions, "Learning rate" adjusts the gradient descent step size, "Dropout" applies regularization via stochastic neuron deactivation, "Epochs" sets the total number of training iterations, and "Batch size" determines the number of samples processed in each weight update. Unlike the DNN, the 1D-CNN model introduces the parameter " $N_{\text{kernel},i}$  ( $i=1, 2, 3$ )" to specify the number of convolutional filters per layer, and " $N_{\text{dense}}$ " to configure neurons in the final dense layer. Architecturally, the DNN employs three fully connected hidden layers, while the 1D-CNN incorporates three convolutional layers with a fixed kernel size of 9 and a stride of 1 to enhance localized feature extraction. Detailed parameter selection criteria and rationales for the chosen architecture are provided in Fig. S5 of the ESM.

### 4.2 Determination of search scope

After identifying the hyperparameters that require optimization, it is essential to conduct a sensitivity analysis. This analysis provides insights into the influence of each hyperparameter on the model's performance, thereby facilitating the determination of an appropriate search space for hyperparameter tuning, and ultimately enhancing the model's performance.

Tables S4 and S5 of the ESM present the ranges of hyperparameters used for sensitivity analyses of the RF and 1D-CNN models, respectively. Furthermore, Tables S6–S8 of the ESM delineate the search ranges

for hyperparameters specific to each type of model. Notably, in scikit-learn, the SVR model can only estimate one parameter at a time. Therefore, we constructed four SVR models for the SP-A and SP-B datasets, respectively.

Fig. S6 of the ESM presents the results of the hyperparameter sensitivity analyses of the RF and 1D-CNN models, using the SP-A and SP-B datasets. It is important to note that the outcomes of a hyperparameter sensitivity analysis cannot be directly regarded as the final optimal model results, because hyperparameters often exhibit interdependencies and mutual influences. Therefore, by employing Bayesian optimization to simultaneously adjust multiple hyperparameters and evaluate the performance of each combination, the optimal set of hyperparameters for a given model can be identified.

### 4.3 Objective function

The objective function is the criterion for evaluating the performance of different hyperparameter combinations during optimization. Utilizing MAE as the loss function, the optimal hyperparameter combination is determined by minimizing the validation loss on the test dataset. Eq. (S3) of the ESM gives the expression for MAE.

### 4.4 Optimization process

Hyperparameter optimization exhibits diminishing returns, with the most significant improvements often occurring in early optimization stages. Considering computational costs and memory consumption, and aiming to balance computational efficiency with thorough searching, the number of trials for the Bayesian hyperparameter optimization is set to 100. The MAEs of the five ML models on the test dataset are recorded after each optimization. The optimization process for each model is illustrated in Fig. 6. Tables 2–5 present the hyperparameter combinations of the optimized models on the SP-A and SP-B datasets.

Fig. 6 illustrates the variation of the MAE with the number of trials during the Bayesian optimization process for each ML model. The MAE after each optimization for the models is represented by solid black circles, while the minimum value of the objective function during the optimization process is indicated by a solid red triangle, corresponding to the hyperparameter combination that is considered optimal for the

given ML model. The sharp initial decrease in the objective function demonstrates the high efficiency of the Bayesian optimization in identifying suitable hyperparameter combinations within a limited search space. The non-monotonic decrease of the objective function during optimization is due to the Bayesian optimization strategy exploring new potential hyperparameter combinations while seeking to minimize the objective function. This approach helps to avoid getting trapped in local optimal solutions, and increases the likelihood of finding the global optimal solution.

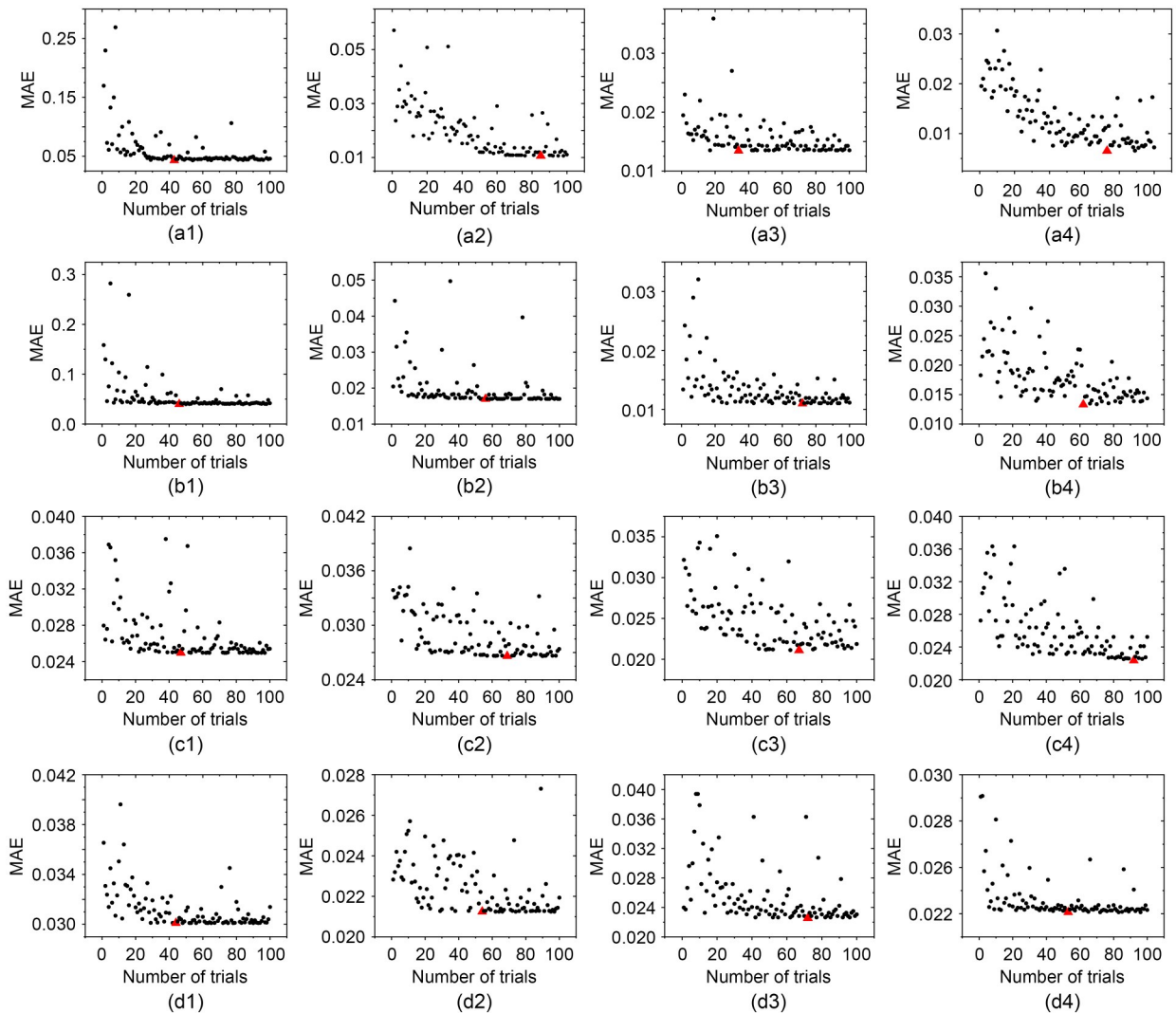
## 5 Application of optimized models

### 5.1 Performance of the ML models

Following Bayesian optimization, five optimal ML models are obtained according to the minimization of the objective function. To evaluate the performance of these models more reliably across the datasets, metrics such as mean absolute percentage error (MAPE), coefficient of determination ( $R^2$ ), root mean squared error (RMSE), and the a20-index (Asteris et al., 2024) are applied, with their mathematical definitions provided in Eqs. (S4)–(S6) of the ESM. Tables S9 and S10 of the ESM present the evaluation results of the optimized models on the SP-A and SP-B datasets, respectively.

In Tables S9 and S10 of the ESM, the  $R^2$  error ratios among the five ML models are all within 10%. Additionally, other metrics such as MAPE and RMSE show minimal differences between the training and testing datasets. These results suggest that the models exhibit small prediction errors and demonstrate consistent performance on both the training and test data, indicating no significant overfitting (Asteris et al., 2021; Benzaamia et al., 2024a). The a20-index values indicate that the prediction accuracy of all models is notably high following Bayesian optimization. All prediction results from the optimized RF, DNN, and 1D-CNN models on the testing datasets exhibit errors within 20%, demonstrating their consistent and reliable performances.

Figs. 7 and 8 depict the performance of the five models on the test datasets. Among the five optimized models, the 1D-CNN demonstrates the highest prediction accuracy, with its results on the test dataset closely aligning with the true values. In contrast, the DNN



**Fig. 6** Variation of MAE with the number of trials during the Bayesian optimization processes for the five ML models: (a1–a4) optimization processes for DT, RF, DNN, and 1D-CNN on SP-A dataset; (b1–b4) optimization processes for DT, RF, DNN, and 1D-CNN on SP-B dataset; (c1–c4) optimization processes for models 1–4 in SVR on SP-A dataset; (d1–d4) optimization processes for models 1–4 in SVR on SP-B dataset. References to color refer to the online version of this figure

**Table 2** Optimized hyperparameter combination for SVR on the SP-A dataset

SVR	SP-A dataset			Degree	Epsilon
	$C$	Kernel	$\gamma_G$		
Model 1	1	RBF	0.003	–	–
Model 2	10	Poly	–	4	0.01
Model 3	15	Poly	–	2	0.04
Model 4	1	Poly	–	2	0.05

RBF is the radial basis function; poly is the polynomial function

exhibits more scattered predictions. The DT performs the worst in terms of both accuracy and robustness. Notably, the ML models show significant variability in their predictions of the parameter  $M_{MCL}$ , which is a

**Table 3** Optimized hyperparameter combination for SVR on the SP-B dataset

SVR	SP-B dataset			Degree	Epsilon
	$C$	Kernel	$\gamma_G$		
Model 1	10	Poly	–	4	0.01
Model 2	8	Poly	–	3	0.03
Model 3	10	Poly	–	2	0.01
Model 4	10	Poly	–	3	0.04

critical factor influencing the development of airport soil settlement. Given this observation, the 1D-CNN stands out for its ability to accurately identify and predict the key parameters affecting soil settlement, highlighting its superior performance.

**Table 4 Optimized hyperparameter combinations for DT, RF, DNN, and 1D-CNN on the SP-A dataset**

Model	Hyperparameter	Description
DT	Max depth	8
	Min_samples_leaf	2
	Min_samples_split	7
RF	Max depth	10
	Min_samples_leaf	1
	Min_samples_split	3
	Estimators	41
DNN	$N_{\text{neurons},i}$ ( $i=1, 2, 3$ )	28, 20, 32
	Activation	ReLU
	Learning rate	0.0008
	Batch size	24
	Dropout	0.2
	Epochs	1000
	1D-CNN	$N_{\text{kernel},i}$ ( $i=1, 2, 3$ )
$N_{\text{dense}}$		36
Activation		ELU
Learning rate		0.0008
Batch size		40
Dropout		0.4
Epochs		1200

ReLU is the rectified linear unit; ELU is the exponential linear unit

**Table 5 Optimized hyperparameter combinations for DT, RF, DNN, and 1D-CNN on the SP-B dataset**

Model	Hyperparameter	Description
DT	Max depth	11
	Min_samples_leaf	1
	Min_samples_split	4
RF	Max depth	13
	Min_samples_leaf	1
	Min_samples_split	4
	Estimators	71
DNN	$N_{\text{neurons},i}$ ( $i=1, 2, 3$ )	20, 20, 32
	Activation	ReLU
	Learning rate	0.0002
	Batch size	20
	Dropout	0.4
	Epochs	1200
	1D-CNN	$N_{\text{kernel},i}$ ( $i=1, 2, 3$ )
$N_{\text{dense}}$		48
Activation		ELU
Learning rate		0.0002
Batch size		24
Dropout		0.4
Epochs		1200

### 5.2 Validation of the parametric inversion models in real cases

To assess the models' generalization ability, real data from monitoring points SP-A and SP-B at the Xiang'an Airport are employed for soil parameter inversion. The performance of each model on this real-world dataset is compared before and after Bayesian optimization, enabling a robust evaluation of their predictive capabilities and the effectiveness of the optimization in enhancing accuracy and reliability.

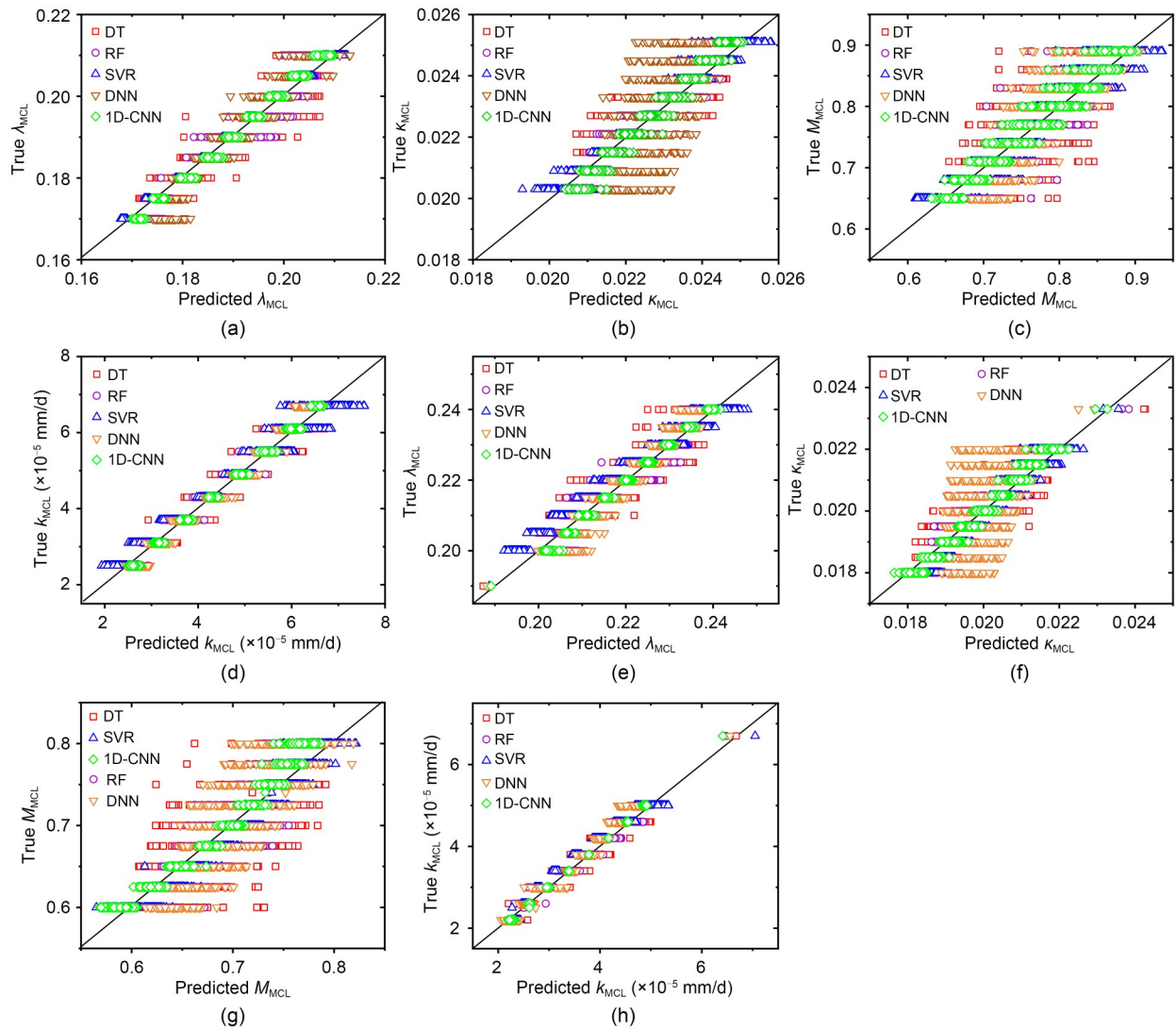
Real settlement data from monitoring points SP-A and SP-B are utilized as test datasets to input into each model and inverted for soil parameters. The resulting soil parameter inversion for each model is detailed in Tables S11 and S12 of the ESM.

### 5.3 Prediction of settlement

To illustrate the differences in predicted settlement resulting from the inverted soil parameters more intuitively, the predicted soil parameters from each ML model are incorporated into a numerical model.

This allows for the derivation of settlement curves at the SP-A and SP-B monitoring points, providing a clear visualization of the impact of the ML-predicted parameters on settlement behavior. Figs. 9 and 10 present a comparative analysis between the settlement curves computed from the inverted soil parameters of each model before and after Bayesian optimization, and the actual measured curves from the SP-A and SP-B datasets. It can be observed that the settlement curves are inconsistent. However, the differences between soil parameters are insignificant, indicating that the inverted soil parameters are the key factors affecting the development of soil settlement. Moreover, the soil parameters inverted by the models with Bayesian optimization exhibit greater accuracy, resulting in curves closely aligning with the actual settlement monitoring curves.

Employing the MAE as an evaluation metric, the prediction errors for the settlement curves by each model before and after Bayesian optimization are quantified, as depicted in Fig. 11. As one can see, the



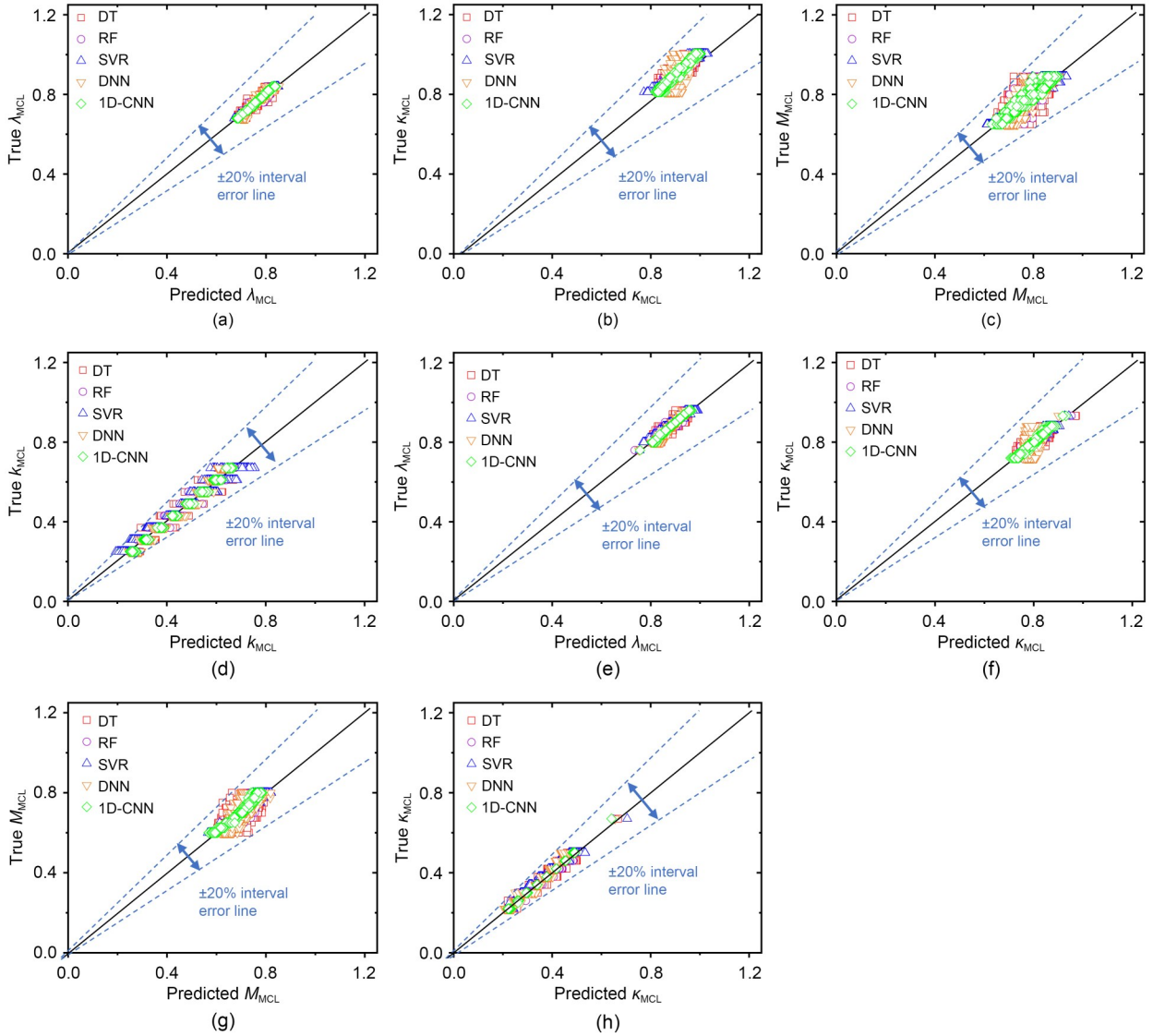
**Fig. 7** Comparison of the true and predicted results of each ML model on the test datasets: (a–d)  $\lambda_{MCL}$ ,  $\kappa_{MCL}$ ,  $M_{MCL}$ , and  $k_{MCL}$  of SP-A dataset; (e–h)  $\lambda_{MCL}$ ,  $\kappa_{MCL}$ ,  $M_{MCL}$ , and  $k_{MCL}$  of SP-B dataset

Bayesian optimization yields varying degrees of improvement across the five ML models. Among these, the DT model exhibits the strongest improvement in predictive accuracy; this is because of its simplistic structure, since minor parameter adjustments can significantly influence the model’s generalization ability. Concurrently, the low complexity of the DT model resulted in persistently subpar accuracy, even after refinement. The 1D-CNN model demonstrates low prediction error and achieves the highest predictive accuracy, following a comprehensive exploration of its potential through Bayesian optimization.

Tables S13 and S14 of the ESM provide the MAE of settlement curves for five ML models before and after optimization on the SP-A and SP-B datasets,

respectively. On the SP-A and SP-B datasets, the optimized DT model exhibits prediction errors of 0.05329 and 0.07238, respectively, indicating the poorest predictive accuracy. In comparison, the RF model demonstrates significantly lower prediction errors of 0.03101 and 0.04576, showcasing a substantial improvement in predictive accuracy. The prediction error of the SVR model on the SP-A dataset is similar to that of the DNN model, but on the SP-B dataset, it exceeds that of the DNN. The optimized 1D-CNN model achieves the lowest MAE values, 0.00706 and 0.01176, indicating its superior performance in soil parameter inversion and settlement prediction.

To select the most suitable optimized ML model for the inversion of soil parameters and settlement

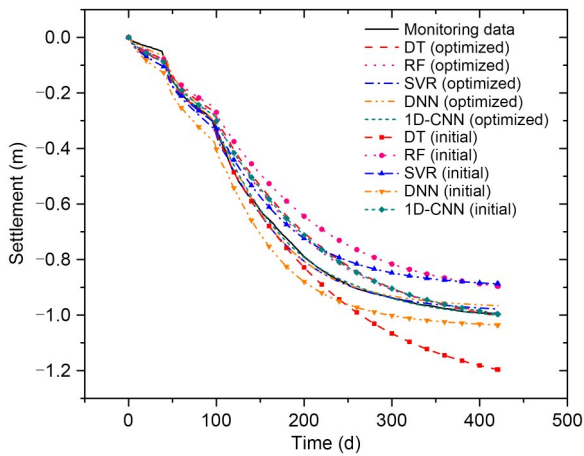


**Fig. 8** Normalized performance of each ML model on the test datasets: (a–d)  $\lambda_{MCL}$ ,  $\kappa_{MCL}$ ,  $M_{MCL}$ , and  $k_{MCL}$  of SP-A dataset; (e–h)  $\lambda_{MCL}$ ,  $\kappa_{MCL}$ ,  $M_{MCL}$ , and  $k_{MCL}$  of SP-B dataset

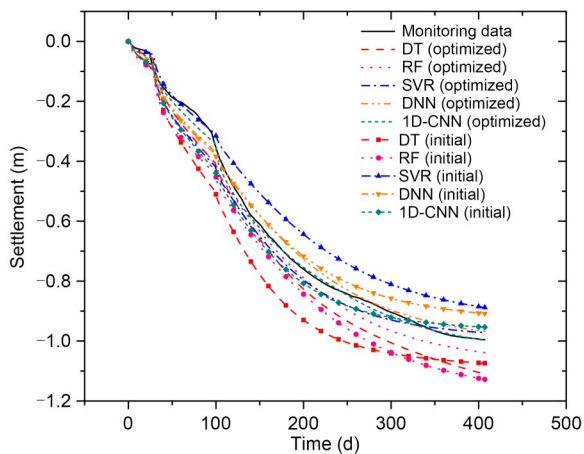
prediction, we employ four statistical evaluation criteria, namely MAPE, mean squared error (MSE), RMSE, and residual error, to further compare the settlement curves predicted by the Bayesian-optimized models. In contrast to other indicators, with which we analyze all settlement prediction outcomes, we use MAPE for the settlement prediction from the final 100 d, aiming to assess the accuracy of different models' predictions in advanced stages of settlement development.

Tables 6 and 7 present the evaluation results using MAPE, MSE, and RMSE. In both the SP-A and SP-B datasets, the 1D-CNN delivers the most precise

predictions among the five models. While the SVR and DNN exhibit closely aligned results, their predictive accuracy falls short of the 1D-CNN. The MAPE values for settlement predictions over the subsequent 100 d by the SVR model stand at 0.013050 and 0.015770, respectively, which are both lower than the DNN's predictions. However, its MSE and RMSE surpass DNN across the entire dataset, which indicates that although the SVR has superior predictive accuracy in the latter portion of the predictions compared to DNN, it is more prone to significant outliers within the overall prediction curve. The RF model notably enhances prediction accuracy compared to the DT.



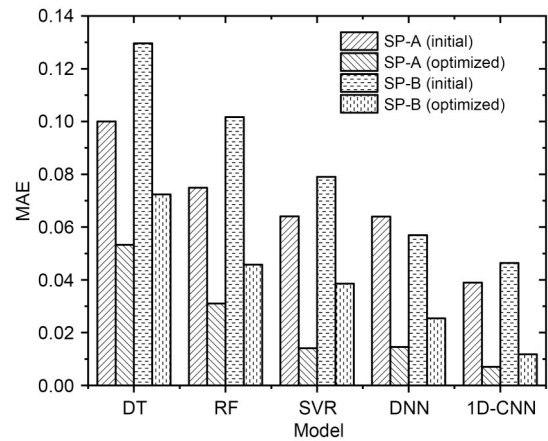
**Fig. 9** Comparison between the settlement curves predicted by the five ML models before and after Bayesian optimization at monitoring point SP-A and the actual settlement curve of SP-A



**Fig. 10** Comparison between the settlement curves predicted by the five ML models before and after Bayesian optimization at monitoring point SP-B and the actual settlement curve of SP-B

However, its MSE and RMSE exceed those of SVR and DNN. The DT yields the least favorable results. Therefore, given the scale of the dataset employed in this study, among the five ML models, the 1D-CNN offers the most dependable outcomes for soil parameter inversion and settlement prediction.

Figs. 12 and 13 depict the residual errors between the predicted settlement values and the monitored values at SP-A and SP-B for the five optimized models, respectively. It is evident that the predictive residual errors of the 1D-CNN gradually diminish with increasing settlement time, trending toward convergence. Comparatively, the predictive efficacies of the DNN



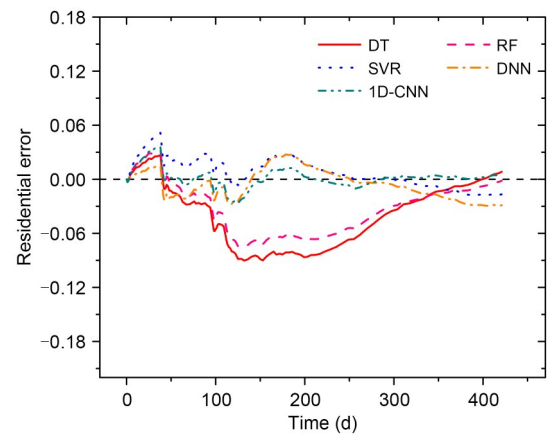
**Fig. 11** MAEs of the five ML models before and after optimization in predicting settlement curves

**Table 6** MAPE, MSE, and RMSE of the five optimized ML models on SP-A dataset

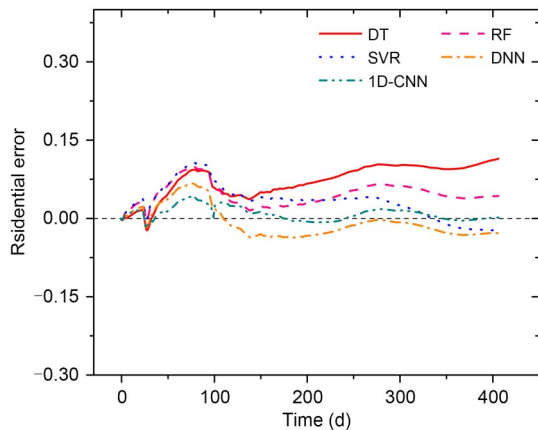
Model	MAPE	MSE (m)	RMSE (m)
DT	0.149800	0.002824	0.053140
RF	0.013119	0.001846	0.042970
SVR	0.013050	0.000319	0.017860
DNN	0.023560	0.000291	0.017059
1D-CNN	0.002607	0.000113	0.010630

**Table 7** MAPE, MSE, and RMSE of the five optimized ML models on SP-B dataset

Model	MAPE	MSE (m)	RMSE (m)
DT	0.104330	0.006147	0.078403
RF	0.047750	0.002561	0.050609
SVR	0.015770	0.002102	0.045850
DNN	0.025060	0.000892	0.029860
1D-CNN	0.004810	0.000242	0.015547



**Fig. 12** Residual errors of the settlement prediction curves for the five optimized ML models at monitoring point SP-A



**Fig. 13** Residual errors of the settlement prediction curves for the five optimized ML models at monitoring point SP-B

and SVR models are surpassed by that of the 1D-CNN. Moreover, the residual errors of the DT and RF models are relatively high, indicating noteworthy disparities between the actual settlement curves and the models' predictions. In summary, the performance of the 1D-CNN stands out. The 1D-CNN adeptly estimates the constitutive parameters of the soil layers, and accurately predicts settlement progression, thereby aiding the secure operation of the airport.

## 6 Discussion

It is important to make reasonable assumptions when using numerical methods to supplement ML training datasets in geotechnical applications, such as assumptions of subsoil stratification and soil homogenization, as these may introduce certain uncertainties in settlement prediction. Therefore, in this study, we obtained prior knowledge from finite element simulations in order to train the ML models. The models' generalization abilities were tested through this process, and the final optimal ML model was determined based on the observational data. As a result, systematic errors in the numerical methods were corrected.

Overall, ML models for soil parameter inversion based on Bayesian optimization demonstrate significant potential for airport construction and operation applications. Engineers might first obtain a massive dataset describing the development of runway layer settlement through numerical modelling under various conditions, such as different soil layers and soil

consolidation. Subsequently, this dataset can be used to construct a 1D-CNN model, and Bayesian optimization may be employed to quickly obtain the optimal hyperparameter combination for the model. This model can acquire the constitutive parameters of soil layers at any position on the ground surface, and further predict settlement development.

## 7 Conclusions

In this study, we introduce an enhanced ML approach for soil parameter inversion, leveraging Bayesian optimization to determine the optimal hyperparameter combinations for ML models. A sensitivity analysis of hyperparameters is conducted to select appropriate search ranges. The TPE Bayesian optimization method is applied to optimize five types of ML models. The accuracy of soil parameter inversion and the impact on settlement prediction are compared using the optimized forms of these models. The models are trained and tested on finite element modeling synthetic datasets, and their generalization abilities are validated using real monitoring data from two monitoring points on the airport runway. It is found that the soil parameters inverted by the optimized 1D-CNN are the most accurate among the five models. The proposed enhanced ML method can accurately estimate soil parameters, providing support for subsequent settlement predictions on runways.

Bayesian optimization is an efficient global optimization algorithm designed to identify the optimal hyperparameter combinations. In the initial stages, the objective function decreases rapidly with increasing iterations, demonstrating Bayesian optimization's effectiveness in exploring a limited search space. During the optimization process, the TPE-based strategy not only minimizes the objective function but also explores new potential hyperparameter combinations to avoid local optima, ensuring robust convergence. When evaluating model performance on real-world datasets, significant improvements in both parameter inversion accuracy and settlement prediction accuracy were observed following Bayesian optimization. These findings highlight the efficient manner in which Bayesian optimization can enhance model performance, underscoring its utility in complex optimization tasks.

On test datasets, the ML models exhibit significant variability in their predictions of the parameter

$M_{MCL}$ , a critical factor influencing the development of airport soil settlement. Among the models, the 1D-CNN demonstrated the best predictive performance, highlighting its ability to capture the key parameters driving settlement behavior. This result underscores the power of the 1D-CNN in modeling complex geotechnical relationships, and its potential for reliable settlement prediction in practical applications.

The complexity of a given model architecture was found to notably influence its performance. When validated against real settlement monitoring data, the DT model exhibits the most substantial improvement following Bayesian optimization. However, due to its inherent simplicity, the overall performance of the DT model remained suboptimal. In contrast, the RF model, which integrates multiple DTs, demonstrates significantly higher prediction accuracy than the standalone DT model. But overall, the 1D-CNN, leveraging its unique convolutional architecture, achieves the best performance across both the training and test datasets, highlighting its ability to capture complex patterns and relationships in the data.

When the inverted soil parameters are input to the ABAQUS software for reference settlement development calculations, the optimized 1D-CNN demonstrates the highest accuracy in soil parameter inversion. This enables the model to achieve settlement predictions that closely match the real monitoring datasets. Consequently, the 1D-CNN model, bolstered with Bayesian optimization as proposed in this study, provides a reliable and accurate approach for predicting soil parameters, thereby offering robust support for subsequent runway settlement predictions.

## 8 Limitations and future work

Hyperparameter optimization exhibits a trend of diminishing marginal returns, with the most significant performance improvements typically occurring early on. Considering computational costs and memory consumption, we set the number of trials for the Bayesian hyperparameter optimization to 100 to balance computational efficiency and search thoroughness. In the future, when experimental conditions permit, the number of trials for Bayesian optimization may be gradually increased to search for further improvements to model hyperparameters.

Given the uncertainty and complexity of the dataset, we constructed a three-layer DNN to facilitate model convergence and reduce training time and costs. In the future, with sufficient computational resources, the number of hidden layers may be increased or more complex model architectures may be adopted, in order to seek greater accuracy in soil parameter inversion and settlement prediction tasks.

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## Author contributions

Anfeng HU: supervision, writing–review & editing, and funding acquisition. Chi WANG: conceptualization, methodology, formal analysis, and writing–original draft. Senlin XIE: data curation, visualization, and writing–review & editing. Zhirong XIAO: funding acquisition. Tang LI: data curation and writing–review & editing. Ang XU: validation and visualization.

## Conflict of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability statement

The data generated in this study are available from the corresponding author upon reasonable request.

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### Electronic supplementary materials

Tables S1–S14, Figs. S1–S6, Eqs. (S1)–(S6)