



Review:

Nkx2-1: a novel tumor biomarker of lung cancer^{*}

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Abstract: *Nkx2-1* (Nkx homeobox-1 gene), also known as *TTF-1* (thyroid transcription factor-1), is a tissue-specific transcription factor of the thyroid, lung, and ventral forebrain. While it has been shown to play a critical role in lung development and lung cancer differentiation and morphogenesis, molecular mechanisms mediating *Nkx2-1* cell- and tissue-specific expression in normal and cancerous lungs have yet to be fully elucidated. The recent identification of prognostic biomarkers in lung cancer, particularly in lung adenocarcinoma (ADC), and the different reactivity of patients to chemotherapeutic drugs have opened new avenues for evaluating patient survival and the development of novel effective therapeutic strategies. The function of *Nkx2-1* as a proto-oncogene was recently characterized and the gene is implicated as a contributory factor in lung cancer development. In this review, we summarize the role of this transcription factor in the development, diagnosis, and prognosis of lung cancer in the hope of providing insights into the utility of *Nkx2-1* as a novel biomarker of lung cancer.

Key words: *Nkx2-1*, *TTF-1*, Lung cancer, Biomarker, Diagnosis, Prognosis, Therapy

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1 Introduction

During organogenesis, the lung buds are derived from the lateral-esophageal sulcus that evaginates into the thyroid and the stomach along with the foregut endoderm. This process is mediated by a dynamic profile of organ-specific gene expression. *Nkx2-1* (Nkx homeobox-1 gene), a member of the *Nkx2* family of homeodomain-containing transcription factors, is one of the key genes expressed. Also known as *TTF-1* (thyroid transcription factor-1), *T/EBP* (thyroid-specific enhancer-binding protein),

or *TITF* (thyroid transcription factor-1 gene), *Nkx2-1* was identified in 1989 in the rat thyroid cell line FRTL-5, and shown to be a transcriptional regulator of the rat thyroglobulin promoter (Civitareale *et al.*, 1989). Subsequent studies identified the high sequence homology of the homeodomain region with the *Drosophila Nkx2* gene family. Currently, *Nkx2-1* is known for its transcriptional activity in thyroid, lung, and ventral forebrain (Bingle, 1997). In the lung, *Nkx2-1* regulates normal development and morphogenesis, especially of lung epithelial cell differentiation and perinatal respiratory development. A more recent study (Weir *et al.*, 2007; Kwei *et al.*, 2008) showed that *Nkx2-1* can also function as a proto-oncogene and contribute to the pathogenesis of lung cancer. Since then, *Nkx2-1* has emerged as a useful molecular marker for lung cancer diagnosis and prognosis.

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2 Structure of the *Nkx2-1* gene and protein, and its biological functions in the lung

The *Nkx2-1* gene is comprised of three exons and two introns, and is located on chromosome 14q13.3. The messenger RNA (mRNA) and protein expressions of *Nkx2-1* are different in normal lung tissues and lung carcinomas (Bai and Shen, 2008). Missense and synonymous mutations of the *Nkx2-1* gene have been found in 16% of lung cancers. The NH₂-terminal harbors binding sites for co-activators, while the transactivation domain of the *Nkx2-1* gene is located in the COOH-terminal, and the DNA-binding homeodomain is located centrally. This structure facilitates *Nkx2-1* interactions with multiple transcription factors, by which the protein is able to regulate gene expression driving lung formation and biological functions (Fig. 1). In particular, the NH₂-terminus has been shown to bind to the following transcriptional coactivators: cyclic-adenosine monophosphate (AMP) response element binding protein (CBP) (Naltner *et al.*, 2000a; 2000b; Yi *et al.*, 2002), steroid receptor coactivator-1 (SRC-1) (Naltner *et al.*, 2000a; 2000b; Yi *et al.*, 2002; Yang *et al.*, 2004), and transcriptional coactivator with PDZ-binding motif (TAZ) (Park *et al.*, 2004). The centralized homeodomain is known to bind to other transcription factors, including retinoic acid receptors (RARs) (Yan *et al.*, 2001), zinc finger GATA transcription-6 (GATA-6) (Liu *et al.*, 2002; Weidenfeld *et al.*, 2002), and nuclear factor of activated T cells (NFAT) (Dave *et al.*, 2004). Finally, the COOH-terminus functionally interacts with the DNA repair protein thymine DNA glycosylase (TDG) (Missero *et al.*, 2001), other transcriptional coactivators such as p300 (Bachurski *et al.*, 2003; Grasberger *et al.*, 2005), SRC-3, SRC-2, BR22 (amino acid 6–206) (Yang *et al.*, 2001; 2003), poly(adenosine diphosphate-ribose) polymerase-1 (PARP-1), PARP-2 (Maeda *et al.*, 2006), and other transcription factors such as nuclear factor κ B (NF- κ B) (Islam and Mendelson, 2006), signal transducers and activators of transcription 3 (STAT3) (Yan *et al.*, 2002), *Drosophila* mothers against decapentaplegic 3 (SMAD3) (Li *et al.*, 2002), nuclear factor I (NFI) (Missero *et al.*, 2001), and ezrin-radixin-moesin (ERM) (Lin *et al.*, 2006).

In human, calf, rat, and mouse, *Nkx2-1* protein has been characterized as a single polypeptide chain

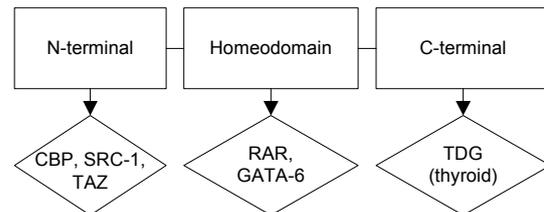


Fig. 1 Structure of the *Nkx2-1* protein

Nkx2-1 has three domains: N-terminal, coactivator binding domain; center, DNA-binding homeodomain; C-terminal, transactivation domain. Different regions interact with different transcription factors to regulate the formation and biological functions of the lungs. CBP: cyclic-AMP response element binding protein; SRC-1: steroid receptor coactivator; TAZ: transcriptional coactivator with PDZ-binding motif; RAR: retinoic acid receptor; GATA-6: zinc finger GATA transcription-6; TDG: thymine DNA glycosylase

of between 371 and 378 amino acids (aa) and with a molecular mass ranging from 38–42 kDa. The orthologues between the species have up to 98% sequence similarity. An alternative splice isoform, composed of three exons and encoding a 30-aa extension at the N-terminus, has been found in various mammalian species and has a molecular mass of 44 kDa (Hamdan *et al.*, 1998). The two *Nkx2-1* transcripts appear to have different functions in vivo (Li *et al.*, 2000). It is well known that the 42-kDa human *Nkx2-1* is a critical regulator of lung type II cell differentiation (Kolla *et al.*, 2006); however, whether the 44-kDa variant is expressed or functions in the human lung remains unknown.

Expression of *Nkx2-1* in cells is dependent upon fibroblast growth factor (FGF) signaling, a key component of lung formation (Serls *et al.*, 2005). *Nkx2-1*, as a pivotal gene itself in lung development and morphogenesis, directly binds to and activates the expression of several lung-specific genes, including surfactant protein B (SPB) (Bohinski *et al.*, 1994), SPC (Kelly *et al.*, 1996), SPA (Bruno *et al.*, 1995), Clara cell secretory protein (CCSP) (Toonen *et al.*, 1996), and adenosine triphosphate (ATP)-binding-cassette transporter 3 (ABCA3) (Besnard *et al.*, 2007). More importantly, *Nkx2-1* is also strongly associated with expression of cancer-related genes in the lung, such as *LAMP3* (lysosomal-associated membrane protein 3) and *CEACAM6* (carcinoembryonic antigen-related cell adhesion molecule 6) (Kolla *et al.*, 2006), and has been shown to be influenced by lung cancer growth.

3 Clinical application of *Nkx2-1* in lung cancer

3.1 Normal tissue expression

Nkx2-1 has been detected in mammalian samples of fetal lung, thyroid, and diencephalon. After birth, *Nkx2-1* is expressed in subsets of epithelial cells, including alveolar type II cells, Clara cells, and bronchial basal cells. Deletion of *Nkx2-1* in the mouse resulted in malformations of the forebrain, thyroid, and lung, consistent with its function as a transcriptional regulator of several important thyroid-specific and lung-specific genes. In normally developing epithelial cells of the lung, *Nkx2-1* is synthesized in the cytoplasm and transported into the nucleus. In human lung cancer cells, however, *Nkx2-1* accumulates in the cytoplasm, as evidenced by low nuclear and high cytoplasmic immunostaining with *Nkx2-1* antibody (Fujita *et al.*, 2003). However, the function and significance of cytoplasmic-restricted *Nkx2-1* remain unknown (Table 1).

3.2 Lung cancer expression

Nkx2-1 has different expression profiles in different types of lung cancer. For example, *Nkx2-1* expression is high in lung adenocarcinomas (ADCs) and small cell lung cancers (SCLCs), but low or completely absent in large cell lung cancers (LCLCs)

and lung squamous cell cancers (SCCs) (Table 1).

Tan *et al.* (2003) reported distinctive *Nkx2-1* expression levels in different histological subtypes of 126 stages I–III non-small cell lung cancer (NSCLC) patients. Among those, 68% of ADCs (51 of 75) were positive but only 21% of squamous cell carcinomas (9 of 43) were positive. In a previous study, the positive expression of *Nkx2-1* was found in 25%–76% of ADC subtypes (Bejarano *et al.*, 1995; Fabbro *et al.*, 1996; Holzinger *et al.*, 1996; di Loreto *et al.*, 1997; Bohinski *et al.*, 1998; di Loreto *et al.*, 1998; Harlamert *et al.*, 1998; Kaufmann and Dietel, 2000) and 0%–38% of SCCs (Kaufmann and Dietel, 2000; Ordonez, 2000c; Pelosi *et al.*, 2001; Haque *et al.*, 2002). Several studies have evaluated the expression of *Nkx2-1* in SCLCs; however, whether this can be used as a specific marker for SCLC remains unclear (Ordonez, 2000c). *Nkx2-1*-positive ADC was strongly associated with female sex, nonsmoking status, negative p53 staining, and preserved expression of p27 in NSCLC patients (Yatabe *et al.*, 2002). Pelosi *et al.* (2001) found that *Nkx2-1* immunoreactivity in ADC was associated with tumor sizes of <3 cm ($P=0.08$).

Recently, a clinical analysis of 371 tumors to determine single nucleotide polymorphisms associated with lung cancer found that over-expression of *Nkx2-1* occurred in 12% of lung ADCs

Table 1 *Nkx2-1* expression in normal and cancerous lung tissues

Tissue and Disease	Disease status	<i>Nkx2-1</i> expression profile	Reference
Normal tissue	Fetal lung	Epithelial cells	Stahlman <i>et al.</i> , 1996
	Adult lung	Alveolar type II cells, Clara cells, and bronchial basal cells	Ikeda <i>et al.</i> , 1995; Holzinger <i>et al.</i> , 1996
		Thyroid	
	Diencephalon	Restricted regions of the brain	Stahlman <i>et al.</i> , 1996; Kimura <i>et al.</i> , 1996
Lung disease	Acute inflammation, oedema, haemorrhage, atelectasis	Reduced or absent	Stahlman <i>et al.</i> , 1996
Respiratory dysfunction and recurrent infection		Perturbed expression due to gene deletions, missense mutations or nonsense mutations	Devriendt <i>et al.</i> , 1998; Iwatani <i>et al.</i> , 2000; Krude <i>et al.</i> , 2002; Pohlentz <i>et al.</i> , 2002; Doyle <i>et al.</i> , 2004
Lung cancer	Adenocarcinoma	High expression	Myong <i>et al.</i> , 2003; Tan <i>et al.</i> , 2003
	Squamous carcinoma	Low expression or absent	Myong <i>et al.</i> , 2003; Tan <i>et al.</i> , 2003
	Large cell lung cancer	Low expression or absent	Nakamura <i>et al.</i> , 2002
	Small cell lung cancer	High expression	Kwei <i>et al.</i> , 2008; Tanaka <i>et al.</i> , 2007

(Weir *et al.*, 2007). Furthermore, amplification of the *Nkx2-1* gene was the single most common focal genetic event detected by high-resolution copy-number analysis of lung ADC. Another study (Zhang *et al.*, 2009) of 404 NSCLCs and 28 benign pulmonary diseases (BPDs), which detected *Nkx2-1* expression by the immunohistochemical EnVision two-step method, revealed that *Nkx2-1* expression was negative in BPDs, mild in SCCs and tumor-adjacent normal alveolar epithelial cells (AECs) and bronchus tissue, and high in female, non-smoking, and asymptomatic patients. The sensitivity of *Nkx2-1* for well or moderately differentiated ADC was reported as 84.0% and specificity as 89.8%.

3.3 Role of *Nkx2-1* in diagnosis of lung cancer

Nkx2-1 acts as a lung development gene, is a proto-oncogene, and has different efficacy in different biological sites. The histopathologic significance of defining the primary source of metastatic tumors is well recognized. *Nkx2-1* was found to be expressed in about 60%–70% of lung ADCs and showed high sensitivity and specificity for diagnosis of primary lung cancer. *Nkx2-1* was also characterized as a tissue specific marker, capable of distinguishing morphologically similar metastatic ADCs of lung origin from other unknown primary sites (except thyroid tumor metastases).

Stenhouse *et al.* (2004) confirmed that positive *Nkx2-1* was a useful lineage marker for tumors arising from the peripheral airway of the alveolar epithelium. In this study, none of the 106 non-lung ADCs was found to express *Nkx2-1*, and only three out of 37 lung non-ADCs expressed *Nkx2-1*. However, 75% of 128 lung ADCs strongly expressed *Nkx2-1*. Mucinous and poorly differentiated lung ADCs showed reduced or no expression of *Nkx2-1*. *Nkx2-1* has also been confirmed in clinical samples of atypical adenomatous hyperplasia, small cell carcinomas, and other neuroendocrine tumors (di Loreto *et al.*, 1997; Agoff *et al.*, 2000; Ordonez, 2000c; Cheuk *et al.*, 2001; Oliveira *et al.*, 2001; Stenhouse *et al.*, 2004). On the contrary, Oliveira *et al.* (2001) and Ordonez (2000b) found that *Nkx2-1* was mostly confined to cells arising from the lung and was absent from the neuroendocrine tissues.

Nkx2-1 is considered a highly specific marker for lung ADC. Gomez-Fernandez *et al.* (2002) ex-

amined body cavity fluids from 113 patients with lung ADC on the basis of cytology and found that *Nkx2-1* was expressed in 54% of lung ADC, but was completely absent in other types. In another study using immunohistochemical staining to detect *Nkx2-1*, *Nkx2-1*, along with cytokeratin 20 (CK20) and neurofilaments (NF), was found to be effective for distinguishing Merkel cell carcinoma (MCC) from SCLC (Bobos *et al.*, 2006). In addition, none of 138 MCC samples expressed *Nkx2-1*, while about 53%–100% of SCLC samples expressed *Nkx2-1*.

Lotan *et al.* (2009) determined an optimized molecular panel for distinguishing the most likely primary site of invasive micropapillary carcinoma (IMC), which included uroplakin, CK20, *Nkx2-1*, estrogen receptor (ER), Wilms tumor protein-1 (WT-1) and/or paired box protein 8 (PAX8), and mammaglobin. Use of *Nkx2-1* was based on its uniformly positive expression in lung IMC cases, as detected by immunostaining. *Nkx2-1* mRNA (Jiang *et al.*, 2008) had the best diagnostic performance in pleural effusions of primary pulmonary ADC (PPA): the sensitivity 93.0%, the specificity 100.0%, and the accuracy 96.6%. The mRNA expression rate of pleural effusions was higher in patients with PPA (93.0%) than that in either metastatic pulmonary ADC (0%) or primary pulmonary squamous cell carcinoma (12.5%). Moreover, *Nkx2-1* proved to be a useful marker for distinguishing primary lung ADC from pleural mesothelioma (Ordonez, 2000a). Cytology specimens are known to be particularly challenging for distinguishing ADC of the lung from non-pulmonary ADC or malignant mesothelioma. However, Khor *et al.* (2011) showed that, in 26 pulmonary ADCs, 26 non-pulmonary ADCs (13 breast, 5 ovarian, 2 gastric, 2 prostatic, 1 esophageal, 1 colonic, 1 pancreatic, and 1 renal) and 4 malignant mesothelioma patients, *Nkx2-1* had high sensitivity (73%) and specificity (100%) in differentiating lung ADC.

Caudal-related homeobox 2 (CDX-2) and *Nkx2-1* were also capable of differentiating gastrointestinal from pulmonary carcinoids (Saqi *et al.*, 2005), and *Nkx2-1* was proposed as a potentially useful marker to distinguish pulmonary from gastrointestinal or pancreatic well-differentiated neuroendocrine tumors. CDX-2 and *Nkx2-1* (Lin *et al.*, 2007; Strickland-Marmol *et al.*, 2007) were characterized as

highly specific for identifying the original site of intestinal and lung tumors from metastatic neuroendocrine neoplasms. An immunohistochemical staining panel for distinguishing the primary site of metastatic well-differentiated neuroendocrine tumors (WDNETs) from the gastrointestinal tract, pancreas and lung included CDX-2, pancreatic duodenal homeobox-1 (PDX-1), neuroendocrine secretory protein-55 (NESP-55) and *Nkx2-1* (Srivastava and Hornick, 2009).

Nkx2-1, *Nkx2-8* (*Nkx* homeobox-8 gene), and *PAX9* (paired box gene 9), the three transcription factors known to mediate lung development and maturation, were recently characterized as cooperating oncogenes located together on chromosome 14q13 (Hsu et al., 2009). NSCLC patients with early stage tumors that expressed both *Nkx2-1* and *Nkx2-8* had poor survival. In addition, *KRAS* and epidermal growth factor receptor (*EGFR*) mutations were correlated with tumors having coactivated *PAX9* and *Nkx2-1*, or *Nkx2-8* and *Nkx2-1*. This study also suggested that coactivation of *Nkx2-1* and *Nkx2-8* was associated with a potentially aggressive phenotype of NSCLC, and that the underlying molecular mechanism was independent of the rat sarcoma (*RAS*) pathway.

3.4 Role of *Nkx2-1* in progression of lung cancer

In lung ADC, *Nkx2-1* was shown to promote carcinogenesis by increasing the formation of new vessels (Pelosi et al., 2001) and enhancing the growth of cell proliferation (Puglisi et al., 1999). Kwei et al. (2008) showed that small interfering RNA (siRNA)-mediated knockdown of *Nkx2-1* reduced cell proliferation and increased apoptosis in lung cancer. *Nkx2-1*, *Nkx2-8*, and *PAX9* (Kendall et al., 2007) synergistically promote proliferation of immortalized human lung epithelial cells, as evidenced by over-expression of any combination of the three genes. In another study, *Nkx2-1* over-expression was shown to be independent of *Nkx2-8* (Harris et al., 2011). We have found that over-expressing *Nkx2-1* leads to inhibition of lung ADC cell proliferation and prompts necrosis (unpublished data). Epithelial-to-mesenchymal transition (EMT), which can be elicited by transforming growth factor- β (*TGF- β*), facilitates cancer cell invasion and metastasis. Satio et

al. (2009) determined that *Nkx2-1* could inhibit the EMT process through down-regulation of *TGF- β* , thereby preventing metastasis of lung cancer. Meanwhile, in a recent study in our lab, we found that high expression of *Nkx2-1* can diminish the migration ability of lung ADC cells, suggesting that lung cancer cells with low expression of *Nkx2-1* have strong metastasis and invasion capabilities. Both of these properties involve down-regulation of *TGF- β* . A study by Winslow et al. (2011) suggested that *Nkx2-1* might play a dual role of oncogenic and suppressive functions in a single tumor type. Thus, the true roles of *Nkx2-1* in metastasis and migration of lung cancer cells are not yet fully understood and require further study.

3.5 Therapeutic role of *Nkx2-1* in lung cancer

To date, very few studies have investigated the relation between *Nkx2-1* and patient sensitivity to the epidermal growth factor receptor-tyrosine kinase inhibitors (EGFR-TKIs) or to antineoplastic agents. Wislez et al. (2010) studied bronchioloalveolar carcinoma (BAC) patients and found that 95% of non-mucinous tumors expressed *Nkx2-1*, compared to only 27% of mucinous tumors. Moreover, the non-mucinous tumors appeared to be more sensitive to EGFR-TKIs. However, whether over-expression of *Nkx2-1* truly reflects an increased sensitivity to EGFR-TKIs in lung cancer patients remains to be determined. The mitotic inhibitor chemotherapeutic agent paclitaxel was more effective at treating mucinous BAC tumors than at treating non-mucinous tumors (West et al., 2005). Coactivation of *Nkx2-1* and *Nkx2-8* in human lung squamous carcinoma cells (NCI-H2170) was shown to elicit resistance to the alkylating chemotherapeutic agent cisplatin (Hsu et al., 2009). In a study performed in our lab, we found that high expression of *Nkx2-1* in the lung adenocarcinoma cell line NCI-H1299 produced increased sensitivity specifically to the nucleoside analogue gemcitabine, but did not affect sensitivity to cisplatin or the antimetabolic docetaxel. We believe that new and improved therapeutic strategies for NSCLC can be designed based on the relationship between *Nkx2-1* and subtypes of NSCLC and/or the status of EGFR, and that expression of *Nkx2-1* might play a role in evaluating sensitivity to various anticancer drugs.

3.6 Prognostic role of *Nkx2-1* in lung cancer

While the 5-year survival rate of all lung cancer patients has increased by 3%–5% in recent years (Coleman *et al.*, 2011), those patients with NSCLC have not experienced this benefit. Thus, it is very important to specifically evaluate the survival of NSCLC patients in response to established and new therapeutic approaches. This type of focused clinical study will likely provide information that will help in the design of optimal therapeutic schedules based on currently available treatments. Furthermore, by continuing experimental studies to determine the molecular mechanisms underlying NSCLC and disease progression and severity, manipulable biological factors, such as transcription factors like *Nkx2-1*, may be identified and targeted for molecular therapeutic intervention.

A multitude of studies have aimed to determine the role of *Nkx2-1* in lung cancer prognosis (Table 2). Yet, the results are largely conflicting. While nine studies have reported a positive correlation between *Nkx2-1* over-expression and survival, two studies found a negative correlation and four found that *Nkx2-1* was not significantly (NS) associated with lung cancer survival. Puglisi *et al.* (1999) published the first study on the potential of *Nkx2-1* as a prognostic indicator of NSCLC, and used a multivariate analysis approach. A total of 89 patients with stages I–IIIB NSCLCs were categorized into three groups

according to the extent of tumor immunoreactivity for *Nkx2-1*. Positive *Nkx2-1* patients were associated with a poor prognosis ($P=0.009$). We should point out that this study has some limitations; for example, the performance status was low and the study relied solely on a polyclonal antibody, instead of a monoclonal antibody. Results from another study (Pelosi *et al.*, 2001) found no relationship between *Nkx2-1* immunoreactivity and patient survival. Two other studies (Haque *et al.*, 2002; Tan *et al.*, 2003), evaluated stages I–IIIA NSCLCs with long-term follow-up and found that patients with strong *Nkx2-1* expression had significantly better survival than patients with no *Nkx2-1* ($P=0.0067$). A meta-analysis (Berghmans *et al.*, 2006) using ten related studies published in the lung cancer literature showed that *Nkx2-1* positivity was indeed significantly associated with better survival in NSCLC patients, and especially with early and locally advanced stages and in ADC.

Still another study of 89 patients with lung ADC (Barletta *et al.*, 2009) showed that patients with high *Nkx2-1* expression but with no *Nkx2-1* gene amplification had improved overall survival ($P<0.001$). Patients with high gene expression of *Nkx2-1* had a higher risk of death, almost three times higher than patients with low *Nkx2-1* gene expression. Thus, the authors suggested that prognosis was related to *Nkx2-1* gene activity and not *Nkx2-1* protein levels, but this hypothesis has not yet been confirmed.

Table 2 Studies aimed at assessing the prognostic role of *Nkx2-1* on survival of patients with lung cancer

Reference	Specimen source	Diagnosis	Stage	<i>n</i>	Correlation with survival
Yoon <i>et al.</i> , 2011	Peripheral blood	NSCLC	I–III	79	Negative
Martins <i>et al.</i> , 2009	Histopathology	NSCLC	IIIB–IV	51	Positive
Barletta <i>et al.</i> , 2009	Histopathology	ADC	I–IV	89	Positive
Wang <i>et al.</i> , 2007	Histopathology	BAC	III	81	Positive
Berghmans <i>et al.</i> , 2006	Ten-published articles	Meta-analysis	I–IV	10	Positive
Barlesi <i>et al.</i> , 2005	Histopathology	ADC	I–IV	106	Positive
Stenhouse <i>et al.</i> , 2004	Histopathology	Lung tumor	Unknown	165	NS
Au <i>et al.</i> , 2004	Histopathology	NSCLC	Unknown	284	NS
Shah <i>et al.</i> , 2004	Histopathology	NSCLC	I–III	63	NS
Saad <i>et al.</i> , 2004	Histopathology	ADC	I	100	Positive
Myong, 2003	Histopathology	NSCLC	I–III	65	Positive
Tan <i>et al.</i> , 2003	Histopathology	NSCLC	I–III	126	Positive
Haque <i>et al.</i> , 2002	Histopathology	NSCLC	I–III	57	Positive
Pelosi <i>et al.</i> , 2001	Histopathology	NSCLC	I	222	NS
Puglisi <i>et al.</i> , 1999	Histopathology	NSCLC	I–III	88	Negative

NS: not significant; *n*: number of patients

Martins *et al.* (2009) assessed the prognostic ability of *Nkx2-1* and matrix metalloproteinases-9 (*MMP-9*). *MMP-9* is a protease that is commonly expressed in NSCLC and has recognized angiogenic and metastatic potential. They found that the expression of these factors was associated with the outcomes of stage IIIB or IV lung ADC patients treated with platinum regimens. High *Nkx2-1* combined with low *MMP-9* was associated with good survival (median survival: 127.6 weeks) and was designated as a low risk prognostic indicator. Either low *Nkx2-1* or high *MMP-9* alone was associated with a median survival of 39.0 weeks and was designated as an intermediate risk prognostic indicator. Low *Nkx2-1* combined with high *MMP-9* was associated with poor survival (median survival: 16.4 weeks) and was designated as a high risk prognostic indicator. Likewise, Wang *et al.* (2007) reported that the survival time of patients with positive *Nkx2-1* was longer than those without *Nkx2-1* in non-mucinous type and stage III BAC patients.

In another study (Yoon *et al.*, 2011), the mRNA levels of *Nkx2-1* and *CK19* in circulating tumor cells (CTCs) were investigated in 79 surgically resected NSCLC patients by using nested real-time reverse transcription polymerase chain reaction (RT-PCR) assay. *Nkx2-1* mRNA was more highly expressed in NSCLC patients' CTCs than was *CK19*. Comparing expression in patient-matched CTCs prior to and after resection revealed that 36.1% of patients were *Nkx2-1*-positive before surgery and 37.5% after surgery. Post-surgery *Nkx2-1*-positive patients experienced shorter progression-free survival ($P=0.004$) compared to those with *Nkx2-1*-negative status. Moreover, patients who were pre-surgery *Nkx2-1*-negative and post-surgery *Nkx2-1*-positive also had shorter progression-free survival ($P<0.001$). This study suggested that *Nkx2-1* mRNA-expressing CTC could be used as a surrogate predictor prior to clinical manifestations of observable symptoms. Monitoring *Nkx2-1*(+) CTCs status after surgery was also proposed as useful for identifying high-risk patients among the surgically resected NSCLC population. In the advanced tumor stage, negative *Nkx2-1* expression was an independent and significant marker for poor prognosis (Hiramatsu *et al.*, 2010). Several other studies also evaluated the prognostic role of *Nkx2-1*

expression in NSCLC (Haque *et al.*, 2002; Au *et al.*, 2004; Berghmans *et al.*, 2006), especially in lung ADC (Stenhouse *et al.*, 2004; Barlési *et al.*, 2005).

Thus, a definitive conclusion on the efficacy of *Nkx2-1* as a prognostic indicator of lung cancer outcomes, or that of NSCLC in particular, has not been reached. We recently found that over-expression of *Nkx2-1* not only inhibited the migration and proliferation of lung cancer cells but also promoted necrosis, indicating that strong expression of *Nkx2-1* would have anticancer protective effects. Thus, *Nkx2-1* may yet become established as a good prognostic marker for survival in NSCLC, especially of the ADC subtype.

4 Conclusions

Nkx2-1 interacts with multiple transcription factors in a cell/tissue-specific manner to regulate a multitude of differentiation and developmental functions (Fig. 2). In the lung, *Nkx2-1* plays a pivotal role in normal lung development and also in that of tumors, especially contributing to tumor cell differentiation and morphogenesis processes. *Nkx2-1* over-expression has been clinically detected in patient samples of NSCLC ADC subtype and SCLC, while low or no expression has been found in LCLCs or lung SCCs. *Nkx2-1* has been successfully used to distinguish the metastatic and morphologically similar ADCs of pulmonary origin from those of other unknown primary sites. Furthermore, *Nkx2-1* expression is strongly associated with the prognosis and efficacy of several commonly used therapeutic agents. Understanding the prognostic factors underlying different types of lung cancer, particularly of lung ADC, and their influence on tumor response to chemotherapeutic drugs will facilitate more in-depth evaluation of the survival of lung cancer patients and help to develop novel effective therapeutic strategies. Furthermore, the recently recognized inhibitory property of *Nkx2-1* on mucous cell metaplasia and T helper 2 (Th2) inflammation (Maeda *et al.*, 2011), suggests that a better understanding of *Nkx2-1* molecular interactions will provide insights into new therapeutic targets to treat cancer-related and inflammation-related lung diseases.

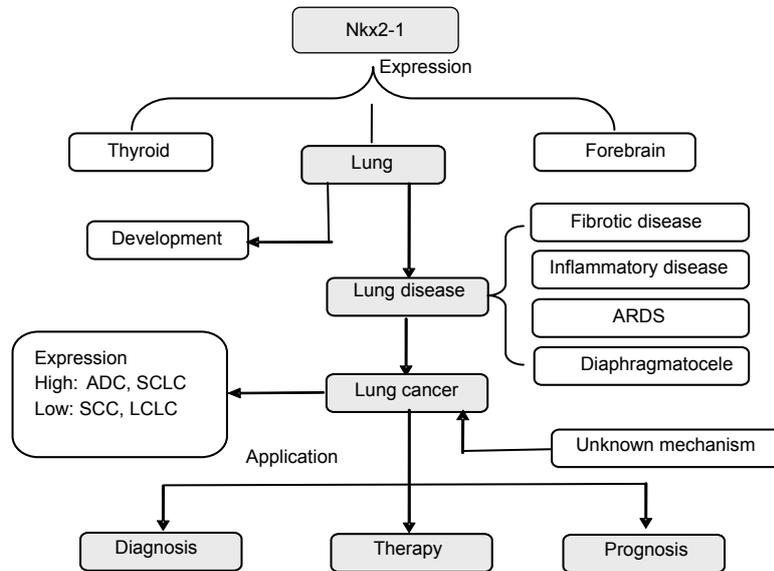


Fig. 2 A schematic diagram to summarize the role of Nkx2-1 in the lung

Nkx2-1 activates the expression of selected genes in the thyroid, lung, and ventral forebrain, which have a close relationship with the development of the fetal and postnatal lung. *Nkx2-1* mutations can induce idiopathic pulmonary fibrosis (IPF), pulmonary infection, acute respiratory distress syndrome (ARDS), and diaphragmatic hernia, among other disease processes. *Nkx2-1* is highly expressed in lung cancer, especially in ADC and SCLC, while lower expression is found in SCC and LCLC. *Nkx2-1* is clinically applicable for lung cancer diagnosis, and as an indicator for therapy type and of prognosis. Understanding the role of *Nkx2-1* in lung cancer may open new avenues of research to improve the evaluation of the survival of the lung cancer patients and to develop novel effective therapeutic strategies

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